

ACADEMIC & ADMINISTRATIVE AUDIT REPORT 2018-2019



Prepared by: Internal Quality Assurance Cell
ANDHRA UNIVERSITY
VISAKHAPATNAM
530003

Andhra University is one of the oldest state universities in the state of Andhra Pradesh. Considering the merits of the University, the National Assessment and Accreditation Council of India has reaccredited at "A" Grade with a CGPA of 3.60 out of four point scale in 2016. The University got ISO 9001:2001 certification in 2006, ISO 9001:2008 upto 2017 and presently it possesses ISO 9001:2015 certification for its quality standards. It is the 1st general University in the country to have ISO certification.

Andhra University conducts internal and external academic and administrative audits annually. The office of IQAC conducts academic and administrative audits through its 20 trained internal auditors. The external audits are done annually by TUV SUD.

Academic and Administrative Audit enhances quality and continuous improvement leading to one of the best practices of Andhra University. The audits aim to identify any drawbacks or weakness in any department or section based on the observation of the trained internal auditors. They are reviewed by the Top Management regularly and the audit findings help the administration in implementing the necessary steps for quality enhancement.

1. Scope of the Internal AAA:

The audit process strictly adhered to a proforma structured by the IQAC for the evaluation based on NAAC criteria's as given:

- i. **Curricular Aspects:** Curriculum design, development, BOS, framing of COs, POs, PEOs, PSOs for each course and programs, Curriculum enrichment, feedback
- **ii. Teaching-Learning & Evaluation:** Student mentoring, student assessment, student diversity, Teaching learning process, usage of ICT enabled tools, evaluation process and reforms, Faculty profiles, Student learning outcomes
- iii. Research, innovation & extension: Research facilities, papers published, patents, research projects
- iv. Feedback system
- v. Research
- vi. Infrastructure
- vii. Department Administration
- viii. Other academic-oriented activities

PERIOD OF ASSESSMENT: 2018-2019

DATE(s) OF Internal AUDIT: 4th April 2019 to 15th April 2019

2. The list of trained internal auditors and the departments to be audited are as follows:

The Internal Audit Committee and departments to audit by the auditors:

Team	Name of the Internal Auditor	Principal office/Department/others
		Anthropology
	Prof. P.K.Ratna Kumar	Statistics
	Dept. of Biology	Applied Mathematics
A		Mathematics
	Dr. G.Naga Raja	Marine Engineering
		Biochemistry
	Dept. of Economics	Engineering Chemistry
		Mechanical Engineering
		Directorate of Admissions
	Prof. N.V.E.S.Murthy	Human Resources Management
	Dept. of Applied Mathematics	Physics
		Nuclear Physics
В	Prof. M.Vijaya Santhi	Geo-Engineering
	Dept. of Mathematics	Electrical Engineering
		Philosophy
		Principal office, College of Engineering
	Prof. M.Jaganadha Rao	School of Economics
	Dept. of Geology	Chemical Engineering
C		Biotechnology
	Dr. P.Suneeta	Microbiology
	Dept. of Meteorology &	Environmental Sciences
	Oceanography	Computer Science & System Engineering
		Journalism and Mass Communication
	Prof. V.Girija Sastry	Library Information Science
	Dept. of Pharmacy	Dr.V.S.Krishna Library
D		History & Archaeology
	Prof. K.Basavaiah	Meteorology & Oceanography
	Dept. of Chemistry	Instrument Technology
		Humanities and Social Sciences
		Principal office, College of Pharmaceutical
	Prof. K.Srinivasa Rao	Science
${f E}$	Dept. of Civil Engineering	Psychology & Parapsychology
		Metallurgical Engineering
	Dr. Balaram Padal	Mechanical Engineering, Section for
	Dept. of Mechanical Engineering	Women
		Sanskrit
		Architecture
		Examination section, AU
	Prof. G.Girija Sankar	Electronics & Communication Engineering,
	Dept. of Pharmacy	Section for Women
	. _F	Electronics & Communication Engineering

F		Fine Arts
	Dr. P. Yedukondala Rao	Social Work
	Dept. of Marine Living Resources	Sociology
		College of Arts & Commerce
		Engineering Mathematics
	Prof. K.Rama Sudha	Geography
	Dept. of Electrical Engineering	College of Law
		Engineering Physics
G	D MG 4 11	Principal office, all sections for women
	Dr. M.S. Anuradha	Electrical Engineering & Civil Engineering
	Dept. of ECE	A.U. Engineering College for Women
		Computer Science & System Engineering,
		Section for Women
	Prof. N.Kishore Babu	Music
H	Dept. of Commerce & Management	Telugu
	Studies	Education
		Zoology
	Dr. T.Anuja	Systems Design
	Dept. of Geography	Marine Living Resources
		College Development Council
	Prof. T.V.K.Bhanu Prakash	Principal office, Science & Technology
	Dept. of Marine Engineering	Civil Engineering
		Geo-Physics
Ι	Prof. V.Sridevi	Geology
	Dept.of Chemical Engineeirng	Hindi
		English
		Politics and Public Administration
	Prof. P.Vasudeva Reddy	Human Genetics
J	Dept. of Engineering Mathematics	Botany
		Commerce & Management studies
	Dr. K. Sita Manikyam	Organic Chemistry
	College of Law	Physical and Nuclear Chemistry
		Inorganic & Analytical Chemistry
D CD	Lalitha Dhaglawi	

Prof.D.Lalitha Bhaskari

Dept.of Computer Science & Systems Engineering & Coordinator, IQAC

The Office of IQAC, VC office & Registrar's office will be audited by senior Internal auditors

3. General Observations:

- 1. The University is Category 1 institute recognised by MHRD, GOI
- 2. The University accredited by NAAC with a CGPA of 3.60 in 2016 valid upto 7 years(cycle
- Progressive vision of the Top Management with a definite plan of action following a decentralised and participative management.
- 4. Qualified and committed faculty members with rich experience
- 5. Most of the departments are funded by government agencies like DST, DBT, AICTE, DRDO, TEQIP
- 6. The University is RUSA funded
- 7. The University is ISO 9001:2015 certified
- 8. Departments function in alignment with the University Quality policies
- 9. Total number of programs offered during 2018-2019 are 244.

Among them UG Programmes offered are 22; PG Programmes- 115,

Integrated programs – 5, Ph.D-58, M.Phil – 42, PG Diploma-2

- 10. Faculty members 538
- 11. 95% faculty members are with PhD
- 12. Being a reputed Government funded state university, the demand ratio is very high and student enrolment is always high
- 13. A total of 10541 students are enrolled in the campus and nearly 2800 outgoing students during 2018-2019
- 14. Total Research centres 13
- 15. JRFs, SRFs, Post Doctoral Fellows, Research Associates and other research fellows enrolled in the institution: 169

4. NCRs:

The Non conformities (NCRs) of all the departments are attached here



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/ D57

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Dept./ Function: Dept. of Electrical Responsibility: Engineerly
Audit No. : Sai K. Chandra Secher Date of Audit: 30-4-2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
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in the minutes of staff weeking / DC
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ASSOCIATE Professor & Head .
Proposed correction: It is is not built to a mother university Year (2019-2020). Visakhapatnam-530 003, A.P., INDIA
Yesakhapatnam-530 003,A.P.,INDIA
Root Cause Analysis: Sike college is in developing forcers, we have to
get acquantied early procedures.
Proposed Corrective Action:
Proposed Completion Date:
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Auditee Name : Signature & Date : Er. KONA CHANDRASEKHAR Associate Professor & Head
Verification of corrective actions (To be filled by Auditor at the time of closure), of Electrical Engineering
And the Holiversity
Status: Open / Closed. Auditor Name : 2 Wisakhapatham-530 Colla P., INDIA
Signature & Date: All All All Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign Date



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019 /04/058

Dept/Function Civil Engineering Auce(W)	Responsibility:
Audit No.	Date of Audit : 394 19
DETAILS OF NONCO	NFORMITY (To be filled by Auditor)
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hof. K.R. Sude	Signature & Department
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	REPORT (To be filled by Auditée) Women
Proposed correction : No (Anonia University
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : Dr. G. Screeshal	Haighatures Dopartment Dept. of Civil Engineering
Verification of corrective actions (To be 伽曲	EDP Auditor affie time of closure)
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ANDHRA UNIVERSITY VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/ UDC)

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Proposed Corrective Action:	-
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Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO. 2019 /64/10.041

Dept / Function Directorate of Admissions	
Audit No : Date of Audit 26-04-2019	**
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause: Reference Document(s):	
Nonconformity:	
Auditor Name: 2. Prof. M. Vijaya Sarth: Signature & Date: 26/04/19.	ž
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction None	
Root Cause Analysis No NGR	
Proposed Corrective Action: - None	
Proposed Completion Date:	
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Auditor Name / Sign. Date	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/P6

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Dept./ Function: College g Low; AU Responsibility:
Audit No. : Date of Audit : 02 - 05 - 2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NO NCR.
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Auditor Name : Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : No NCQ Andhra University Visakhapatriam
Root Cause Analysis :
Proposed Corrective Action :
Proposed Completion Date:
Auditee Name : Signature & Date :
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Status: Open / Closed. Auditor Name : 2, H. Soft Adl. Signature & Date :
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Auditor Name / Sign. Date :



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/64/Exm 1

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Dept./ Function: Examination - Pa ad Responsibility:	
Audit No. : Date of Audit : OC/08/2019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: —	
Auditor Name: DW P. Yodu Kondala Loo Signature & Date: 05 68/2019	•
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Proposed correction : - N'C -	
Root Cause Analysis: ー いにー	
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Auditor Name: 18 16. 40 June and alaken Signature & Bate: O() 0 1/2019
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Proposed correction : - NTL-
Root Cause Analysis : - NiL-
Proposed Corrective Action: - NIL
Proposed Completion Date M.M. J. Roso
Auditee Name : S Vest of Example Signature & Date : Professional)
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AUDIT NON-CONFORMANCE REPORT (NCR)

NCB NO : 20019/04/VSK1

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	Dept./ Function: V.S. Krishna Lobrary Responsibility:
	Audit No. : Date of Audit : 30-4-9019
	DETAILS OF NONCONFORMITY (To be filled by Auditor)
	ISO 9001 Clause: Reference Document(s): Wil-
	Nonconformity:
	Prof. K. Basava JMH Bord. V. GIRITA SASTRY
	Prof. V- GIRITASASTRY Auditor Name: Signature & Date:
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	Proposed correction : $-NA$ —
	Root Cause Analysis :
	Proposed Corrective Action: — NA —
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	Auditee Name : Signature & Date :
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(to be filled by auditor during next internal audit) Prof. K. Bes. Very 14
	Auditor Name / Sign. Prof. V. GIRITA SASTRY. V. Constant Sastry.



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No . 2019/04/ D47

NCR No.: 28(C)
Dept./ Function: Marine Enfincer Responsibility:
Audit No. : Date of Audit : 29-4-2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: 1 P. K. R. L. 2 G. Norgaed. Signature & Dath: 29/4
Auditor Name: Signature & Date: 29/4
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action:
Proposed Completion Date:
Auditee Name : Pool V V.J. Prasa Signature & Date:
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AUDIT NON-CONFORMANCE REPORT (NCR)

NCB NO : 2019/04/DUS

NCR No.: 2017(19-7)
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Proposed correction :
Root Cause Analysis :
Proposed Corrective Action :
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NCR No. 2019/04/DY9

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DETAILS OF NONCONFORMITY (To be filled by Auditor)
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Nonconformity:
1. Don K. Sninivaga Reo
Auditor Name Dr. K. T. Balana Pased Signature & Date: 2. Balana Pased
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : NH -
Root Cause Analysis : - NA
Proposed Corrective Action: - NA -
Proposed Completion Date:
Auditee Name : Prof. K. Snini Veda Res Signature & Date Head of the Department of Hop
Verification of corrective actions (To be filled by Auditor at the time of closure)
NA- DAK, Shirivasa Ro
Status: Open / Closed. Auditor Name : Signature & Date : My IC. T. Balance Padal
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Auditor Name / Sign.



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/1050

Dept./ Function: Engineering Chemistresponsibility:
Audit:No. : Date of Audit : 14-8-2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause: Reference Document(s):
Nonconformity: 1 P. K. R. L. 2 Nagular S 2 Nagular S Signature & Date: 14 8 2019 CORRECTIVE ACTION REPORT (To be filled by Auditor)
Auditor Name: Signature & Date: 14 8 2019
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action :
Proposed Completion Date: Ware 1 1 2019
Auditee Name : Dev. S PAUL DOCKLAS Signature & Date : Head of the Department Dept. of Engineering Chemis'
Verification of corrective actions (To be filled by Auditor at the time of closure) And the University Visakhapatnam-530003, A.F.
Status: Open / Closed. Auditor Name : 1 Nagrey 14 8 29 8
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date:



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO 2019/04/D51

Dept / Function Engineering Matt	Responsibility:
Audit No.	Date of Audit 06-07-2019
DETAILS OF N	IONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause :	Reference Document(s):
Nonconformity: Prof K.R. Suc Auditor Name Prof M.S. Am	NO NCR Sugares
Auditor Name frof M.S. An	Head of the Department of Signature & Repair & Mathematics
CORRECTIVE	ACTION REPORT (A U. College of Engineering (A) VISAKNADAMAILERY) 003
Proposed correction	No NCR
Root Cause Analysis	
Proposed Corrective Action:	
Proposed Completion Date:	Head of the Department of Engineering Mathematics
Auditee Name	Signatule & cliege of Engineering (A) Visakhapatnam - 530 003
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Status: Open / Closed.	Auditor Name 2. M. Salya Aswadla Signature & Date
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Auditor Name / Sign. Date	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No. 2019/04/052

Dept./ Function Engineering Physics Responsibility:	
Audit No. Date of Audit 06 - 04 - 2019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
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Auditor Name Prof. M.S. Anwadinature & Date: 05 Engineers 12018	
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Andrra University	
Proposed correction Visakhapatnam - 530 003	
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Root Cause Analysis	
Proposed Corrective Action:	
Proposed Completion Date	
Auditee Name Signature & Date HEAD	
Dept. of France 12019	
Verification of corrective actions (To be filled by Auditor at the time of congressing (A) And or a University	
Visakhapatham - 530 003	
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Signature & Date 9, Pry Mr. 9, Annually Verification of effectiveness of corrective action	2
to be filled by auditor during next internal audit)	W_
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Auditor Name / Sign	
Date	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No: 2019/04/D53

NCR No.: 2514 164 1.25
Dept/Function: Dept & Humanities Responsibility:
Audit No. : Date of Audit : 23/7/19
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
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Prof k. Basavaiah. X Souver 27-07-101
Auditor Name : Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis: —— NA ——
Proposed Corrective Action :
Proposed Completion Date:
Proposed Completion Date: Proj. V. G. IRI JASKASTRY, Auditee Name Proj. K. Basavaiah, Signature & Date: Prop. R. Basavaiah,
Verification of corrective actions (To be filled by Auditor at the time of closure)
V- Brigin Sa 23/7/19
Status: Open / Closed. Auditor Name
Signature & Date : /erification of effectiveness of corrective action to be filled by auditor during next internal audit)
PA V. GIRISTARANTRY V. L. Salalis.
Nuditor Name / Sign. Mak Burraiah Bear 23-07-20
the world

Dept of Humanities & Social Sciences

A.U. College of Engineering (A)

ANDHRA UNIVERSITY

VISAKHAPATNAM-536003



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/84

Dept./ Function: Au college of Engg Responsibility: Principal office, Audit No.: Principal office Date of Audit: 30/4/2019	1
Audit No. : min cipal office Date of Audit: 30/4/2019	
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ISO 9001 Clause : Reference Document(s) :	
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Proposed correction A.U. College of Engineering for Wome Visakhapatnam-530 017	n
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name: Prof. M. Pramile Decis Signature & Date: M. P.	
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Status: Open / Closed. Auditor Name Signature & Date: Verification of effectiveness of corrective actions (10 be filled by Auditor at the time of closure) PLOF K.R. Sudha College of Floof. M.S. Annuadicakhapa Signature & Date: Verification of effectiveness of corrective actions.	ngineering tnam-53i
vermeation of effectiveness of corrective action	
(to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date	



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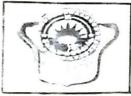
AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2019 64 54

Dept./ Function: Dept of CS &	Responsibility:	
Audit No. : Auct	Date of Audit: 18	14/19
DETAILS OF	NONCONFORMITY (To be filled by A	uditor)
ISO 9001 Clause :	Reference Document(s):	
Nonconformity :	- NIL -	
Prof. N. Kichore Auditor Name: Prof. Aunja (1)	Signature & Date :	N692-Q Annja 18.4.19
CORRECTIVE	ACTION REPORT (To be filled by Au	uditee)
Proposed correction		
	NO NCR&	
Root Cause Analysis :	100 100.00	
Proposed Corrective Action		
Proposed Completion Date:	Annya ligge	Nkow
Auditee Name Hop Dun-of Cs.	Signature & Date: (Pool ANUJA TIGGA)	(Right N. KIGHTER BLORD)
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Status: Open / Closed.	(Porf. ANUJATICGA) Auditor Name	Non
/erification of effectiveness of corre	Signature & Date :	(POG N. KU40 RE BBY
to be filled by auditor during next inter		
Auditor Name / Sign. Date :		

Dept. of CS & SE

AU College of Engg. for Women
Visakhapatnam-530 017



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Audi No Date of Audit 25 04 2019 DETAILS OF NONCONFORMITY (To be filled by Auditor) Reference Document(s):
Date of Audit 25 04 2019 DETAILS OF NONCONFORMITY (To be filled by Auditor)
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9011 Clause Reference Document(s):
Nonconformit,
Pry-16. Sniwirasa Rao u. W 20174/19
P. 1. 5 P. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.
-ud o name Pry. K. T. Baharam Padal Signature & Date Belander 25 4/19
CORRECT VE ACTION REPORT (To be filled by Auditee)
Proposed correction — NIL —
Root Cause Analysis — NA ~
Proposed Corrective Action — NA —
Proc s + Comp et on Date
Signature & Date
Verification of agrective actions. To be filled by Auditor at the time of closure,
- NA -
Stalls Open sed Auditor Name Pm.K. Snivirare Law W
Verification of effectiveness of corrective action
to be if a by a lideor during next liternal audit)
-NA - 1
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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO 2019/04/05%

		NCR No 2001 0410
Dept / Function E.C.E (%)	Responsibility	
Audit No	Date of Audit	741.1
DETAILS OF NON	ICONFORMITY (To be	30/04/2019
In the second second		filled by Auditor)
ISO 9001 Clause : Ref	erence Document(s)	AUF-38- 11. 1
Nonconformity: AUF.38	to be updated	(Hourse during April, 2019)
prf. L. Cirjagancer	Signature & Dai	29-87 39 Hb 2 300119
	ION REPORT (To be fi	
roposed correction AUF	-38 to be v	t goned
roposed Corrective Action To be	taxon	S. Ana
oposed Completion Date 01/01/2	.19	Head of the Department
ditee Name DY. S. ARUNA	Signature & Dare	Zalas Man
rification of corrective actions (To be for	illed by Auditor at the fin	30/04mdH/B University
Vinta	AUF-38	
atus Open / Closed	Auditor Name	pht. G. Winderaucor of Styring
iliantian of all at	Signature & Date	Aut. P. godono dela La montera
ification of effectiveness of corrective be filled by auditor during next internal au	action untied	soll 8119
notice ainjurant		0.00
Hor Name / Sign NWG , Pryodulu		579717
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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

110

NCR No .: 2019/04/039

Dept./ Function: 200 logy	Responsibility .
Audit No. :	Date of Audit: 23.04.2019
DETAILS OF NONCO	ONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Refer	ence Document(s) :
Nonconformity: Nove	
NOW NO KYHORE BE Auditor Name: Parl ANOJA TIGGO	A Signature & Date: Anya Tipes, 23.04.19
V	ON REPORT (To be filled by Auditee)
Proposed correction :	
	No NCRI
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	Amija ligge Nkgu Q
Auditee Name :	Signature & Date: (PVCK N.KISHAPE CHBC
Verification of corrective actions (To be fi	illed by Auditor at the time of closure)
Status: Open / Closed.	Auditor Name Signature & Date: (Drg. N. IC. V. Hold: T. Byly)
Verification of effectiveness of corrective	action
(to be filled by auditor during next internal au	mare
Auditor Name / Sign. Date :	CC.MANJULATHA) HEAD
	Department of Zoology Andhra University Visakhapatnam



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/PS

Dept./ Function: Panceful office Responsibility: Peof P. Seinivala Rai
Audit No. : AU College of Sergmany Date of Audit: 23/7/2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NA
Auditor Name : Perf. Sucitte Signature & Date: K P. Skeitte
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction ALA
Root Cause Analysis : MA
Proposed Corrective Action :
Proposed Completion Date: Reof. P. Slinivala Rev.
Auditee Name : x Acarla Signature & Date :
Verification of corrective actions (No be filled by Auditor at the time of closure) VISAKHAPATNAM-530 003
Status: Open / Closed. Auditor Name : 23/7/2019 Signature & Date : 23/7/2019
Verification of effectiveness of corrective action
(to be filled by auditor during next internal audit)
Auditor Name / Sign. Date: 25/1/2019 & P. Suette



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/140

HORNO	
Dept./ Function: Architecture Responsibility: HOP	
Audit No. A. Revattic Devi Date of Audit: 05 07 7019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: 1. Prof. K. Smirare New 2. Prof. K.T. Baharam Padal Auditor Name: Signature & Date:	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : NIC	
Root Cause Analysis : — NA —	
Proposed Corrective Action : NA —	
Proposed Completion Date: — — NA - P	
Auditee Name: Sm. A. Revoli Den Signature & Date Department of Architecture College of Engineering (A)	
Verification of corrective actions (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by Auditor at the filled by electrons (To be filled by electrons (To be filled by Auditor at the filled by electrons (To be	
Status. Open / Closed. Auditor Name 2 Proj. K. T. Rabaram Padd Signature & Date:	HODE PHE
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/ D41

	Part of the second seco
Dept. / Function: Chemical Engineerry	Responsibility: Head of Department
Audit No. :	Date of Audit:
DETAILS OF NONCONF	ORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference	e Document(s) :
Nonconformity:	
1 3	
Auditor Name :	Signature & Date :
CORRECTIVE ACTION R	EPORT (To be filled by Auditee)
Proposed correction \(\lambda_{\text{\color}}	•
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : Reof. P. Keig .	Signature & Date: Head of the Office Engineers
Verification of corrective actions (To be filled b	y Auditor at the time of closure)ge of Engineering (A
	Isakhanatnam
•	Auditor Name : * P. Cuntte
Verification of effectiveness of corrective action to be filled by auditor during next internal audit)	on
uditor Name / Sign. P. Guetle rate:	* D. Shl



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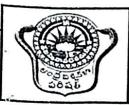
ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO .: 2019/04/042

Dept./ Function: Civi Cygn Responsibility:			
Audit No. : Date of Audit : 25-4.2019			
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause : Reference Document(s) :			
Nonconformity:			
Nonconformity: -NIL- 1) Prof. T.V. K. Bhamproon &			
101 y Calandania (v) [Oids			
Auditor Name: V - Swater Signature & Date () CORRECTIVE ACTION REPORT (To be filled by Auditee)			
CORRECTIVE ACTION ICE ON (10 20 miles 2) Manual			
Proposed correction :			
Root Cause Analysis :			
Proposed Corrective Action:			
G / Runny			
Proposed Completion Date:			
Auditee Name: Had gote Dept Signature & Date: Department of Civil Engineering (A)			
Verification of corrective actions (To be filled by Auditor at the time of closure) a University, Visakhapatham			
Prof. T. V. E. Brandrakate			
Status: Open/ Closed. Auditor Name Sign on & Date:			
Signature & Date : Company Verification of effectiveness of corrective action			
(to be filled by auditor during next internal audit)			
Auditor Name / Sign.			
Date: 21.4.2019			



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO . 2019/04/042

	NCR NO.: 251 CT
Dept./ Function: Coopput Science Rague, Responsibility: peof. K	Nageswaen Ro
Audit-No. : Date of Audit :	
DETAILS OF NONCONFORMITY (To be filled by Audito	or)
ISO 9001 Clause : Reference Document(s) :	
Nonconformity:	
Auditor Name: P. Scentte Signature & Date:	othe
27. 39.7 (3007) 20.1 (3000) 30	
CORRECTIVE ACTION REPORT (To be filled by Audite	e)
Proposed correction April Ale the record	updated
Root Cause Analysis: lack of sleft and the office wanty 3 months due it electron.	was durid for
Proposed Corrective Action :	
Proposed Completion Date: 31-07-2019	
COMPILER SCIENCE AND COMPILER SCIENCE AND	TERRETMENT YELLES INGINIERING
Verification of corrective actions (To be filled by Auditor at the Nane of closure)	APATNAM
Status: Open / Closed. Auditor Name : P. Sur. Signature & Date : x ()	ultre 1
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. P. Smithe Date:	

I The head of the Dept is not available at the



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

,			NO	CR No .: 2019 104	DI
Dept./ Function : E(ectrical Engineering	A Responsibi	lity :		_
Audit No :	(AUCE	Date of Aug		10	
	DETAILS OF NONCON				-
ISO 9001 Clause:		ce Document(4
Nonconformity:					
	rof. N·V· E·S· Mu rof. M·Vijaya Said CORRECTIVE ACTION			Saul 26/4/19	
Proposed correction	None.				1
Root Cause Analysis	Nothing				
	J.,				
Proposed Corrective	Action Nill'		, ,	7 , .	
Proposed Completion [21.	726/4/19	
Auditee Name P. Rof.	G.V.Sive Karis	Signature &	Date Head of th	e Department	
erification of correct	ve actions (70 be filled	by Auditor of the	Dept. of Elect	rical Engineering	
	TE TENONO (TO DE TIMED	by Additor at th	Ananra	University	
Status Open / Closed.	*	Auditor Name		m-530 003. A.P.	
erification of effective be filled by auditor du	eness of corrective acti ring next internal audit)	Signature & D.	NVES Munitry	CM. Vijaya	Sai
				9.1	
iditor Name / Sign. ite					



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/ Dyy

Dept./ Function : E⋅ C⋅ € (A) Responsibility :			
Audit No. : Date of Audit : 8/ャリンの19			
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause : Reference Document(s) :			
Nonconformity: —			
Auditor Name: Pat. P. yedukondale Nan Signature Date: 08/04/2019			
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction : N, L -			
Root Cause Analysis: Wic-			
Proposed Corrective Action: - will -			
Proposed Completion Date.			
Auditee Name: G S=25 Stuthen As Signature & Detailes of Engg. (A) H&D(ELE Signature & Detailes of Engg. (A) Anders University			
Varification of appropriate actions /To be filled by Auditor William			
Status: Open / Closed. Auditor Name : prf. p. Girija / haucon Signature & Date : pr b. p. yodu roud alan J. Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign. Date :			



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO. 2019/04/ 145

			NCF	(No. 2019 104)	D
Dept./ Function :	Geo-Engineering	Responsibility			7
Audit No. :	(AULÉ)	Date of Audit:	26-04-20	19 .	
	DETAILS OF NONCONF	ORMITY (To be fil	led by Auditor)		7
ISO 9001 Clause	: Reference	e Document(s) :			1
Nonconformit	ty:				
Auditor Name : 2	1. Prof. N.V.E.S. Murth - Prof. M.V.; aya Sail CORRECTIVE ACTION R		3644119 V.Se	الماع الماد	4
		EPORT (10 be fille	a by Auditee)		1
Proposed correct	tion Nill				
Root Cause Anal	ysis: Nolhing partic	valu			
roposed Correct	ive Action None		\wedge	0	
roposed Completi	on Date:		\+/	ΧΙ	
uditee Name	rof G. Tawankir	Signature & Date	Munin	64119	
erification of corr	rective actions (To be filled by	y Auditor at the time	e of closure	the bepartme	nt
			A 11	ENGINEERIN Engg. College.(A)
tatus Open / Clos	·	Auditor Name	7% VISAKH	APATNAM - 530	003
rification of effec	Stiveness of corrective action	Signature & Date	NVESKUTAN	N. Saint	ر <u>ب</u>
	or during next internal audit)		264119	CM. Vijaya	ام 26
ditor Name / Sign. e :					



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/64/DUL

· ·				
Dept./ Function: Instrument Responsibility:				
Audit No. : (Engineering) Date of Audit: 23/7/19				
DETAILS OF NONCONFORMITY (To be filled by Auditor)				
ISO 9001 Clause : Reference Document(s) :				
Nonconformity: AUF 18 (Achirly diary)				
Pol V. GIRIOAS ASTRY Audito Name Basinai al. Signature & Date:				
Auditor\Name . Signature & Date :				
CORRECTIVE ACTION REPORT (To be filled by Auditee)				
Proposed correction : 23 /7/2019 .				
Root Cause Analysis: attendence, to Staff closs engagement to be updated for the past 6 months				
Proposed Corrective Action: 25/7/2017				
Proposed Completion Date:				
Auditee Name : Police Signature & Date :				
Verification of corrective actions (To be filled by Auditor at the time of closure)				
Status: Open / Closed. Auditor Name Signature & Date: V. GIRIOTAS ASTRY) 25/7/19				
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit) AUF 18, AUF 26 Updated				
Auditor Name / Sign. Date V. L. 2517/19 CV GIRLDAS INSTRY).				

Serinduoio (4. Serindo 100)

Head of the Department of

Head of the Department of Instrument Technology I.U. College of Engineering (A) Anthra University Visakhapatnam-530 003.



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/127

	NCR No.: 2017 19 11		
Dept./ Function: Human Gentics Re	esponsibility:		
Audit No. : Da	ate of Audit: 14 -04-2019		
All the control of th	/ITY (To be filled by Auditor)		
ISO 9001 Clause : Reference Do	cument(s) :		
Nonconformity:	Serry		
Prof. P. Vasudeva Reddy	natura & Data: 2. K. Sita manilyan		
Auditor Name: prof. Sitamanikyan. Sig	nature & Date: 2. R. Sila meurigan		
CORRECTIVE ACTION REPO			
Proposed correction: Research Scholars Grefort Shall be taken bloomy morth of quarterly			
Root Cause Analysis :	·		
Proposed Corrective Action: we will collect the progress refort from the Rassaudi Scholars for every would apportedly in future. Proposed Completion Date:			
Proposed Completion Date:	Head of the Department		
Proposed Completion Date: Auditee Name: V. Lakalmi Kalpana Sig	nature & Date: 17-4-Dept. of Human Genetics Andhra University		
Verification of corrective actions (To be filled by Au	ditor at the time of closure)		
Status: Audi Signa	tor Name : 1. Dalry ature & Date : 9 K. Silvinterryer		
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign. 1. Sila mailyen	,		



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .2019/04/028

•	NCR No.:2019/09/1
Dept. / Function: Dept of in organic a	Responsibility:
Audit No. : Analytical chemistre	1 Date of Audit: 8 - 5 - 2019
DETAILS OF NONCO	NFORMITY (To be filled by Auditor)
ISO 9001 Clause : Referen	nce Document(s) :
Nonconformity: NL	
@ Rrg. Varuder Red	, (4)
Auditor Name: O Du · K· Sula Manikupa	Signature & Date: 1. K. Sila wowleys
	REPORT (To be filled by Auditee)
Proposed correction : Oliquel	Copies of DRC Resolutions.
Root Cause Analysis: The 80	be nountained. Stock register and Show ginal copies are kept with
Proposed Corrective Action: AUF 30	hal object. sent to C. A.O. From pext line onwards two originals
Proposed Completion Date: will b	re mountained
Auditee Name :	Signature & Date: Savas Service 2019
Verification of corrective actions (To be filled	d by Auditor at the time concepts the control of horself & Analytical Chemistry
Status: Open / Closed.	Andhra University Visakhapatrian Auditor Name Signature & Date: 2. K. Silomoo sup
Verification of effectiveness of corrective ac (to be filled by auditor during next internal audit	tion
Auditor Name / Sign. Date: 1. K. Sila walley	2
2. K. Sila Mellity	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept y MLR NCR NO .: 2019 (64) D2	9
Dept./ Function: Marine Lingue Responsibility:	
Audit No. : Date of Audit : 18-4-19	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity:NIL	
Auditor Name: Port. Annja (1) gca Signature & Date: Annja (1) gca	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
NO NCR	
Root Cause Analysis :	
Proposed Corrective Action:	
Proposed Completion Date:	
Proposed Completion Date: Auditee Name: Signature & Date: Prof. N. K. S. Horres I.M. Varification of percentive actions (To be Filled & Auditor at the time of places)	(U)
vernication of corrective actions (10 be filled by Addition at the time of closure)	
Status: Open / Closed. Status: Open / Closed. Abditor Name Signature & Date: (PVCJ. N. Kythor By	ر رس
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	- /
Auditor Name / Sign. Date:	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019 104 1 D30

	NCR No.: 2619 1991
Dept./ Function: Mathaman's F	Responsibility:
Audit No. :	Date of Audit: . 23 - 00(-20) 9
DETAILS OF NONCONFOR	RMITY (To be filled by Auditor)
ISO 9001 Clause : Reference D	ocument(s):
2 G. Naguras	P. 19. R. J. Signature & Date: 23/ufl9
CORRECTIVE ACTION REP	PORT (To be filled by Auditee)
Proposed correction :	Mil -
Root Cause Analysis :	
Proposed Corrective Action:	•
Proposed Completion Date:	ie/
Auditee Name: N.V. E.S. Musity S	TARA TARA
Verification of corrective actions (To be filled by	Auditor at the time of paster UNIVERSITY
Sic	uditor Name : 2 Nagara
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	23/4/19
Auditor Name / Sign. Date :	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/ D31

NCR No.: 2019 10	(100)
Dept./ Function: Halescidory 20(eo Mesponsibility:	
Audit No. : Date of Audit : 22 -7 - 2019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: Nil	
Auditor Name: Por k. Breavaich Signature & Date:	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction - NA -	
Root Cause Analysis: -NA-	utre
Proposed Corrective Action: WA - V: Light VISAKHAPATN	d Meteorolog ogråphy
Auditee Name: Prof V. G. (REGHT HTTY) Signature & Date: Below =	
Verification of corrective actions (To be filled by Auditor at the time of closure))
Status: Open / Closed. Auditol Name: Signature & Date:	
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit) Poll: K. Baswarah Auditor Name / Sign. Date: V. G. R. OM R. TM 12-1718	٦.
Auditor Name / Sign. Prof V-GIRIOM ATTM. V. L. S. S. 22/7/19	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No : 2019/04/132

	NCR No., 25 (1)
Dept./ Function: Miles be ology Responsibility: Had	of the Department
Audit No. : Date of Audit :	
DETAILS OF NONCONFORMITY (To be filled by Au	ditor)
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: NA	
Auditor Name : Signature & Date :	
CORRECTIVE ACTION REPORT (To be filled by Aud	litee)
Proposed correction : NA	
Root Cause Analysis :	·
Proposed Corrective Action :	HEAD HEAD
Proposed Completion Date:	DEPARTMENT OF MICROBIC COLLEGE OF SCIENCE & TECHI
Auditee Name : & Ph V. L. Palpara Signature & Date: 12244	ANDHRA UNIVERSITY VIEAKHAPATNAM - 530 0
Verification of corrective actions (To be filled by Auditor at the time of closur	re)
Status: Open / Closed. Auditor Name : Signature & Date :	P. Sweette
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. P. Sweette	` .



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

		NC	R No.: 2019/04	l'D:
Dept / Function: Nuclear Physics	Responsibility			
Audit No.	Date of Audit	25-04-2	019.	
DETAILS OF NONCONF	ORMITY (To be file			
ISO 9001 Clause : Reference	e Document(s) :			\dashv
Nonconformity:				
1. Prof. N. V. E.S. Mut Auditor Name: 2. Prof. M. Vijaya Sant CORRECTIVE ACTION F	Hay Sunature & Date	ed by Auditee)	mb. 25/4/19	-
Proposed correction Nothing parkeul	an			
Root Cause Analysis : Nome				
Proposed Corrective Action Nill -				
Proposed Completion Date:		d		
Auditee Name P.V. Madhu Sudhana Rao	Signature & Date	MM	July of Nucle	
Verification of corrective actions (To be filled b	by Auditor at the tim	e of closure)	Mapatnam-5	
	Auditor Name Signature & Date	Dran-	Mr. South	2
Verification of effectiveness of corrective action to be filled by auditor during next internal audit)	on ()	1VE5Hurty/ 25/4/19	(M. Vijaya S	25
Auditor Name / Sign. Date :				



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No 2019 /04/ D34

The Tomor Orga	nic Chamiltony and FDW	Responsibility	HOD	
Aud N Dr. Sid	daiah.V	Date of Audit	25/04/2019	
	DETAILS OF NONCONF	ORMITY (To be	filled by Auditor)	
ISO 9001 Clause	Referenc	e Document(s) :		
Nonconformity:				
Pry. le	C.T. Balazan Palal	n. W.	- estration	
	CORRECTIVE ACTION	REPORT (To be f	illed by Auditee)	
Proposed correction	_	N: L		
Roof Cause Analysis	_	N &		
Propos id Corriccive	Action	NA	0	
The sincompliant		V. Side	<u>-</u>	
Pm.\	CH	CAD OF THE DEE	DRUGS & WAIEN	
Verification of correct	tive actions. To be filled	ANDHRA HNIVER	SITY, WALTAIR AMS30 003 (re)	1
e. w jber 960		Auditor Name Signature & Date	Pm. k. snivivase Rao	w. W sitak
	reness of corrective act		Pry. K. T. Balazan Padal	28/4/9
Auc ame s				



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No. 2019/04/ D35

HON NO.
Dept./ Function : PNCO Responsibility :
Audit No. : Date of Audit : 29-04-2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NIL
Perof. Vasudevakeddy DK. Stain Deubegen Auditor Name: Dr. K. Sita Mainhyer Signature & Date: 29/4/19
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction: AUF 94 is to be corrected (up dated AUF 8, 31, 38,39 and to be up dated.
Root Cause Analysis: Not shoperly lunder stood
Proposed Corrective Action:
Proposed Completion Date:
Auditee Name: Dr. P. Shyanale Signature & Date: Show ale.
verification of corrective actions (10 be filled by Auditor at the time of closure) displayed
Status: Open / Closed. Auditor Name Dick Selection Signature & Date:
/erification of effectiveness of corrective action to be filled by auditor during next internal audit)
Auditor Name / Sign. 1 2. K. Silanolihya



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/136

-	Dept./ Function: Psychology Responsibility: Head of the Department
	Audit No. : Date of Audit : 08 - 08 - 2019
	DETAILS OF NONCONFORMITY (To be filled by Auditor)
I	ISO 9001 Clause: Reference Document(s):
	Nonconformity: Prof. K. Sninivasa Rao 4. W.
	ly K.T. Balvan Padal Osselmono
-	Auditor Name: Signature & Date:
-	CORRECTIVE ACTION REPORT (To be filled by Auditee)
	Proposed correction : — NIL-
,	Root Cause Analysis : —— - NA -
	Proposed Corrective Action: — NA -
	Proposed Completion Date: Auditee Name: fry M.V.R. Rufu Signature a Date: 8 8 72019
H	Verification of corrective actions (To perifler by Auditor at the time of closure)
	Status: Open / Closed. Status: Open / Closed. Signature & Date: 2. Pro K.T. Balarow factors
	Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
1 .	Auditor Name / Sign. Date :



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/64/1237

NON NO., Zai 11 - 3	
Dept./ Function: Physics (AUCST) Responsibility:	7
Audit No. : Date of Audit : 26-04-2019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	٠
ISO 9001 Clause: Reference Document(s):	1
Nonconformity:	
Auditor Name: 2. Proj. M. V. jaya Santhi Signature & Date 1919 W. Santhi 26 Joy 19 CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction Nill.	
Root Cause Analysis: Nolhing major	
Proposed Corrective Action: 1 one) (20) 1
Proposed Completion Date:	
Auditee Name: Prof-K. Samatha Signature & Date: 1 Lulling Head	
Verification of corrective actions (To be filled by Auditor at the time of physics	
Status: Open / Closed. Andhra University Auditor Name Signature & Date:	
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	1419 61419
Auditor Name / Sign. Date :	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/138

Dept./ Function: (D. 1. 9 O) . 1.	✓ Responsibility:
Dept. / Function: Dept of Store his	(1985년) 1887년 - 1987년 - 1987년 - 1987년 - 1987년 1887년 1887년 1887년 1887년 1887년 1887년 - 1987년 1887년 1887년 1887년 18
Audit No. ;	Date of Audit: 29-4-2019
DETAILS OF NO	NCONFORMITY (To be filled by Auditor)
ISO 9001 Clause:	eference Document(s) :
Nonconformity: Mr. Ralm. 1 Fx. Ralm. 2. Gr. Negasak. Auditor Name:	Signature & Date:
CORRECTIVE A	CTION REPORT (To be filled by Auditee)
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	L. Nenkaliswon B
Auditee Name E. VENKATESWA	RA RA Signatile A Date: Department of Statistics
Verification of corrective actions (To	Department of Statistics be filled by Audilya Britisetraty closure)
Status: Open / Closed.	Andhra Riadesh. India & Nagaral 39/4
Verification of effectiveness of correct (to be filled by auditor during next internations)	
Auditor Name / Sign. Date :	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No : 2019/04/ DIS

Dept / Function So Sology Responsibility
Audit No Date of Audit 25/04/2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
150 9001 Clause: Reference Document(s): Communication Matrix AUP-38
Nonconformity: Communication Matrix to be up'dated according
to oborter for nec-Allah, 2019 Si
Auditor Name Prf. P. Yodu Kondala run Signature & Date 4 25/4/7 25/64/619
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction Commanication Makix to be up daked
Root Cause Analysis Due to heavy work load
Proposed Corrective Action Up that the Communication Harding
Proposed Completion Date 27/04/219
Auditee Name DR.D. Ramelao Signature & Date Dallon 25/11/2018
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status Open / Closed AUT - 28 - WINTER Auditor Name Signature & Date Mr. P - Yedukoudalahu Aut - 28 - Winter Auditor Name Signature & Date
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
X 106. (1. (1) x) 30 14 and Kar 25. (2. 22/4/19
Audror Name / Sign Prof - p. yedoxoadola Mas James 7 7/04/2019



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/16

Dept./ Function: Day of Tewsu Responsibility:	
Audit No. : Date of Audit : 27-4-20 9	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: — NIL —	
Auditor Name: Prof. Armie 11 ge Signature & Date: Armie 11 ge CORRECTIVE ACTION REPORT (To be filled by Auditee)	27.4.2
Proposed correction :	
Root Cause Analysis:	
Proposed Corrective Action	
Proposed Completion Date: Auja Tige Note	2
Proposed Corrective Action: Proposed Completion Date: Auditee Name: Signature & Date: (Fuch. N. KISHORE BA) Verification of corrective actions (To be filled by Auditor at the time of closure) Annia Tigge Prof Anni JA Tig	(US
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. Auditor Name : Signature & Date : (NG. N.) CUHORE I	13U)
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date:	
Head of the Dept- of Telugu ANOHRA UNIVERSITY Visakhapatham-530 083, A.P.	,



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO . 2019/04/DM

NCK 110 20111 - 110
Dept./ Function: Physical Echabu Responsibility:
Audit No. : Date of Audit : 15-5-2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NL
1. Prof. P. Vassadeva Redoly 2. DV. K. Sit Munikyan Auditor Name: K. Slamberly .
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : AUFIB, AUF 23, AUF 24, AUF 34, AUF;
Root Cause Analysis: Dre to lack of Staff records not upolated.
Proposed Corrective Action: Lelommeded to apolot.
Proposed Completion Date: 29-5-2019.
Auditee Name: Prof. Visiona Mohan Signature & Date: Man Head of the Department
verification of corrective actions (To be filled by Auditor at the time infinitely like it is a specific very like the state of the sta
Status: Open / Closed. Andhra University, Visakhapatnam-330,003 Prof. P. Vin Sudward Conference of the Conference of t
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date
2. K. Selvanage



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/64/ PE

Dept./ Function: PRINCIPAL OFF School Responsibility: PRINCIPAL
Audit No. : Date of Audit : 02 May 2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity:
Auditor Name: VISTA Den's Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : NIL
Root Cause Analysis : N1
Proposed Corrective Action:
Proposed Completion Date:
Auditee Name : RINCIPAL Massive Signature & Date:
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name Signature & Date: (2) - (2) 5
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date:

PRINCIPAL

Jollege of Science & Technology

Anches University Visakhacatham



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/ D18

	_
Dept./ Function: App (sed Marching Responsibility:	
Audit No. : Date of Audit : 22 - 4 · 2019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: 1 P.K.R. B.	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name Prof. M. Vijaya Sauthi Signature & Date: Verification of corrective actions (To be filled by Auditor at the time of closure).	iner
Verification of corrective actions (To be filled by Auditor at the time of closure) Applied Matheria Andhra University	atics
Status: Open / Closed. Andhra Universi Andhra Universi Auditor Name : 2 Wafaw Signature & Date : 22/4/10	0 00
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	J
Auditor Name / Sign. Date	8



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/11/11

	NCR No.: 261416-1/9
Dept. / Function: Bio-Chemistry	Responsibility:
Audit No. :	Date of Audit: 30-4-2019
DETAILS OF NONCO	NFORMITY (To be filled by Auditor)
ISO 9001 Clause : Refere	nce Document(s) :
Nonconformity: 1 f. K. K. J 2 G. Nagalago. Auditor Name:	P. E. A. Signature & Date: 394
	N REPORT (To be filled by Auditee)
Proposed correction :	<u>-1</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	P. Radhika
Auditee Name : PROF . P. RADHIKA	Signature & Date :
Verification of corrective actions (To be fille	ed by Auditor at the time of closure)
Status: Open / Closed.	Auditor Name : 2 Name : 30/4
Verification of effectiveness of corrective a (to be filled by auditor during next internal aud	
Auditor Name / Sign. Date :	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/120

Dept./ Function: Bio-Technology	Responsibility:
Audit No. :	Date of Audit : 22 4 2019
DETAILS OF NONCONF	ORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference	Document(s) :
Nonconformity:	
Auditor Name :	Signature & Date :
CORRECTIVE ACTION R	REPORT (To be filled by Auditee)
Proposed correction : NA	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	Head
Auditee Name: x Dr. K.L. Cal poura	Signature & Date: X Andhra Universit
Verification of corrective actions (To be filled by	by Auditor at the time of closure
2 1 2 - 1 2 - 1 2 2 2 2 2 2 2 2 2 2 2 2	Auditor Name : Poutte
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date .	Le P. Switho



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dent / Function : Della () 37
Dept./ Function: Deft. of Bolany Responsibility:
Audit No. : Date of Audit : 20/4/2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NIL
1. Prof. Varudevakeldy 1. Jakory 2. K. Silmuraiajan Auditor Name: 9. Dr. K. Silmuraiajan Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction: AUF-8 is to be up dated
Root Cause Analysis: Collected Latest i'm formation and is to be updated in AUF-8. Proposed Corrective Action:
Proposed Completion Date: 22-04-2019
,
Auditee Name: Swattage 4/19 Signature & Date:
Verification of corrective actions To be filled by Auditor at the time of closure)
Andhra University Status: Open / Closed. Auditor Name Andhra University Andhra University Andhra University Auditor Name 1. Serry (8. Vasadera Redor)
Signature & Date : 2 . (K · N l - mor) Stry
(to be filled by auditor during next internal audit)
Auditor Name / Sign. 1. Date 2. K. Selamanya.



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/64/123

NCR No.: 280 (6)	
Dept./ Function: Envilonmental English Responsibility:	1
Audit No. : Date of Audit : 22 4 20	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity:	
& Jest. M. Jagrendhe Kio	
Auditor Name: & Peef. P. Senthe Signature & Date: A f. Junthe	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction .	
AN	
Root Cause Analysis :	
Proposed Corrective Action:	
Proposed Completion Date:	
Proposed Completion Date: Auditee Name: x P.V. V. Prasada Ran Signature & Date: P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund Prasada Ran Signature & Date: P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund Prasada Ran Signature & Date: P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund Prasada Ran Signature & Date: P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund P.V. V. Lund Prasada Ran Auditee Name: x P.V. V. Lund P.V. V. Lund P.V. V. Lund P.V. V. Lund P.V. V. V. Lund P.V. V. V. Lund P.V. V. V. V. Lund P.V. V. V. V. V. Lund P.V.	
Verification of corrective actions (To be filled by Auditor at the time of closure) pt. of Emmental	iment Scirn
Status. Open / Closed. Auditor Name Signature & Date:	rty
/erification of effectiveness of corrective action to be filled by auditor during next internal audit)	
Auditor Name / Sign × DJ × P. Scrutto	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019 104 102

Dept./ Function: food & Nutrition	Responsibility: Head of the Depretor
Audit No. :	Responsibility: Head of the Depression of Date of Audit: 22/4/2019
DETAILS OF NONCON	FORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference	ce Document(s) :
Nonconformity : 🖊 ะ	
Auditor Name :	Signature & Date :
CORRECTIVE ACTION	REPORT (To be filled by Auditee)
Proposed correction : MA	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	Head of the Department
Auditee Name : DL. V.L. Conform	Signature & Date: Food, Nutrition & Dietetics Andhra University
Verification of corrective actions (To be filled	by Auditor at the time of closure)
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective act (to be filled by auditor during next internal audit)	tion
Auditor Name / Sign. Date	P. Suntte



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019 /04/1024

Dept./ Function: Department of Geography Responsibility:
Audit No. Port Anuja Tigge Date of Audit:
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NONCR
Nonconformity: No NCR Lof. K.R. Sudhe H. S. Jr. Fill Auditor Name: hof. M.S. Anuadu Signature & Date: Aurja Tigga go. 04.19
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction Department of Geography Visakhapatham-530003
Root Cause Analysis :
Proposed Corrective Action:
Proposed Completion Date:
Auditee Name Rof. Annia Tigge Signature & Date: Annia Tigge 28-04 2019.
To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : 2 - M. Satya Anthony Status
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019 /04/ 125

and Colemn 19 and The Robert
Dept./ Function: Left her hysis Responsibility: Head of the Lept
Audit No. : Date of Audit :
DETAILS OF NONCONFORMITY (To be filled by Auditor)
SO 9001 Clause : Reference Document(s) :
Nonconformity: AUF 08, AUF 27
Auditor Name: V. Smoen' Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction: The above reunals will be updated as per our conservity norms.
as per our convertity norms.
Root Cause Analysis: Head, the atment of the Analysis to Manahra Unit and VISAKHAPATHAM
Proposed Corrective Action:
Proposed Completion Date: 29/4/19 Koberum
Auditee Name: Dr. S. K. BELUNSignature & Date: 29[4]
/erification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name: TVK Blankalash, V. Shkuri Signature & Date:
Verification of effectiveness of corrective action to be filled by auditor during.next internal audit)
Auditor Name / Sign.



AUF-06

AUDIT NON-CONFORM (NCE REPORT (NCR)

NCR No .: 2019/04/D26

Dept./ Function: GEOLOGY Dept. Responsibility: H.O.D.
Audit No. : Date of Aucit: Way 2, 2019
DETAILS OF NONCONFORMITY (1 o be filled by Auditor)
SO 9001 Clause : Reference Document(i) :
Nonconformity:
Auditor Name: V. Szi Devi Signatur: { Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action :
Auditee Name: M. Jose woodle Ras Signature & Date: Signature & Date: Signature of closure)
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name Signature & Date: Name 2 Total
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date:

Audalee: M. The Me

Head of the Department
DEPARTMENT OF GEOLOGY

Andhra University

Visakhapatnam-530003



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/04

		No. 2.5 And the first formation
Dept./ Function Dept g & d	Ucating Responsibility:	
Audit No. :	Date of Audit :	27-4-2019
DETAILS O	OF NONCONFORMITY (To be filled by	y Auditor)
ISO 9001 Clause :	Reference Document(s):	
Nonconformity:	NIL	
Auditor Name : RM · ANU 5	HORE BARU A TIQ 4 A Signature & Date : VE ACTION REPORT (To be filled by	
Proposed correction :	No NCR	
Root Cause Analysis :	100 10017	
Proposed Corrective Action :		121.
Proposed Completion Date:	Annja rigge	N Com
Auditee Name	Signature & Date:	NKpw C (pr.J. N. KyHoRE Bysc)
Verification of corrective actions		
Status: Open / Closed.	Pritadio JASTILLA Auditor Name Signature & Date:	(fuct. N. ICHHORE BARU)
Verification of effectiveness of c		
(to be filled by auditor during next i	nternal audit)	
Auditor Name / Sign Date :		121
		HEAD

Department of Education Andhra University Visakhapatnam-530 003 Andhra Pradesh-India



AUF-

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2014104165	Į.
Dept./ Function: Dept. of Coghish, Responsibility: Head of the Dept.	
Audit No. : Date of Audit :	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
SO 9001 Clause : Reference Document(s) :	•
Nonconformity:	
Auditor Name: (*) Signature & Date:	•
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction: All the neurals as per observation are to be	
Root Cause Analysis: No prod white DPDA RAJU Head of the Department	
Proposed Corrective Action: Andhra University	
Proposed Completion Date:	
Auditee Name : Dr. D. Pedo R. Tu Signature & Date :	
'erification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. Auditor Name T. V. k. I he un flush. Sign: 100 & Date:	V-8
Signature & Date: Verification of effectiveness of corrective action of be filled by auditor during next internal audit)	سو
uditor Name / Sign.	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/D&

Dept./ Function: Fine かたてら Responsibility:	
Audit No. : Date of Audit : 07/08/2019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: 1the bollowing Ragistery to be Updated:	
ABF- 0d, 20, 26, 27, 33, 34 and 35	
Auditor Name: 11 wt. P. 40 duk pud ala Masignature & Date:	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction: 1 tut about Land file to be updated	Ē
Root Cause Analysis: Heavy workland due to introduling of Marked digner Course. Proposed Corrective Action: To be reitified in a cample of days Proposed Completion Date:	
Proposed Completion Date:	-
Auditee Name: D. Simhachalam Signature & Date: S	ė
Verification of corrective actions (To be filled by Auditor at the time of closure) Commerce College	95
Status: Open / Closed All the above they are Opduted prof. C. Winjayawoon of	9/9/5
Status: Open / Closed. Auditor Name: http://www.signature & Date: http://www.signature.auditor.audito	17
Verification of effectiveness of corrective action	9/08/19
(to be filled by auditor during next internal audit)	It as I
Auditor Name / Sign. Date:	,



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/07

The state of the s
Dept./ Function: Dept-of Huroli Responsibility:
Audit No. : Date of Audit : 25.4.2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NIL- 1) prof. T. W. IL. Bhony prokony
Auditor Name: 2) Drol. V-Sviden' Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : No NCA .
Proposed correction Root Cause Analysis: Proposed Corrective Action: HEAD OF THE DEPARTMENT OF HIND!
Proposed Corrective Action: Proposed Completion Date: HEAD OF THE DEPARTMENT OF HINCH DEPARTMENT OF HINCH ANDHRA UNIVERSITY ANDHRA UNIVERSITY ANDHRA UNIVERSITY VISAKHAPATNAM - 530 QM3.
Auditee Name: Head of the Dept. Signature & Date:
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date: 25. 4. 2019



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/08

Dept./ Function :	islory & Archeologus Resp	onsibility:	
Audit No. :	Date	of Audit :	30.4.2019
	DETAILS OF NONCONFORMIT	Y (To be filled	d by Auditor)
ISO 9001 Clause :	Reference Docu		
Nonconformity:	Rof K. Busevaiah	- hi	(—
Auditor Name :	bud 1. P. B. BONDS BELIEVE	Hara & Date :	1= 1. Ly (5)
	CORRECTIVE ACTION REPOR	(To be filled	by Auditee)
Proposed correction	- NA	_	
Root Cause Analysis	_ NA		
Proposed Corrective	Action: -NA	_	
Proposed Completion D	ate: _ NA -	-	
Auditee Name :	Signa	ture & Date :	
Verification of correcti	ve actions (To be filled by Audit	or at the time	of closure)
Status: Open / Closed.	Auditor Signatu	Name : Na	12 - Sal 19
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit) Prof K. Besavaia Auditor Name / Sign. Prof V. GIRIJASASTRY Date: Date: No. 100 - 10			
Auditor Name / Sign. Date	Prof V. GIRITASASTR	1 V.L	7. 20730)4/19



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No : 2019/04/DR

Dept. / Function: Human Resources	Responsibility
Audit No. : Management	Date of Audit : 19-06-2019 .
DETAILS OF NONCONF	ORMITY (To be filled by Auditor)
	e Document(s) :
Nonconformity:	
1. Prof. N.V.E.S. Murita Auditor Name: 2. Prof. M. V.Jaya Saule CORRECTIVE ACTION I	Signature & Date 19/6/19 Sauth 19/6/19 REPORT (To be filled by Auditee)
Proposed correction Nill	
Root Cause Analysis Nothing major Proposed Corrective Action: Notre	
Proposed Completion Date: Auditee Name Pr J. J. John.	Signature & Date 19/6/2019.
Verification of corrective actions (To be filled	by Auditor at the time of closure!
	VISAKHAPATNAM-530 003, A.P.
Status: Open / Closed.	Auditor Name Signature & Date
Verification of effectiveness of corrective act (to be filled by auditor during next internal audit)	tion (NVESHUYTY)
Auditor Name / Sign. Date :	let



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2019/04/179

Dept./ Function: Journalism	
Audit No.	Date of Audit: 30.4.2019
DETAILS OF	NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	Mil.
Prof. K-Basavayya Auditor Name] U. GIRITAS & TRY	Signature & Date:
CORRECTIVE	ACTION REPORT (To be filled by Auditee)
Proposed correction :	Nil
Root Cause Analysis :	M
Proposed Corrective Action :	•
Proposed Completion Date:	3004-20
Auditee Name	Signature & Date :
Verification of corrective actions (7	Fo be filled by Auditor at the time of closure)
Status: Open / Closed.	Profik-Basavayyn 3-2012 2 Profik-Basavayyn 3-2012 Auditor Name : 30/4/19
Verification of effectiveness of corr (to be filled by auditor during next inte	rective action
Auditor Name / Sign. Date	30/4/17



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/210

	,
Dept./ Function: Library and inform	~ໄລ້ Responsibility :
Audit No. :	Date of Audit: 31/7/2019 .
	CONFORMITY (To be filled by Auditor)
The state of the s	erence Document(s):
Nonconformity:	NA - (not applicable) wil
	N. L
CORRECTIVE ACT	ION REPORT (To be filled by Auditee)
Proposed correction :	
Root Cause Analysis :	NA —
Proposed Corrective Action :	
Proposed Completion Date:	V-L
Auditee Name :	Signature & Date: 3-17-11-19.
Verification of corrective actions (To be f	filled by Auditor at the time of closure)
Status: Open / Closed.	Auditor Name : Signature & Date : (U. G. (K.) TAS ASTRY)
Verification of effectiveness of corrective (to be filled by auditor during next internal at	e action
Auditor Name / Sign. Date:	7. 56 no Nat.

Head of the Department
Library and Information Science
Andhra University
Visakhapatnam-530 003



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/01)

Dept./ Function: Dept. g Music & Responsibility: Dance Dance Dance Dept. g Music & Responsibility:	
Audit No Date of Audit: 20-4-2019	
Audit No. : Date of Audit : 20-4-1019	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: — NIL —	
Prof. N. KBHORE BADU — Nove C Auditor Name: Prof. ANUJA TIGGA Signature & Date: Anuja Tigga 20, 4, 201	19,
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
NO NCRA	
Root Cause Analysis :	
Proposed Corrective Action	
Proposed Completion Date: Auja Tigge Night	
Proposed Completion Date: Auditee Name: Auditee Name: Prof ANUIA TIGGE Night Not N. ICISHOLIZI	BANO
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. Status: Open / Closed. Signature & Date: PVO. N. K. S. HORE B	3 MU)
Verification of effectiveness of corrective action	
(to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR NO .: 2019/04/ 812

Dept./ Function	Philosophy	Responsibility.			
Audit No.		Date of Audit :	03-07-2019	3	
	DETAILS OF NONCO	ONFORMITY (To be fil	led by Auditor)		
ISO 9001 Clause	: Refere	ence Document(s) :			
Nonconformi	ty:		25 mil	-tal19	
I. Auditor Name : 2	Prof. N.V.E.S. Mui -Prof. M.Vijaya Sa	Thy what Signature & Date	M. San	3/7/10	1.
		ON REPORT (To be fill			
Proposed correct	tion None				
Troposcu correc	Mon 1 (viic				
Root Cause Ana	alysis: None				
Proposed Correc	ctive Action : Nill-				
Proposed Comple	etion Date:		4		
Auditee Name	B.RAVI BABU	Signature & Date	Bf mil 03	107/19	
Verification of co	orrective actions (To be fil	lled by Auditor at the ti	Dept. of P	Dilosophy	
Status Open / C	losed.	Auditor Name Signature & Date	Andhra U Visakha	niversity	all.
	fectiveness of corrective ditor during next internal au	action	3/7/19 NVESHUNTY		3/7
Auditor Name / Sig	gn				



AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2041641
Dept./ Function: Deft of Political Science. Responsibility:
Audit No. : Date of Audit : 29 - 04 - 2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NIL
Auditor Name: Dr. K. Sila Manikyen Signature & Date: K. Sila martyen
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction: AUF 26 and AUF 8,31,38,39 are to be updated
Root Cause Analysis: O AUF. 26, CResults are get to be precised? Root Cause Analysis: O AUF. 26, CResults are get to be precised? DAUF8, 31,38,39 (since there are new formals not emdenstood Rioperly. Proposed Corrective Action: to be updated
Proposed Completion Date: 30 - 4 - 2019
Auditee Name: Dr. P. Premanand Signature & Date:
Verification of corrective actions (To be filled by Auditor at the time of Charles Public Administration
Status: Open / Closed. Andhra University Visakhapatnam-530 003 Signature & Date: K. Surmacilypu
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. 1. Silve worker.



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No 2019 /04/ DH

	Andhra University
Auditor Name "Signi Date	rece received to facility
	Sohlary
Verification of effectiveness of corrective action to be filled by auditor during next internal audit	
oslo 8/2019 Signature & Date	518149
Verification of corrective actions (To be filled by Auditor at the time of closure) An The above file are worked and up a discovery Since Change	61019
Auditee Name Prof. R. Swami Day Signature & Date & 5. 1001	14/2019-
Proposed Completion Date 67 764, 2019	
Proposed Corrective Action After July 111-219 will be submitted	
Root Cause Analysis HoD on loave	
12- Joining of HOD at to duties.	
Proposed correction The above sand files 6,11 be submitted	Ma
Auditor Name Mutil . Years Condition Signature & Date of Codition Corrective Action REPORT (To be filled by Auditee) 29/4/	22/01/19
Nonconformity: At above south file one not consider at the	
ISO 9001 Clause: Reference Document(s): +0F-09, AUF-24 20	5.26.77
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
Audit No Date of Audit 29/04/2019	
Dept Function Solia Cork Responsibility	



AUT-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2019/04/TMI
N
Dept./ Function: TOP newscale Responsibility: Administration of Univarity
Audit No. : Date of Audit : 12 9 2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause: Reference Document(s):
Nonconformity: NO NCR
Auditor Name: 2) by . O-lelitte Blasher Signature & Date: 2) Colittes
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : NU_
Root Cause Analysis :
Proposed Corrective Action:
Proposed Completion Date:
Auditee Name: Vice chancella Signature & Date: VICE-CHANCER BR
Verification of corrective actions (To be filled by Auditor at the time of closure) Andhra University
1) Pry M. Tayorkhiden J. My
Status: Open / Closed. Auditor Name : 2) Pry D-Call the Chase : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
124 M
Auditor Name / Sign.



Date:

ANDHRA UNIVERSITY VISAKHAPATNAM

111-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/7m2

NCR No.: 26/9104/	
Dept./ Function: Top Management Responsibility:	
Audit No. : 01 Date of Audit :	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause: Reference Document(s):	
Nonconformity: No NCR	
1) Prof mogamadhe Ru Auditor Name:) Bry D-Latitta Bhashazi Signature & Date: 2) Abalittag	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action:	
Proposed Completion Date: Auditee Name: Rector, Au Signatur & Date: OR 12 19119 Andhra University	
Verification of corrective actions (To be filled by Aud the Tink & Rosure)	٨
Status: Open / Closed. Auditor Name Signature & Date: Thy D-Calitte Cheshen delites	<i>√</i> /
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	



AUT-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/Tm3

Neithon 555 j	
Dept./ Function: TOP management Responsibility:	
Audit No. : 01 Date of Audit :	_
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause: Reference Document(s):	1
Nonconformity: No NCR'S	
1) Pry M. Jakanadla Ross Auditor Name 2) Pry D. Leli the Rhe Jan Signature & Date: 2) Le litte &	1
Auditor Name 2 log D. leli the Rhe log Signature & Date: 2) 18 litte] ,
CORRECTIVE ACTION REPORT (To be filled by Auditee)	2/19
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action:	
Proposed Completion Date:	
Auditee Name : legis trau, Au Signature & Date : REGISTRAR 12/9/19	14
Verification of corrective actions (To be filled by Auditor at the time ANGULBA UNIVERSITY	
DOVISA::HAPATNAM-530 003	LIM
Status: Open / Closed. Auditor Name	-
Signature & Date :) If D-lalithe Blaken flatt	8
(to be filled by auditor during next internal audit)	blotio
	1011111
Auditor Name / Sign.	
Date:	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/mRo,

Dept./ Function: IRAC office. Responsibility:
Audit No. : Date of Audit : 15/4/19
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause: Reference Document(s):
Nonconformity: NIL
lief. K. Rame Sudhe Suoneen 15/4/19
Auditor Name: Prof. G. Cirija Shenfignature & Date: 4-9 15/4/19
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : NIL-
Root Cause Analysis :
Proposed Corrective Action :
Proposed Completion Date:
Auditee Name: D. Coordinator IQAC & ISO Verification of corrective actions (To be filled by Auditor at the time of closure) And Auditee Name: Prof. D. LALITHA BHASKAR Coordinator IQAC & ISO And Auditee Name: Prof. D. LALITHA BHASKAR Coordinator IQAC & ISO Verification of corrective actions (To be filled by Auditor at the time of closure) And Auditee Name: Prof. D. LALITHA BHASKAR Coordinator IQAC & ISO
Verification of corrective actions (To be filled by Auditor at the time of closure) Andhra University Visakhapatnam-530 003
Status: Open / Closed. Auditor Name: Signature & Date:
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date:



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/101

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Dept./ Function: primapal, 16th a Responsibility:	1
Audit No. : Date of Audit: 08/08/2019	
	1
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity:	
Auditor Name: Prof. P. yedurandal a Nacsignature & Date: 1 mg/08/2019	19
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : N', L ~	
Root Cause Analysis:N'\	
Proposed Corrective Action: W.L.	
Proposed Completion Date:	
Auditee Name Prof. K. Rama Mahara Rap Signature & Date R I NG I POL.	
Verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the fine of close of the verification of corrective actions (To be filled by Auditor at the file of the verification of corrective actions (To be filled by Auditor at the file of the verification of	1.
Status: Open / Closed. Auditor Name: Signature & Date: 1 ht - 1 - Yed Union and a here Verification of effectiveness of corrective action	39913
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	9 C/20/201
Auditor Name / Sign. Date :	
	1



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019/04/01

Dept./ Function: Awhro pology	Responsibility :
Audit No. :	Date of Audit: 25-04-2019
DETAILS OF NONC	CONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Refer	rence Document(s):
Nonconformity:	NO NCR
1 PRRJE	1 P. K. Roch
Auditor Name: 2. G. Nagasogn.	z Nafory Signature & Date :
CORRECTIVE ACT	ON REPORT (To be filled by Auditee)
Proposed correction :	OVi)
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	1 Duny
Auditee Name : D. RAMESH	Signature & Date: Department of Anthropology
Verification of corrective actions (To be	filled by Auditor at the time of closure) Visakhapatnam-50
Status: Open / Closed.	Auditor Name : 2 Naguel 2 / 16
Verification of effectiveness of corrective (to be filled by auditor during next internal and an arrangement)	e action
Auditor Name / Sign. Date :	



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .: 2019 04/D2

NCR NO.: 2019 10 41 DZ
Dept./ Function: Defil of commune & Responsibility:
Audit No. : Date of Audit : 24-04-2019
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: NIL
1. Prob- Vambera Reddy
Auditor Name: 2 Da K-Sili Manky Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction: 1. AUF-26 moto be up duted.
Root Cause Analysis: 1. Results not one cained given parnowned 2. Clarity has to be laken
A glab عط الماتور Proposed Corrective Action: عمل الماتور
Proposed Completion Date: 97 - 84 - 2019
Auditee Name: Port - a Salyanarayare Signature & Datement Head of the Declaration.
Verification of corrective actions (To be filled by Countrel Fine time of closure) Andhra University
Status: Open / Closed. Auditor Name: Post Nama devalody Denny Signature & Date: Dr. K. Sile Haidyer. Vol.
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date:
Auditor Name / Sign.



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

School & Economics NCR No.: 2019/04/D
Dept./ Function : Responsibility :
Audit No. : Date of Audit :
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity:
Auditor Name: P. Greetle Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : Root Cause Analysis :
Proposed Corrective Action :
Proposed Completion Date:
Auditee Name : Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name Signature & Date:
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Date: Auditor Name / Sign.

Auditee Sign; Hoj) M. Fus

DEPARTMENT OF ECONOMICS
ANDHRA UNIVERSITY
VISAMIAPATNAM-530 003

Internal Audit NCR Summary:



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-07

INTERNAL AUDIT NCR SUMMARY

Audit Date(s): 4th April - 15th April 2019

Sl. No.	College / Departments / Section	Total No. of Nonconformities
1.	Vice-Chancellor	Nil
2,	Rector	Nil
3.	Registrar	Nil
4.	MR Office	Nil
5	Principal, AU College of Arts & Commerce	Nil
6.	Anthropology	Nil
7.	Commerce & Mgt. Studies	Nil
8.	Economics	Nil
9.	Education	Nil
10	English	Nil
11.	Fine Arts	7
12.	Hindi	Nil
13.	History and Archaeology	Nil
14.	Human Resource Management	Nil
15.	Journalism & Mass Communication	Nil
16.	Library & Information Science	Nil
17.	Music & Dance	Nil
18.	Philosophy	Nil
19.	Political Science & Public Administration	Nil
20.	Sanskrit	Nil
21.	Social Work	10
22.	Sociology	1
23.	Telugu	Nil
24.	Physical Education	Nil
25.	Principal, AU College of Science & Technology	Nil
26.	Applied Mathematics	Nil
27.	Biochemistry	Nil
28.	Biotechnology	Nil
29.	Botany	Nil
30.	Environmental Sciences	Nil
31.	Food & Nutrition and Dietetics	Nil
32.	Geography	Nil
33.	Geology	Nil
34.	Geophysics	2

35.	Human Genetics	Nil
36.	Inorganic & Analytical Chemistry Nil	
37.	Marine Living Resources Nil	
38.	Mathematics	Nil
39.		
40.	Transcribing, as Occanography	
41.	Nuclear Physics	Nil
42,	Organic Chemistry	Nil
43.	PNCO	Nil
44.	Psychology & Parapsychology	Nil
45.	Physics	Nil
46.	Statistics	Nil
47.	Systems Design	Nil
48.	Zoology	Nil
49.	Principal, College of Engineering(A)	Nil
50.	Architecture	Nil
51.	Chemical Engineering	Nil
52.	Civil Engineering	Nil
53.	Computer Science & Systems Engineering	Nil
54.	Electrical Engineering	Nil
55.	ECE	Nil
56.	Geo-Engineering	Nil
57.	Instrument Technology	2
58.	Marine Engineering	Nil
59.	Mechanical Engineering	Nil
60.	Metallurgical Engineering	Nil
61.	Engineering Chemistry	Nil
62.	Engineering Mathematics	Nil
63.	Engineering Physics	Nil
64.	Humanities and Social Sciences	Nil
65.	Principal, College of Engineering for Women	Nil
66.	Computer Science Engineering (AUCEW)	Nil
67.	Mechanical Engineering (AUCEW)	Nil
68.	ECE (AUCEW)	1
69.	Electrical Engineering & Civil Engineering (AUCEW)	Nil
70.	Principal, College of Pharmaceutical Sciences	Nil
71.	Principal, Dr. B.R.Ambedkar College of Law	Nil
72.	College Development Council	Nil
73.	Directorate of Admissions	Nil
74.	Examination Branch	Nil
75.	Dr. V.S.Krishna Library	Nil

5) Management Review meeting:

Following the internal Academic and administrative audits done by the internal auditors team, the annual Top Mangement review meeting was conducted by the office of IQAC on 28/09/2019 at 11:30am with all the Heads, Deans, Directors, Registrar, Principals, Rector and Hon'ble VC as Chair in EC hall, CAO, Andhra University.

6) Action Taken report:

Minutes of the previous meeting were presented and ratified by the Top Mangement. The agenda points were discussed for the present MR meeting and following is the action taken report on Academic and Administrative audit.

- 1) The Top Mangement has discussed Qulaity objectives at department level and decided to carryout trend analysis annually with all the Principals and Head of the Departments concerned.
- 2) To maintain Nonconformities related to process performance and corrective action to be taken if any in the departments.
- 3) Corrective actions are suggested to the respective departments and Sections based on the NCRs observed by the internal auditors which are placed before the MR committee.
- 4) The Hon'ble VC has expressed that quality should be maintained in teaching and research. He expressed to improve industry institute interaction and maintain Sock registers properly.
- 5) To obtain feedbacks at the end of every semester and the stakeholders feedback will be taken as and when required. As per the suggestions of external auditors, Hon'ble VC has instructed the office of IQAC to initiate the process of Online student feedback system in coordination with AU computer centre.
- 6) The effectiveness of action taken should be reviewed in next MR.
- 7) To review QMS documents by MR and Heads of the departments.
- 8) Hon'ble VC has briefed all the HoDs, Dean and Principals about Collaborative training with centre for Defense Research, MoU with Avanthi Aqua Seeds which resulted in 100% placements.

7) ISO 9001:2015 external audits by TUV SUD:

The ISO 9001:2015 surveillance audit to conduct academic and administrative audit is scheduled as follows:

Date of ISO surveillance Audit : 2019-09-30 to 2019-10-01

External audit members from TUV-SUD: 1. Sri G. Venkata Chalapati

2. K. Sundaresan

3. Gopu Suresh

8) The detailed audit report of TUV SUD is attached

ISO 9001:2015: Audit Report
Order no.: 4153154208 Client no.: 66843-01
Client: Andhra University



Audit type	5. Surveillance Audit (ISO 900	1:2015)	
(standard / Revision):			
Audit date (on site):	2019-09-30 - 2019-10-14 [2019-08-31]		
Company / customer:	Andhra University		
Street / P.O. box:	Andhra Pradesh		
Zip-Code / state / city:	IN - 530003 Visakhapatnam		
Audit representative:	Prof. D. Lalitha Bhaskari		
Leadauditor/ auditor:	Venkata Chalapati Gouravaran	n / K. Sundaresan, Gopu Suresh	
Technical expert/ trainee:	NA		
Observer:	NA		
Scope of certification:	Degrees; Grant of Affiliation an programmes offered by college	Undergraduate, Postgraduate, arch programmes; Conduct of lication of Results and Award of	
Branch scope (EA/NACE Code):	EA 35, (EA 37)		
Enclosed documents:	 \(\times \) Audit report Annex 1: Action list including opportunities for improvement and positive aspects \(\times \) Audit report Annex 2: Site specific information \(\times \) Audit report Annex 3: Audit program / audited elements 		
Audit results ISO 9001:	Audit objectives for the management system (MS) have been fulfilled; scope of certification is appropriate; a (centrally) managed MS is in place; maintenance of certificate recommended.		
Next audit date scheduled:	Aug.2020		
Re-Audit:NA	☐ on site / date: NA☐ submit documentationNA	Duration in hours:NA	

14/10/2019 Lead Auditor ISO 9001 Date

27233 Revision 4 effective date 15 Jul 2018 page 1 of 7

Order no.: 4153154208 Client no.: 66843-01 Client: Andhra University



1 General information

1.1 Certification scheme

The	audit in question has been conducted within:
\boxtimes	Single-site certification
	Multi-site certification (see Multi-site plan)
	Multi-site certification based on sampling (see Multi-site plan)
	Certificate transfer
	Combined / integrated certification
	Special audit
	Transition Audit (from ISO 9001:2008 to 9001:2015)
	Others (please add):

1.2 Specific information about the client

See "Annex 2: Site specific information".

1.3 Audit objectives

- Determination of the conformity of the management system with audit criteria.
- Evaluation of the ability of the management system to ensure that it meets the applicable statutory, regulatory and contractual requirements.
- Evaluation of the effectiveness of the management system to ensure the client organization is continually meeting its specified objectives.

27233 Revision 4 effective date 15 Jul 2018 page 2 of 7

ISO 9001:2015: Audit Report
Order no.: 4153154208 Client no.: 66843-01
Client: Andhra University



Significant changes which took place since the last audit

Topic	Changes
Management System / documented information	⊠ none □ yes:
Scope of certification	⊠ none □ yes:
Number of employees	⊠ none □ yes:
Others	NIL
1.5 Particularities of the Deviations from the audit plan:	nis audit ⊠ none □ yes:
Significant issues impacting the audit program (planned activities for the certification cycle)	⊠ none □ yes:
Changes in audit objectives or audit criteria:	⊠ none □ yes:
Other particularities:	NIL

27233 Revision 4 effective date 15 Jul 2018 page 3 of 7

Order no.: 4153154208 Client no.: 66843-01 Client: Andhra University



2 Effectiveness of corrections and corrective actions from previous audit

The audit team evaluated the corrective action taken for the nonconformities/ areas of concern from the previous audit.	
In the case of RA / Re-Certification audits, the audit team considered the audit reports for the last two audits in the audit planning / performance of the audits and in particular checked the	
nonconformities / areas of concerns.	☐ Effective
The corrective actions were found to be:	☐ Not effective (MiN or NC)☑ Not applicable (no existing MiN or NC)

3 General evaluation of effectiveness of the management system

Refer to level of maturity of the MS, commitment of top management, guaranteeing the continuous compliance with the legal and other requirements, application of performance indicators, continuous improvement, meeting of objectives and targets, competence of personnel, effectiveness of internal audits and management reviews, etc.

Prof. PVGD Prasad Reddy – Vice Chancellor and Registrar periodically conduct management review meetings with the Principals/Directors, Heads of departments, faculty and supporting staff to monitor and evaluate the quality management system and take appropriate measures for improvement. Effective Internal audit and management review. Last Internal audit conducted from 04/04/19 to 20/04/19 and management review on 29/09/19. Quality objectives are consistent with quality policy and monitored in academic committee meetings at departmental level and management review meetings.

the University has a formal mechanism to obtain feedback on curriculum and other aspects periodically from parents, alumni, employers, community, academic peers and industry. In addition, feedback is obtained from the participants of seminars/ conferences, company representatives who visit the University. Feed backs are discussed in MRM for improvement.

infrastructure include – Academic building, Administrative & Supportive Services, Common halls,e classrooms,Seminor halls,Guest house,Hostel for men,women,Post office, Canteen, ,medical facilities.,Sports infrastructure,Infrastructure for cultural activities.consultancy assignments in government and non-governmental organizations

Total number of findings for the certification area:

Certification area	Major non- conformities	Minor non- conformities	Opportunities for improvement	Positive aspects
ISO 9001	NIL	NIL	NIL	3

Standard elements with findings are listed in the action list (Annex 1).

ISO 9001:2015: Audit Report
Order no.: 4153154208 Client no.: 66843-01 Client: Andhra University



The audit findings are based on the audit evidence collected during the audit and available to the certification body.

27233 Revision 4 effective date 15 Jul 2018 page 5 of 7

Order no.: 4153154208 Client no.: 66843-01 Client: Andhra University



For multi-site audits (with and without sampling): Not applicable

Additional aspects for multi-site audits:	ISO 9001
All requirements by the certification body for conducting a multi-site audit were	☐ fulfilled ☐ not fulfilled ^{1) 2)}
Under consideration of all audit reports from all sites, the management system of the organization is:	☐ effective ☐ not effective ^{1) 2)}
When planning corrective actions for non-conformances, all sites of the organization were considered in order to detect systematic errors:	☐ effective☐ not effective¹)
The organization effectively uses information from corrective actions from each individual site in order to increase the overall effectivess of the management system :	☐ effective ☐ not effective¹)

- 1) listed in the action list (Annex 1) as a nonconformity
- 2) Certification cannot yet be recommended

4 Controlling the use of certification documents and marks

The evidence collected during the audit demonstrates that the use of certification documents and marks applied by the organization is:

☐ Conformance: .Certification documents and marks are not being used.				
☐ Major non-conformance (NC)				
□ Not applicable	(ex. initial certification)			

5 Additional remarks

5.1 Disclaimer statement

Auditing is based on a sampling process of the available information. Any audit recommendations are subject to an independent review prior to a decision concerning the awarding or renewal of certification.

A management system certification audit (initial, surveillance or recertification audit) is not a legal compliance audit (ISO 17021:2015, 9.2.1.2).

5.2 Duty of information

The Certification Body shall be notified by the client without delay of all changes that may impact on the management system's capability to continue to fulfill the requirements of the relevant standard now and in the future.

These matters include major changes regarding:

- legal, commercial, organizational status or ownership
- organization and management (e.g. key managerial, decision-making or technical staff)
- change of address and sites

27233 Revision 4 effective date 15 Jul 2018 page 6 of 7

Order no.: 4153154208 Client no.: 66843-01 Client: Andhra University



scope of operations under the certified management system

5.3 Due dates

The due date (last day of the certification audit) must be considered for the planning of any additional audit. The respective due dates should be coordinated with the lead auditor.

5.4 Confidentiality

The Certification Body will treat all received documented information related to the certification process as strictly confidential.

Copies to:

- Members of the audit team
- Certification body
- Client

27233 Revision 4 effective date 15 Jul 2018 page 7 of 7