



ACADEMIC & ADMINISTRATIVE AUDIT REPORT

2022-2023



Prepared by: Internal Quality Assurance Cell

ANDHRA UNIVERSITY

VISAKHAPATNAM

530003

Andhra University is 97 years old University in the state of Andhra Pradesh. Considering the merits of the University, the National Assessment and Accreditation Council of India has reaccredited at “A” Grade with a CGPA of 3.60 out of four point scale in 2016. The University got ISO 9001:2001 certification in 2006, ISO 9001:2008 upto 2017 and presently it possesses ISO 9001:2015 certification for its quality standards. It is the 1st general University in the country to have ISO certification and the present certification is valid upto 2024.

Andhra University conducts internal and external academic and administrative audits annually. The office of IQAC conducts academic and administrative audits through its 20 trained internal auditors. The external audits are done annually by TUV SUD.

Academic and Administrative Audit enhances quality and continuous improvement leading to one of the best practices of Andhra University. The audits aim to identify any drawbacks or weakness in any department or section based on the observation of the trained internal auditors. They are reviewed by the Top Management regularly and the audit findings help the administration in implementing the necessary steps for quality enhancement.

1.Scope of the Internal AAA:

The audit process strictly adhered to a proforma structured by the IQAC for the evaluation based on NAAC criteria's as given:

- i. Curricular Aspects:** Curriculum design, development, BOS, framing of COs, POs, PEOs, PSOs for each course and programs, Curriculum enrichment, feedback
- ii. Teaching-Learning & Evaluation:** Student mentoring, student assessment, student diversity, Teaching learning process, usage of ICT enabled tools, evaluation process and reforms, Faculty profiles, Student learning outcomes
- iii. Research, innovation & extension:** Research facilities, papers published, patents, research, projects
- iv. Feedback system**
- v. Research**
- vi. Infrastructure**
- vii. Department Administration**
- viii. Other academic-oriented activities**

PERIOD OF ASSESSMENT: 2022-2023

DATE(s) OF Internal AUDIT: 05th July 2023 to 25th July 2023

2. The list of trained internal auditors and the departments to be audited are as follows:

The Internal Audit Committee and departments to audit by the auditors:

Team	Name of the Internal Auditor	Principal office/ Department/Others
A	Prof.G.Girija Sankar	Top Management
	Prof. D.LalithaBhaskari	
B	Prof.G.GirijaSankar	IQAC Office
	Prof.K.RamaSudha	
C	Prof.P.K.Ratna Kumar 9848806706	Anthropology
		Statistics
		Applied Mathematics
		Mathematics
	Dr.G.Naga Raja 8466902549	Marine Engineering, AUCE
		Microbiology
		Biochemistry
		Engineering Chemistry
D	Prof.N.V.E.S.Murthy 9440702314	Mechanical Engineering, AUCE
		Directorate of Admissions
		Human Resources Management
		Physics,
	Prof. M.VijayaSanthi 9989012529	Biotechnology
		Nuclear Physics
		Geo-Engineering, AUCE
		Electrical Engineering, AUCE
E	Prof. V.GirijaSastry 9866771724	Philosophy
		Journalism and Mass Communication
		Library Information Science
	Prof. K.Basavaiah 9908036203 7675079052	University Library
		History & Archaeology
		Meteorology & Oceanography
F	Prof. K.SrinivasaRao 9866037087	Instrument Technology, AUCE
		Humanities and Social Sciences, AUCE
	Prof. Balarampadal 9441586593	Principal office, College of Pharmaceutical Science
		Psychology & Parapsychology
		Metallurgical Engineering, AUCE
		Mechanical Engineering, A.U. Engineering College for Women
		Organic Chemistry
		Chemical Engineering, AUCE
G	Prof.G.GirijaSankar 9866937957	Computer Science & System Engineering, AUCE
		Sanskrit
	Dr. P.YedukondalaRao 9490132294	Architecture, AUCE
		Examination section
		Electronics & Communication Engineering, A.U. Engineering College for Women
		Electronics & Communication Engineering, AUCE
	Fine Arts	
	Social Work	
	Sociology	
	Principal, College of Arts &Commerc	

H	Prof. M.S. Anuradha 9441209464	Engineering Mathematics
		Environmental Sciences
		Geography
	Prof.P.Suneetha 9848250429	Principal, College of Law
		Engineering Physics
		Engineering College for Women(Principal office)
		Electrical Engineering & Civil Engineering A.U. Engineering College for Women
I	Prof.N.KishoreBabu 9848112219	Computer Science & System Engineering A.U. Engineering College for Women
		School of Economics
		Music
	Dr. T.Anuja 8639349308	Telugu
		Education
		Zoology
		Systems Design
		Marine Living Resources
		College Development Council
J	Prof.T.V.K.BhanuPrakash 9440973800	Principal office, College of Science & Technology
		Civil Engineering, AUCE
	Prof.V.Sridevi 9848961427	Geo-Physics
		Geology
		Hindi
		English
K	Prof.P.Vasudeva Reddy 9885000274	Principal office, A.U.College of Engineering
		Politics and Public Administration
	Dr. K. SitaManikyam 9440084024	Human Genetics
		Botany
		Commerce & Management studies
		Physical Education
		Physical and Nuclear Chemistry
Inorganic & Analytical Chemistry		
Prof.D.Lalitha Bhaskari Dept.of Computer Science & Systems Engineering & Coordinator, IQAC, Andhra University		

3. General Observations:

1. The University is Category – 1 institute recognized by MHRD, GOI
2. The University accredited by NAAC with a CGPA of 3.60 in 2016 valid upto 7years(cycle 3)
3. Progressive vision of the Top Management with a definite plan of action following a decentralizedand participative management.
4. Qualified and committed faculty members with rich experience
5. Most of the departments are funded by government agencies like DST, DBT, AICTE, DRDO,TEQIP and from other funding agencies.
6. The University is RUSA funded
7. The University is ISO 9001:2015 certified valid upto 2024
8. Departments function in alignment with the University QMS and policies
9. Total number of programs offered during 2022-2023 are 178

Among them UG Programmes offered are 20; PG Programmes- 96,Integrated programs – 4, Ph.D - 57, PG Diploma-1

10. 97% faculty members are PhD holders with an average experience of 25 years.
11. Being a reputed Government funded state university, the demand ratio is very high and student enrolment is always high.
12. Achievers Day was celebrated in the University and all the students who got placement were given orders and the parents of the achievers were felicitated by the university authorities and students. Ms. Eswari Priya of Engineering College and her parents. She got the highest placement package of Rs. 84.50 Lakh per annum for the Academic Year 2022-23.
13. Total Research and Academic centres – 16

Research Centres :

- (1) Agro Economic Research Center
- (2) Dr. Durgabhai Deshmukh Centre for Women studies
- (3) Delta Studies Institute
- (4) Population Research Centre
- (5) Centre for Studies on Bay of Bengal
- (6) Centre for study of Social Exclusion and Inclusive Policy(CSSEIP)
- (7) Centre for Environment, Sustainable development and climate change(CESCC)
- (8) NMR Research Centre
- (9) Centre for Nano-Technology

Academic Centres :

- (10) The Japanese Information and study centre
- (11) Prof.K.Satchidananda Murthy Centre for Religion studies
- (12) Centre for Defense studies
- (13) Centre for Gandhian Studies
- (14) Centre for Cyber security and Data Analytics
- (15) Dr.B.R.Ambedkar Study centre.
- (16) Alluri Seeta Ramraju History and tribal studies

14. JRFs, SRFs, Post Doctoral Fellows, Research Associates and other researchfellows

Enrolled in the institution : 347

4. NCRs:

The Non conformities (NCRs) of all the departments are attached here



ANDHRA UNIVERSITY
AUDIT NON–CONFORMITY
REPORT

2023

VISAKHAPATNAM
ANDHRA PRADESH




ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2023/08/Tm1
(even)

Dept./Function : VC office	Responsibility : Administration of the university
Audit No. :	Date of Audit : 8/Aug/2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : No major NCR's	
Auditor Name : 1) Prof G. Anjjan Shankar 2) Prof K. Ramasubrah	Signature & Date : 8/8/2023
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Hon'ble VC	Signature & Date :  CHANCELLOR
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : Prof G. Anjjan Shankar Signature & Date : Prof K. Ramasubrah
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 2023 / 08 / TH2
(Even)

Dept./Function : <i>Recton office</i>	Responsibility : <i>Academic & Administration</i>
Audit No. :	Date of Audit : <i>07 / 08 / 2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>NO NCR'S</i>	
Auditor Name : <i>Prob. G. Geerjja Shankar</i>	<i>Signature & Date : [Signature] 07/08/23</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<i>NIL</i>
Root Cause Analysis :	<i>-</i>
Proposed Corrective Action :	<i>-</i>
Proposed Completion Date :	
Auditee Name : <i>Prob. K. Samatha</i>	<i>Signature & Date : [Signature]</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : <i>Prob. G. Geerjja Shankar</i>
	Signature & Date : <i>Prob. K. Rama Sudha [Signature]</i>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2023/06/17/23
(even)

Dept./Function : Registrar office	Responsibility : Administration of the University
Audit No. :	Date of Audit : 08/Aug/2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : No major NCR's	
Auditor Name :	Signature & Date : 08/Aug/2023
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	- Nil -
Root Cause Analysis :	-
Proposed Corrective Action :	-
Proposed Completion Date :	-
Auditee Name : Registrar	Signature & Date : 08/23
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Registrar, Visakhapatnam Signature & Date : 08/23
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Principal office</u>	Responsibility :
Audit No. : <u>Arts College</u>	Date of Audit : <u>03/08/23</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. G. Ginija Sankar</u>	Signature & Date : <u>[Signature] 3/8/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>Nil</u>	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof. A. NARASIMHARAO</u>	Signature & Date : <u>03.08.2023</u>
<u>[Signature]</u> PRINCIPAL College of Arts & Commerce Andhra University Visakhapatnam	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>Prof. G. Ginija Sankar</u> Signature & Date : <u>Prof. P. Yodukondala Rao</u> <u>3/9/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Anthropology</i>	Responsibility :
Audit No. :	Date of Audit : <i>19-7-2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	<i>P.K. Ratna Kumar</i>
Auditor Name : <i>2 Prof. G Nagaraj</i>	Signature & Date : <i>[Signature]</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	<i>[Signature]</i> Head
Proposed Corrective Action :	Department of Anthropology, Andhra University Visakhapatnam - 530 003
Proposed Completion Date :	
Auditee Name : <i>Dr. D. Rameth</i>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <i>Prof. P.K. Ratna Kumar</i>
	Signature & Date : <i>Prof. G Nagaraj</i>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:
Dept of Commerce and Mgt Studies

Dept./Function : Head Dept of D CMS	Responsibility : Head
Audit No. :	Date of Audit : 3/8/2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : AVF-18, AVF-24, 28, 30	Records to be updated.
Auditor Name : 1. P. Vasudeva Reddy 2. Prof. Sthamini Kyan	Signature & Date : 1. <i>[Signature]</i> 2. K. Sekharaiah
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	AVF-18, AVF-24, 28, 30 Records to be updated
Root Cause Analysis :	<i>[Signature]</i>
Proposed Corrective Action :	Head of the Department Commerce & Mgt. Studies Andhra University VISAKHAPATNAM-530 003
Proposed Completion Date :	Signature & Date : <i>[Signature]</i>
Auditee Name :	Signature & Date : <i>[Signature]</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : 1. <i>[Signature]</i> Signature & Date : 2. K. Sekharaiah 3/8/2023
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	




ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 3

Dept./Function : <u>Economics</u>	Responsibility : <u>Department</u>
Audit No. : <u>3</u>	Date of Audit : <u>26.07.2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 2001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>No Non Conformity</u>	
Auditor Name : <u>Prof. N. KISHORE BABU</u>	Signature & Date : <u>Anuja Tigga 26.07.2023</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>None</u>
Root Cause Analysis :	
Proposed Corrective Action :	 HEAD Department of Economics ANDHRA UNIVERSITY Visakhapatnam-530 003
Proposed Completion Date :	
Auditee Name : <u>Prof. S. Pulla Rao</u>	Signature & Date : <u>Prof. Anuja Tigga</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof. N. KISHORE BABU</u>
	Signature & Date : <u>Anuja Tigga</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
<u>26.07.2023</u>	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Education</i>	Responsibility : <i>Department</i>
Audit No. :	Date of Audit : <i>02.08.2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <i>No nonconformity</i>	
Auditor Name : <i>Prof. N. KISHORE BAKU</i>	Signature & Date : <i>Anuja Tigga</i> <i>02.08.2023</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<i>None</i>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<i>2/8/23</i>
Auditee Name : <i>Dr. T. SHARON RAJU</i>	Signature & Date : <i>[Signature]</i> <i>2/8/23</i> <i>Head</i> <i>Department of Education</i> <i>Andhra University</i> <i>Visakhapatnam</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <i>Prof. N. KISHORE BAKU</i> Signature & Date : <i>[Signature]</i> <i>02.08.2023</i> <i>Prof. ANUJA TIGGA</i> <i>Prof. Anuja Tigga</i>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature : <i>Prof. ANUJA TIGGA / Anuja Tigga</i>	<i>[Signature]</i> <i>Prof. N. KISHORE BAKU</i>
Date: <i>02.08.2023</i>	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>English</i>	Responsibility :
Audit No. :	Date of Audit : <i>21/7/2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <i>NIL -</i>	
Auditor Name : <i>Prof. T. V. K. Bhenu Pradeep</i>	Signature & Date : <i>[Signature]</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<i>(K)</i>
Auditee Name : <i>DR. N. SOLOMON BENNY</i>	Signature & Date : <i>N.S. Benny</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : DR. N. SOLOMON BENNY Signature & Date : HEAD DEPARTMENT OF ENGLISH ANDHRA UNIVERSITY VISAKHAPATNAM
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : BFA - Arts College Responsibility :	
Audit No. : HOD Fine Arts	Date of Audit : 27/07/2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : NIL	
Auditor Name : Prof. G. Giniyasankar	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	NIL
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : D. Srinatharam	Signature & Date : 27/7/2023
Head Department of Fine Arts College of Arts & Commerce Andhra University, Visakhapatnam.	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Prof. G. Giniyasankar
	Signature & Date : Prof. P. Yedukondala Rao
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	




ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function :	Hindi	Responsibility :	
Audit No. :		Date of Audit :	25.07.2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
ISO 9001 Clause :	Reference Document(s) :		
Nonconformity :	NIL		
Auditor Name :	1. TVK Bhanuprabha 2. V. Sri Devi	Signature & Date :	 25/07/2023
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :			
Root Cause Analysis :			
Proposed Corrective Action :			
Proposed Completion Date :			
Auditee Name :	Signature & Date :		
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed. :	Auditor Name & Signature & Date :		
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature			
Date:			



ANDHRA UNIVERSITY VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>History and Archaeology</u>	Responsibility :
Audit No. :	Date of Audit : <u>19-7-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	- Nil -
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	Nil
Root Cause Analysis :	Nil
Proposed Corrective Action :	Nil
Proposed Completion Date :	
Auditee Name : <u>Prof. Dr. Madhusudhan Rao</u>	Signature & Date : <u>[Signature] 19/07/2023</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	<u>Department of History & Archaeology</u> <u>Andhra University, Visakhapatnam</u> Auditor Name : <u>V. L. J. [Signature]</u> Signature & Date : <u>19/7/2023</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>[Signature]</u>
Date:	<u>19/7/2023</u>



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Journalism and mass communication</i>	Responsibility :
Audit No. :	Date of Audit : <i>31/8/2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	<i>not</i>
Auditor Name : <i>Prof V GIRINASTASTY</i>	Signature & Date : <i>V. Girinastasty 31/8/2023</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	_____
Root Cause Analysis :	_____
Proposed Corrective Action :	_____
Proposed Completion Date :	_____
Auditee Name : <i>Prof C M Vinay Kumar</i>	Signature & Date : <i>[Signature] 31/8/2023</i> Head of the Department Journalism & Mass Communication Andhra University Visakhapatnam
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <i>Prof V. GIRINASTASTY</i>
	Signature & Date : <i>V. Girinastasty</i>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<i>V. GIRINASTASTY</i>
Date:	<i>[Signature]</i>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Library and information Sciences</u>	Responsibility :
Audit No. :	Date of Audit : <u>22/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	nil nil
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	
Auditee Name : <u>Dr. V. Phanaraj</u>	Signature & Date : <u>[Signature]</u> Dr. V. DHANA RAJU, Ph.D. Head Dept. of Library and Information Science Andhra University Visakhapatnam-530 003
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <u>Dr. V. Girish Kumar</u> Signature & Date : <u>[Signature]</u> 22/7/23
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>Dr. V. Girish Kumar</u> <u>[Signature]</u>
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 02

Dept./Function : Music	Responsibility : Department
Audit No. : 2	Date of Audit : 21-07-2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : No Non Conformity	
Auditor Name : Prof. N. KISHORE BASKI	Signature & Date : <i>N. Kishore Baski</i> 21.07.2023
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	None
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Prof. A. ANURADHA	Signature & Date : <i>A. Anuradha</i> 21.07.2023
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : Prof. N. KISHORE BASKI Signature & Date : <i>N. Kishore Baski</i> 21.07.2023
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Dept. of Philosophy Responsibility :	
Audit No. :	Date of Audit : 25/7/23.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : Nil.	
Auditor Name : 1. Prof. N.V.E.S. Murthy 2. Prof. M. Vijaya Santhi	Signature & Date : M. Santhi 25/7/23.
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	No corrections
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date : 21	Signature & Date : V. Venkata Rao 25/7/23 Head of the Dept. of Philosophy & Religious Studies A. U. Visakhapatnam.
Auditee Name : V. Venkata Rao	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : 1. Prof. N.V.E.S. Murthy 2. Prof. M. Vijaya Santhi Signature & Date : M. Santhi 25/7/23.
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Physical Education</u>	Responsibility : <u>Head</u>
Audit No. : _____	Date of Audit : <u>28/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>ADF-20, ADF-30, 35 } to be updated. AAR</u>	
Auditor Name : <u>1. P. Vasudeva Reddy</u> <u>2. Sita Mani Kyan</u>	Signature & Date : <u>1. [Signature]</u> <u>2. K. Subramulu</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>ADF-20, 30, 35, AAR — to be updated.</u>
Root Cause Analysis :	
Proposed Corrective Action :	* <u>[Signature]</u> Head of the Department Department of Physical Education and Sports Sciences ANDHRA UNIVERSITY VISAKHAPATNAM-530 003
Proposed Completion Date : <u>28/7/2023</u>	
Auditee Name :	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
<u>All records updated.</u>	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. [Signature]</u> Signature & Date : <u>2. K. Subramulu</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Political Science and Public Admin.	Responsibility : Head
Audit No. :	Date of Audit : 24/7/2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : AUF- 18, 20, 27, 36 records to be updated - AAR - Not Completed.	
Auditor Name : 1. P. Vasudeva Reddy 2. S. Sita Manikyaam	Signature & Date : 1. S. Sita Manikyaam 2. K. Sibi Reddy
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : AUF- 18, 20, 27, 36 } records to be updated AAR }	
Root Cause Analysis :	
Proposed Corrective Action :	* S. Manikyaam HEAD
Proposed Completion Date : 24/7/2023	Dept. of Political Science and Public Administration Andhra University Visakhapatnam-530 002
Auditee Name : Dr. G. Veerajin	Signature & Date : S. Manikyaam
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. All records updated.	Auditor Name : 1. Reddy Signature & Date : 2. K. Sibi Reddy
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function :	Dept of Sanskrit	Responsibility :	Dr K. Yedee Kaudela HOD
Audit No. :		Date of Audit :	24-07-2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>		
Nonconformity :	Nil -		
Auditor Name :	Dr K. Srinivasa Rao	Signature & Date :	[Signature] 24/7/23
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :	—		
Root Cause Analysis :	—		
Proposed Corrective Action :	—		
Proposed Completion Date :	—		
Auditee Name :	Dr K. Yedee Kaudela	Signature & Date :	[Signature] 24/7/23
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed.	Auditor Name :	Dr K. Srinivasa Rao - [Signature] 24/7/23	
	Signature & Date :	Dr K. T. Balaram Reddy - [Signature] 24/7/23	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature			
Date:			



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No :

Dept / Function	Social work A.U. Arts College	Responsibility	
Audit No		Date of Audit	25/07/2023
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>		
Nonconformity : NIL			
Auditor Name	Pnt. G. Girijasanakar Pnt. P. Yedukondala Rao	Signature & Date	 25/07/23
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction	— NIL —		
Root Cause Analysis			
Proposed Corrective Action :			
Proposed Completion Date:	HEAD DEPARTMENT OF SOCIAL WORK ANDHRA UNIVERSITY VISAKHAPATNAM-530003 ANDHRA PRADESH		
Auditee Name	S. HARANATH	Signature & Date	 25/07/23
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status Open / Closed.	Auditor Name : Pnt. G. Girijasanakar Signature & Date : Pnt. P. Yedukondala Rao 25/07/23		
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign.			
Date			



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No

Dept Function	Sociology A.U. College of Arts	Responsibility	
Audit No		Date of Audit	25/07/23
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
<u>ISO 9001 Clause :</u>		<u>Reference Document(s) :</u>	
Nonconformity : NIL			
Auditor Name	Pnt. G. Ginja Sankar Pnt. P. Yedukondala Rao	Signature & Date	 25/07/23
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction	NIL		
Root Cause Analysis			
Proposed Corrective Action :	 Head of the Dept. of Sociology Andhra University Visakhapatnam		
Proposed Completion Date	07-2023		
Auditee Name	Dr. V. Sreemannarayana Murthy	Signature & Date :	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status Open / Closed			
Auditor Name	Pnt. G. Ginja Sankar		
Signature & Date	Pnt. P. Yedukondala Rao 25/07/23		
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign.			
Date			



ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 5

Dept./Function : Telugu	Responsibility : Department
Audit No. :	Date of Audit : 27.07.2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : No Non conformity	
Auditor Name : DR. N. KISHORE BABU	Signature & Date : Anuja Tigga 27.07.2023
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	None
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Prof. J. Appa Rao	Signature & Date : 27/7/23
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Closed	Auditor Name : ANHRA UNIVERSITY Head of the Dept. of Telugu : PROF. ANUJA TIGGA Signature & Date : Anuja Tigga 27.07.2023
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Principal & Vice-Chancellor Office</u>	Responsibility :
Audit No. : <u>Principal</u>	Date of Audit :
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	
Auditor Name : <u>1 TVK Bhanu Prasad 2 V. Sridhar</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name :	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	

1) Prof. T.V.K. Bhanu Prasad

 2) Prof. V. Sridhar



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Applied Mathematics</u>	Responsibility :
Audit No. : <u>Head of the Department</u>	Date of Audit : <u>20-07-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>1. Prof. P.K. RATNAKUMAR</u>	<u>P.K. Kumar</u>
Auditor Name : <u>2. Dr. G. NAGARAJA</u>	Signature & Date : <u>[Signature]</u> <u>20/7/2023</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : <u>K. RAJENDRA PRASAD</u>	Signature & Date : <u>[Signature]</u> <u>Head of the Department</u> <u>Applied Mathematics</u> <u>Andhra University</u> <u>Visakhapatnam 530 00</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. P.K. RATNA KUMAR</u>
	Signature & Date : <u>Dr. G. NAGARAJA</u> <u>[Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Biochemistry</u>	Responsibility :
Audit No. : <u>Head of the Department</u>	Date of Audit : <u>19-07-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	
1. <u>Prof. P.K. RATNA KOMAR</u> <u>19/7</u>	
Auditor Name : <u>Dr. G. NAGA RAJA</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : <u>Dr. P. SURHASHWIDEY</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <u>Dr. P.K. RATNA KOMAR, Dr. G. NAGA RATHA</u>
	Signature & Date : <u>P.K. Ratna - 19/7/23</u> <u>Naga Raja 19/7</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	

Sonni
HEAD = 19/7/23
Department of Biochemistry
Andhra University
Visakhapatnam-530 002



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Biotechnology</u>	Responsibility :
Audit No. :	Date of Audit :
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Nil</u>	
Auditor Name : <u>1. Prof. N.V.E.S. Murthy</u> <u>2. Prof. M. Vijaya Santhi</u>	Signature & Date : <u>[Signature]</u> <u>[Signature]</u> <u>19/7/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>- nil -</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<u>[Signature]</u> <u>19/7/2023</u>
Auditee Name : <u>Prof G Sudhakar</u>	Signature & Date : <u>[Signature]</u> <u>19/7/2023</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>1. Prof. N.V.E.S. Murthy</u> <u>2. Prof. M. Vijaya Santhi</u>
	Signature & Date : <u>[Signature]</u> <u>19/7/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Botany</u>	Responsibility : <u>Head</u>
Audit No. :	Date of Audit : <u>21-7-2023.</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>— NIL —</u>	
Auditor Name : <u>1. Prof. P. Vasudeva Reddy</u> <u>2. Prof. K. Sitaramanikyan</u>	Signature & Date : <u>1. [Signature]</u> <u>2. K. Sitaramanikyan</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>— NIL —</u>	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<u>P.K.R. [Signature]</u> HEAD DEPARTMENT OF BOTANY ANDHRA UNIVERSITY VISAKHAPATNAM
Auditee Name : <u>Prof. P.K. RATNA KOMAR</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>1. [Signature]</u> Signature & Date : <u>2. K. Sitaramanikyan</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY
VISA KHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Environmental Science</u> Responsibility :	
Audit No. :	Date of Audit : <u>11/07/23</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : 1. <u>By P. Suresh</u>	— Nil — 1. <u>P. Suresh</u> 2. <u>H. S. G. Adh</u>
Auditor Name : <u>2 By M. S. Anreddy</u>	Signature & Date : <u>11/07/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	— Nil —
Proposed Corrective Action :	<p>Head of the Department Dept. of Environmental Science Andhra University Visakhapatnam-530003</p> <p><u>Som</u> <u>11/7/23</u></p>
Proposed Completion Date :	
Auditee Name : <u>Dr. P. Subrahmanya</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : Signature & Date : 1. <u>By P. Suresh</u> 2. <u>By M. S. Anreddy</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	1. <u>By P. Suresh</u> 2. <u>By M. S. Anreddy</u>
Date:	<u>P. Suresh</u> <u>H. S. G. Adh</u>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Food, Nutrition & Dietetics	Responsibility :
Audit No. :	Date of Audit : 19/7/23.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : Nil	
Auditor Name : 1. Prof. N.V.E.S. Murthy 2. Prof. M.Vijaya Santhi	Signature & Date : <i>[Signature]</i> 19/7/23 <i>[Signature]</i> 19/7/23
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : Nil	
Root Cause Analysis : —	
Proposed Corrective Action : —	<i>[Signature]</i>
Proposed Completion Date : —	Head of the Department, Food, Nutrition & Dietetics Andhra University VISAKHAPATNAM-530 003, India
Auditee Name : Prof. G. Sudhakar	Signature & Date : <i>[Signature]</i> 19/7/23
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : 1. Prof. N.V.E.S. Murthy Signature & Date : 2. Prof. M.Vijaya Santhi <i>[Signature]</i> 19/7/23
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Geography Dept</u>	Responsibility :
Audit No. :	Date of Audit : <u>17/07/23</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>1. By P. Suneetha</u>	<u>- Nil -</u> <u>1. P. Suneetha</u>
Auditor Name : <u>2. By M. S. Anuradh</u>	Signature & Date : <u>2. H. S. of Allh</u> <u>17/07/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditor)	
Proposed correction :	<u>- Nil -</u>
Root Cause Analysis :	<u>P. Suneetha</u> <u>17/7/2023</u> <u>Head</u> <u>Department of Geography</u> <u>Andhra University</u> <u>Visakhapatnam-530003</u>
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name :	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. By P. Suneetha</u> <u>2. By M. S. Anuradh</u>
	Signature & Date : <u>P. Suneetha</u> <u>H. S. of Allh</u> <u>25/07/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>1. By P. Suneetha</u> <u>P. Suneetha</u>
Date: <u>25/07/23</u>	<u>2. By M. S. Anuradh</u> <u>H. S. of Allh</u> <u>25/07/23</u>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Geology</u>	Responsibility :
Audit No. :	Date of Audit : <u>17/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. T.V.K. Bhenu prakash</u>	Signature & Date : <u>[Signature]</u>
Auditor Name : <u>Prof. V. Sridhar</u>	Signature & Date : <u>[Signature]</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>[Signature]</u>	Signature & Date : <u>[Signature]</u> <u>(K-SATYA NARAYANA REDDY)</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	

Head of the Department
DEPARTMENT OF GEOLOGY
Andhra University
Visakhapatnam-530003



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Geophysics</i>	Responsibility :
Audit No. :	Date of Audit : <i>22.07.2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	<i>Prof. T.V.K. Bhanu prasad</i>
Auditor Name : <i>Prof. V. Sridani</i>	Signature & Date : <i>[Signature]</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <i>Prof. C.V. Naidu</i>	Signature & Date : <i>[Signature]</i> <i>22.07.2023</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Human Genetics</u> Responsibility : <u>Head</u>	
Audit No. :	Date of Audit : <u>20/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>-NIL-</u>	
Auditor Name : <u>J. P. Vasudeva Reddy</u>	Signature & Date : <u>J. Reddy</u>
Auditor Name : <u>S. Sitaramanikyan</u>	Signature & Date : <u>S.</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>-</u>
Root Cause Analysis :	<u>-</u>
Proposed Corrective Action :	<u>-</u>
Proposed Completion Date :	<u>-</u>
Auditee Name : <u>Prof Sudhekar</u>	Signature & Date : <u>[Signature]</u> Head of the Department Dept. of Human Genetics Andhra University Visakhapatnam-530 003
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. ✓	Auditor Name : <u>1. Reddy 20/7/23</u>
	Signature & Date : <u>2. K. Sitaramanikyan 20/7/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./Function : <u>Marine Living Resources</u>	Responsibility : <u>Department</u>
Audit No. : <u>8</u>	Date of Audit : <u>22.07.2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>No Non Conformity found.</u>	
Auditor Name : <u>DR. N. KUSHORE BAKI</u>	Signature & Date : <u>Anuja Tigga 22.07.2023</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>None</u>
Root Cause Analysis :	
Proposed Corrective Action :	<u>P. J. — h 22/7/23</u>
Proposed Completion Date :	<u>22/7/23</u>
Auditee Name : <u>Prof. P. JAWAKI RAM</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>DR. N. KUSHORE BAKI</u>
	Signature & Date : <u>Anuja Tigga 22.07.23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Mathematics Responsibility :

Audit No. : Head of the Department Date of Audit : 21-07-23

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity :

1. Prof. P.K. RATNA KUMAR P.K.R.K.

Auditor Name : 2. Dr. G. NAGARAJA Signature & Date : [Signature] 21/7/2023

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : -

Root Cause Analysis : -

Proposed Corrective Action : -

Proposed Completion Date : -

Auditee Name : Prof. G. NANAJIDAM Signature & Date : 21-07-2023

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed. Auditor Name : 1. Prof. P.K. RATNA KUMAR P.K.R.K. 21/7/23
Signature & Date : 2. Dr. G. NAGARAJA [Signature] 21/7/2023

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature
Date:



ANDHRA UNIVERSITY VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./Function : meteorology
& oceanography

Responsibility :

Audit No. :

Date of Audit : 20/7/2023

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause :

Reference Document(s) :

Nonconformity :

NC1

Prof V. GURUNASASTRY

Prof K. Bharanidharan

V. G. - 20/7/2023

Auditor Name :

Signature & Date :

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction :

Root Cause Analysis :

Proposed Corrective Action :

Proposed Completion Date :

Auditee Name : Prof P. Sunitha

P. Sunitha 20/7/2023
Head
Department of Meteorology
& Oceanography

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed.

Auditor Name :

Signature & Date :

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Prof V. GURUNASASTRY

Auditor Name / Signature

Date:

Prof K. Bharanidharan
Bharanidharan



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Microbiology</u>	Responsibility :
Audit No. : <u>Head of the Department</u>	Date of Audit : <u>19-07-23</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>—</u>	
Auditor Name : <u>1. P.K. RATNAKUMAR - P.K.R.</u> <u>2. D.G. NAGA RAJA</u>	Signature & Date : <u>[Signature]</u> <u>19/7/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>—</u>
Root Cause Analysis :	<u>—</u>
Proposed Corrective Action :	<u>—</u>
Proposed Completion Date :	<u>—</u>
Auditee Name : <u>Prof G. Sudhakar</u>	Signature & Date : <u>[Signature]</u> <u>19/7/23</u>
<p>DEPARTMENT OF MICROBIOLOGY COLLEGE OF SCIENCE & TECHNOLOGY ANDHRA UNIVERSITY VISAKHAPATNAM 530 003</p>	
Verification of corrective actions (To be filled by Auditor at the time of next internal audit)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>1. P.K. RATNAKUMAR</u> Signature & Date : <u>2. D.G. NAGA RAJA</u> <u>[Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Nuclear Physics Responsibility :

Audit No. : Date of Audit : 8/8/2023.

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity : Nil

1. Prof. N.V.E.S. Murthy

Auditor Name : 2. Prof. M. Vijaya Santhi Signature & Date :

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : -

Root Cause Analysis : -

Proposed Corrective Action : -

Proposed Completion Date :

Auditee Name : Dr. P.V. Lakshmi Narayana Signature & Date : 08.08.2023

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed. ✓

Auditor Name : 1. Prof. N.V.E.S. Murthy, 2. Prof. M.V. Santhi
Signature & Date : M. Santhi

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature
Date:



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Chemistry</u>	Responsibility : <u>Head</u>
Audit No. :	Date of Audit : <u>24/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>AAR</u>	1. <u>[Signature]</u>
Auditor Name : <u>1. P. Vasudeva Reddy</u>	Signature & Date : <u>2. K. Sibi Reddy</u>
<u>2. Sita mamthyam</u>	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>To complete AAR-report</u>
Root Cause Analysis :	
Proposed Corrective Action :	<u>[Signature]</u>
Proposed Completion Date : <u>25/7/2023</u>	Department of Chemistry College of Science & Technology Andhra University
Auditee Name :	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / <u>Closed</u>	Auditor Name : <u>1. [Signature]</u>
	Signature & Date : <u>2. K. Sibi Reddy</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Psychology & Para Psychology	Responsibility : Prof M.V.R. Raju HOD
Audit No. :	Date of Audit : 12-07-2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : Prof K. Srinivasa Reddy	Signature & Date : [Signature] 12/07/2023
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	- Nil -
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : Prof M.V.R. Raju HOD	Signature & Date : [Signature] Head of the Department Department of Psychology and Parapsychology Andhra University Visakhapatnam
Verification of corrective actions (To be filled by Auditor at the Auditee's Office)	
Status: Open / Closed.	Auditor Name : Prof K. Srinivasa Reddy - [Signature] 12/07/2023 Signature & Date : Prof K.T. Balasubrahmanyam [Signature] 12/07/23
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Dept. of Physics Responsibility :

Audit No. : Date of Audit : 21/07/23

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause :

Reference Document(s) :

Nonconformity : Nil

Auditor Name : 1. Prof. N.V.E.S. Murthy
2. Prof. M. Vijaya Santhi
Signature & Date : M. Santhi
21/7/23

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : Nil

Root Cause Analysis : —

Proposed Corrective Action : —

Proposed Completion Date : —

Auditee Name : Prof. S. SRINIVASARAO Signature & Date : S. Srinivasarao
21/7/23

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed. Closed
Auditor Name : 1. Prof. N.V.E.S. Murthy
Signature & Date : 2. Prof. M. Vijaya Santhi
M. Santhi
21/7/23

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature

Date:



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Statistica</u>	Responsibility :
Audit No. : <u>Head of the Department</u>	Date of Audit : <u>21/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	
<u>1. Prof. P.K. RATNA KUMAR P.F.R.</u>	
Auditor Name : <u>2. Dr. G. NAGARAJA</u>	Signature & Date : <u>[Signature]</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>—</u>	<u>[Signature]</u> 21/07/2023 HEAD
Root Cause Analysis : <u>—</u>	Department of Statistics Andhra University Visakhapatnam-530003 Andhra Pradesh, India
Proposed Corrective Action : <u>—</u>	
Proposed Completion Date : <u>—</u>	
Auditee Name : <u>B. MUNISWAMY</u>	Signature & Date : <u>[Signature]</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>1. Prof. P.K. RATNA KUMAR P.F.R.</u> 21/7/23
	Signature & Date : <u>2. Dr. G. NAGARAJA</u> <u>[Signature]</u> 21/7/2023
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 4

Dept./Function : Zoology Responsibility : Department

Audit No. : 4 Date of Audit : 26.07.2023

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity : No Non Conformity

Prof. N. KISHORE BAW

26/7/23

Auditor Name : PROF. ANUJA TIKA Signature & Date : Anuja Tika 26.07.2023

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : None

Root Cause Analysis :

Proposed Corrective Action :

Proposed Completion Date :

Auditee Name : Prof. P. JANAKIRAM Signature & Date : P. Janakiram 26/7/23

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed.

Auditor Name : Prof. N. KISHORE BAW

PROF. ANUJA TIKA

Signature & Date : 26/7/23

Anuja Tika 26.07.23

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature

Date:

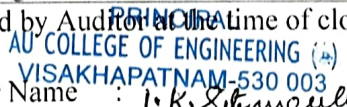


ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Principal office</u>	Responsibility : <u>Principal</u>
Audit No. :	Date of Audit : <u>22/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>AVF-15, 16, 17. Signatures to be updated.</u>	
Auditor Name : <u>1. Prof P. Vasudeva Reddy</u> <u>2. Prof Sitamanyam</u>	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Instructed to AVF update signatures in AVF-15, 16, 17.</u>
Root Cause Analysis :	-
Proposed Corrective Action :	
Proposed Completion Date :	<u>[Signature]</u>
Auditee Name : <u>[Signature]</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor) Time of closure	
Status: Open / Closed. <input checked="" type="checkbox"/>	 Auditor Name : <u>[Signature]</u> Signature & Date : <u>[Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Dept of Architecture</u>	Responsibility : <u>Prof G. Viswanadh Keesari HOD</u>
Audit No. :	Date of Audit : <u>20-7-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>-NIL-</u>	
Auditor Name : <u>Prof K. Srinivasa Rao H. O. C. 20/7/23</u> <u>Prof K.T. Balaram Reddy</u> Signature & Date: <u>20/7/23</u>	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>-NIL-</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof G. Viswanadh Keesari</u>	Signature & Date : <u>Mukh 20/7/2023</u> Head of the Department Department of Architecture College of Engineering (A) Andhra University, Visakhapatnam
Verification of corrective actions (To be filled by Auditor at the time of next internal audit)	
Status: Open / Closed.	Auditor Name : <u>Prof K. Srinivasa Rao</u> Signature & Date : <u>Prof K.T. Balaram Reddy</u> (20/7/23)
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Engineering Chemistry</u> Responsibility :	
Audit No. :	Date of Audit : <u>19-7-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	1 Prof. P.K. Ratha Kumar - 1 P.K.R.K. [Signature]
Auditor Name : <u>2 Prof. G. Nagaraj</u>	Signature & Date : <u>2 Nagaraj 19/7/2023</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof. N. Annapurna</u>	Signature & Date : <u>[Signature]</u> Prof. N. ANNAPURNA Head of the Department Dept. of Engineering Chemistry AU College of Engineering Andhra University Visakhapatnam-530 003, A.P.
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. P.K. Ratha Kumar</u> P.K.R.K. [Signature] Signature & Date : <u>Prof. G. Nagaraj</u> Nagaraj [Signature] 19/7
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Civil Engg.</i>	Responsibility :
Audit No. :	Date of Audit : <i>18-07-2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	<i>Prof. T.V.K. Bhenu Prudh</i>
Auditor Name :	Signature & Date : <i>Prof. V. Sridani</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	<i>—</i>
Proposed Corrective Action :	
Proposed Completion Date :	<i>19.7.2023</i>
Auditee Name :	Signature & Date : <i>Am Jaddy</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	

Am Jaddy
Head of the Department
Department of Civil Engineering
College of Engineering
Andhra University, Visakhapatnam



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Dept of Computer Science & Systems Engineering</u>	Responsibility : <u>Prof K. Venkatesh Rao HOD</u>
Audit No. :	Date of Audit : <u>28-07-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof K.T. Balaram Prasad</u>	Signature & Date : <u>[Signature] 28/07/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>- NIL -</u>
Root Cause Analysis :	<u>- NIL -</u>
Proposed Corrective Action :	<u>---</u>
Proposed Completion Date :	<u>---</u>
Auditee Name : <u>Prof K. Venkatesh Rao HOD</u>	Signature & Date : <u>[Signature] 28/07/23</u>
Verification of corrective actions (To be filled by Auditor at the time of internal audit)	
Status: Open / Closed.	Auditor Name : <u>Prof K. Srinivasa Rao</u> Signature & Date : <u>[Signature] 28/07/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF - 06

AUDIT NON-CONFIRMANCE REPORT (NCR)

NCR No:

Dept./ Function: <u>Electrical Engineering</u>	Responsibility:
Audit No.	Date of Audit: <u>15/7/23</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause:	Reference Documents(s):
Nonconformity: <u>Nil</u>	
Auditor Name: <u>1. Prof. N.V.E.S. Murthy</u> <u>2. Prof. M. Vijaya Santhi</u>	Signature & Date: <u>[Signature]</u> <u>15/7/23</u> <u>[Signature]</u> <u>15/7/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction: <u>— NIL —</u>	
Root Cause Analysis: <u>NA</u>	
Proposed Corrective Action: <u>N/A</u>	
Proposed Completion Date: <u>N/A</u>	
Auditee Name: <u>P. MALLIKARJUNA RAO</u>	Signature & Date: <u>[Signature]</u> <u>15/7/23</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status Open / Closed: <input checked="" type="checkbox"/> Open	Auditor Name: <u>1. Prof. N.V.E.S. Murthy</u> Signature & Date: <u>2. Prof. M. Vijaya Santhi</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	
Date:	

Head of the Department of
Electrical Engineering
Andhra University
VISAKHAPATNAM-530 003




**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No

Dept / Function	ECE - A.U. Engineering College		
Audit No	Responsibility	Date of Audit	26/07/2023
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause :	Reference Document(s) :		
Nonconformity :	NIL		
Auditor Name	Prof. G. Girija Sankar	Signature & Date	26/07/23
	Prof. P. Yedukondala Rao		
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction	NIL		
Root Cause Analysis :	 26/07/23 Head of the Department Dept. of Electronics & Comm. Engg. A.U. College of Engg. Andhra University Visakhapatnam-536 008		
Proposed Corrective Action :			
Proposed Completion Date			
Auditee Name	Prof. P. Ragesh Kumar	Signature & Date	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status Open / Closed		Auditor Name	Prof. G. Girija Sankar
		Signature & Date	26/07/23
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign			
Date	26/07/2023		



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Geo-Engineering</u>	Responsibility :
Audit No. :	Date of Audit : <u>28/7/23</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Nil</u>	
Auditor Name : <u>1. Prof. N.V.E.S. Murthy</u> <u>2. Prof. M. Vijaya Santhi</u>	Signature & Date : <u>[Signature]</u> <u>[Signature]</u> <u>28/7/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>Nil</u>	
Root Cause Analysis : <u>-</u>	
Proposed Corrective Action : <u>-</u>	
Proposed Completion Date : <u>-</u>	
Auditee Name : <u>VAZEER MAHAMMOO</u>	Signature & Date : <u>[Signature]</u> Head of the Department GEO ENGINEERING A.U. Engg. College. VISAKHAPATNAM - 530 01
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>1. Prof. N.V.E.S. Murthy</u> <u>2. Prof. M. Vijaya Santhi</u> Signature & Date : <u>[Signature]</u> <u>[Signature]</u> <u>28/7/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Chemical Engineering</i>	Responsibility : <i>Prof P. Padma Sree HOD</i>
Audit No. :	Date of Audit : <i>21-07-2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <i>NIL</i>	
Auditor Name : <i>Mr K. Srinivasa Rao M. C.</i>	Signature & Date : <i>[Signature] 21/7/23</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<i>NIL</i>
Root Cause Analysis :	<i>NIL</i>
Proposed Corrective Action :	<i>NA</i>
Proposed Completion Date :	<i>---</i>
Auditee Name : <i>Prof P. Padma Sree HOD</i>	Signature & Date : <i>[Signature] 21/7/23</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : <i>Mr K. Srinivasa Rao M. C.</i> Signature & Date : <i>[Signature] 21/7/23</i>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Engineering Mathematics</u> Responsibility :	
Audit No. : <u>AVCE</u>	Date of Audit : <u>24/07/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>— Nil —</u>	1. <u>P. Suresh</u>
Auditor Name : <u>1. Prof P. Suresh</u>	Signature & Date : <u>24/07/23</u>
<u>2. Prof M. Satya Anuradha</u>	2. <u>M. Satya Anuradha</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>— Nil —</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>HEAD</u>	Signature & Date : <u>[Signature]</u> Head of the Department of Engineering Mathematics A.P. College of Engineering (A) Visakhapatnam - 530 003
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>1. Prof P. Suresh</u> <u>2. Prof M. Satya Anuradha</u>
	Signature & Date : <u>P. Suresh</u> <u>M. Satya Anuradha</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	1. <u>P. Suresh</u>
Date: <u>24/07/23</u>	2. <u>Prof M. Satya Anuradha</u> 1. <u>P. Suresh</u>
	2. <u>M. Satya Anuradha</u>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Engineering Physics</u>	Responsibility :
Audit No. : <u>ANLE</u>	Date of Audit : <u>24/07/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Nil</u>	
Auditor Name : <u>1. Pr. P. Suresh</u>	Signature & Date : <u>1. P. Suresh</u>
Auditor Name : <u>2. Pr. M. S. Anuradha</u>	Signature & Date : <u>2. M. S. Anuradha 24/07/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	<u>Nil</u>
Proposed Corrective Action :	
Proposed Completion Date :	<u>Y. Ramaiah</u>
Auditee Name :	Signature & Date :
HEAD OF THE DEPARTMENT Dept. of Engineering Physics A.J. College of Engineering Andhra University Visakhapatnam-530003, A.P.	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. Pr. P. Suresh</u>
	Signature & Date : <u>2. Pr. M. S. Anuradha</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	Signature & Date :
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Humanities and social welfare</u> Audit No. :	Responsibility : Date of Audit : <u>22/7/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u> Nonconformity : <u>Pr V-GIRI SASTRY</u> <u>Prof K-Basavaraj</u> Auditor Name :	<u>Reference Document(s) :</u> <u>Nil</u> Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : _____ Root Cause Analysis : _____ Proposed Corrective Action : _____ Proposed Completion Date : _____ <u>Prof A.R.N. Kumar</u> Auditee Name :	Signature & Date : <div style="text-align: right;"> <u>Ramesh</u> 22/09/2023 Head of the Department Dept. of Humanities & Social Sciences A.U. College of Engineering ANDHRA UNIVERSITY VISAKHAPATNAM-530 003 </div>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : <u>V. Girija Sastri</u> 22/7/23 Signature & Date : <u>[V. GIRI SASTRI]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	<div style="text-align: right;"> <u>V. Girija Sastri</u> 22/7/23 </div>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Department of Instrument Technology</u>	Responsibility :
Audit No. :	Date of Audit : <u>19-7-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>nil</u>	
Auditor Name : <u>Prof. V. GIRISA SASTRY</u>	Signature & Date : <u>V. Girisa Sastry 19/7/2023</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>nil</u>
Root Cause Analysis :	<u>nil</u>
Proposed Corrective Action :	<u>nil</u>
Proposed Completion Date : <u>Dr. A. Kamalakumari</u>	Head of the Department Department of Instrument Tech A.U. College of Engineering Signature & Date : <u>Alex</u>
Auditee Name :	Signature & Date : <u>Dr. A. Kamalakumari</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. V. GIRISA SASTRY</u>
	Signature & Date : <u>V. Girisa Sastry 19/7/2023</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>Prof. V. GIRISA SASTRY</u>
Date:	<u>V. Girisa Sastry 19/7/2023</u>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Mechanical Engineering</u> Responsibility :	
Audit No. :	Date of Audit : <u>28-7-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : 1. Prof. P. K. Radha Kumar	<u>P.K.R. [Signature]</u>
Auditor Name : 2. Prof. G. Nagaraja	Signature & Date : <u>[Signature]</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	<u>1. [Signature]</u>
Proposed Corrective Action :	<u>28/07/2023</u>
Proposed Completion Date :	HEAD OF THE DEPARTMENT
Auditee Name : <u>Prof. K. Raniji</u>	DEPT. OF MECHANICAL ENGINEERING
	AU COLLEGE OF ENGINEERING
	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : 1. Prof. K. Radha Kumar <u>[Signature]</u>
	Signature & Date : 2. Prof. G. Nagaraja <u>[Signature]</u> 28/7/2023
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	1. Prof. P. K. Radha Kumar - <u>[Signature]</u>
Date:	2. Prof. G. Nagaraja. <u>[Signature]</u> 28/7/2023



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Dept of Metallurgical Engineering</u>	Responsibility : <u>Prof J. Balaji Rao HOD</u>
Audit No. :	Date of Audit : <u>20-7-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Nil</u>	
Auditor Name : <u>Prof K. Srinivasa Rao - H. O.</u>	Signature & Date : <u>[Signature] 20/7/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Nil</u>
Root Cause Analysis :	<u>NA</u>
Proposed Corrective Action :	<u>NA</u>
Proposed Completion Date :	<u>NA</u>
Auditee Name : <u>Prof J. Balaji Rao HOD.</u>	Signature & Date : <u>[Signature] Head of the Department, Dept. of Metallurgical Engineering, 20/7/2023</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : <u>Prof K. Srinivasa Rao - H. O.</u> 20/7/23 Signature & Date : <u>Prof K. T. Balaji Rao [Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Machine Engg -neering</i>	Responsibility :
Audit No. :	Date of Audit : <i>24-7-2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <i>1 Prof. P.K. Radhakumar</i>	<i>1 P.K.R. [Signature]</i>
Auditor Name : <i>2 Prof. G. Nagaraj</i>	Signature & Date : <i>2 Aug. 24/7/2023</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<i>24-07-23</i>
Auditee Name : <i>Prof. VVS Prasad</i>	Signature & Date : <i>[Signature]</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<i>1 Prof. P.K. Radhakumar - P.K.R. [Signature]</i>
Date:	<i>2 Prof. G. Nagaraj. Aug 24/7/2023</i>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Principal office</u> <u>AUCE (W)</u>	Responsibility :
Audit No. :	Date of Audit : <u>15-07-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : <u>1. P. Suretha</u> <u>2. M. S. Anuradha</u>	Signature & Date : <u>Nil -</u> <u>1. P. Suretha</u> <u>2. M. S. Anuradha</u> <u>15/07/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	<u>Nil -</u>
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name :	Signature & Date : <u>Suretha</u> <u>15/07/2023</u> PRINCIPAL <u>A.U. College of Engineering for Women</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>1. P. Suretha</u> <u>2. P. M. S. Anuradha</u> Signature & Date : <u>15/07/2023</u> <u>P. Suretha</u> <u>M. S. Anuradha</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>1. P. Suretha</u>
Date:	<u>2. M. S. Anuradha</u> <u>15/07/23</u>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Civil Engineering</u>	Responsibility : <u>AOEE(w)</u>
Audit No. :	Date of Audit : <u>17/07/23</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>— Nil —</u>	
Auditor Name : <u>1. P. Suresh</u> <u>2. M. S. Anurekh</u>	Signature & Date : <u>1. P. Suresh</u> <u>2. M. S. Anurekh</u> <u>17/07/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>— Nil —</u>
Root Cause Analysis :	
Proposed Corrective Action :	Head of the Department Dept. of Civil Engineering College of Engineering for Women Andhra University Visakhapatnam
Proposed Completion Date :	<u>17/07/2023</u>
Auditee Name : <u>P. Suresh</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. P. Suresh</u> <u>2. M. S. Anurekh</u>
	Signature & Date : <u>P. Suresh</u> <u>M. S. Anurekh</u> <u>25/07/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>1. P. Suresh</u> <u>P. Suresh</u>
Date:	<u>2. M. S. Anurekh</u> <u>M. S. Anurekh</u>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>CS&SE</u>	Responsibility : <u>Department</u>
Audit No. : <u>1</u>	Date of Audit : <u>18.07.2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. N. KISHORE BABC</u> Signature & Date : <u>N/Kishore 18/7/23</u>	
Auditor Name : <u>Prof. ANUJA TIGGA</u> Signature & Date : <u>Anuja Tigga 18.07.23</u>	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Counselling on academic problems suggested.</u>
Root Cause Analysis :	<u>Typed question paper to be kept as a record.</u>
Proposed Corrective Action :	<u>1. Counselling will be done on academic problems.</u> <u>2. All q. papers for mid-exam will be typed.</u>
Proposed Completion Date :	<u>N/Kishore</u>
Auditee Name : <u>Prof. B Pragnan</u>	Signature & Date : <u>N/Kishore</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>Prof. N. KISHORE BABC</u>
Signature & Date : <u>N/Kishore</u> <u>Anuja Tigga</u>	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Prof. ANUJA TIGGA Auditor Name / Signature	<u>Anuja Tigga</u> <u>(Prof. N. KISHORE BABC)</u>
Date: <u>18.07.2023</u>	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function: <i>Dept of Electrical Engg</i>	Responsibility :
Audit No. : <i>AUCEW</i>	Date of Audit : <i>15/07/2023</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>Nil</i>	
Auditor Name : <i>1. By P. Suresh</i> <i>2. By M.S. Anusudha</i>	Signature & Date : <i>1. P. Suresh</i> <i>2. M.S. Anusudha</i> <i>15/07/2023</i>
CORRECTIVE ACTION REPORT (To be filled by Auditor)	
Proposed correction :	
Root Cause Analysis :	<i>Nil</i>
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name :	Signature & Date : <i>[Signature]</i> Department
Verification of corrective actions (To be filled by Auditor at the time of closure)	Signature & Date : <i>1. By P. Suresh</i> <i>P. Suresh</i>
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <i>2. By M.S. Anusudha</i> Signature & Date : <i>M.S. Anusudha</i> <i>15/07/2023</i>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	Signature & Date : <i>1. By P. Suresh</i> <i>P. Suresh</i> <i>2. By M.S. Anusudha</i> <i>M.S. Anusudha</i>
Auditor Name / Signature	
Date:	




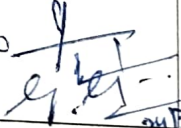


**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : ECE- Women Eng College		Responsibility :	
Audit No. :		Date of Audit : 24/07/23	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
<u>ISO 9001 Clause :</u>		<u>Reference Document(s) :</u>	
Nonconformity : NIL			
Auditor Name : Prof. P. Yedukondal Rao		Signature & Date :  24/07/23	
Auditor Name : Prof. G. Chinnarasimhan		Signature & Date :  24/07/23	
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :		nil	
Root Cause Analysis :			
Proposed Corrective Action :		<p align="center">Head of the Department Electronics & Communication Engineering A.U. College of Engineering for Women Andhra University Visakhapatnam-530 003</p>	
Proposed Completion Date :			
Auditee Name : Dr. S. ARUNA			
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed. <input checked="" type="checkbox"/> Open		Auditor Name : Prof. P. Yedukondal Rao	
		Signature & Date : Prof. G. Chinnarasimhan  24/07/23	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature			
Date:			



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Mechanical Engg</u> <u>AUCEW</u>	Responsibility : <u>Prof. S.K. Bhatti</u>
Audit No. :	Date of Audit : <u>11-07-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
<u>Prof K. Srinivasa Rao</u> — <u>u.c</u> <u>11/07/2023</u>	
Auditor Name : <u>Prof K.T. Balaram Reddy</u>	Signature & Date : <u>[Signature]</u> <u>11/7/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>- Nil</u>
Root Cause Analysis :	<u>—</u>
Proposed Corrective Action :	<u>—</u>
Proposed Completion Date :	<u>—</u>
Auditee Name : <u>Prof S.K. Bhatti</u> <u>HOD</u>	Signature & Date : <u>[Signature]</u> <u>Dr. S.K. BHATTI</u> <u>PROFESSOR AND HEAD</u> <u>DEPARTMENT OF MECHANICAL ENGINEERING</u> <u>J. COLLEGE OF ENGINEERING FOR WOMEN</u> <u>VISAKHAPATNAM</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : <u>Prof K. Srinivasa Rao</u> <u>u.c</u> <u>11/07/23</u>
	Signature & Date : <u>Prof K.T. Balaram Reddy</u> <u>[Signature]</u> <u>11/7/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function :	College of Pharmaceutical Sciences	Responsibility :	Prof Y. Rajendra Prasad Principal
Audit No. :		Date of Audit :	24-07-2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
ISO 9001 Clause :	Reference Document(s) :		
Nonconformity : - NIL -			
Auditor Name :	Prof K. Srinivas Rao	Signature & Date :	<i>[Signature]</i> 24/07/23
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :	- NIL -		
Root Cause Analysis :	—		
Proposed Corrective Action :	—		
Proposed Completion Date :	—		
Auditee Name :	Prof Y. Rajendra Prasad	Signature & Date :	<i>[Signature]</i> 24/07/23 PRINCIPAL
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed.	Closed		
Auditor Name :	Prof K. Srinivas Rao	Signature & Date :	<i>[Signature]</i> 24/07/23
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature			
Date:			



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>V.S. Krishna Library</u>	Responsibility :
Audit No. :	Date of Audit : <u>18-7-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	<u>Nil</u>
Auditor Name : <u>Prof. V. GIRIJASASTRY</u>	Signature & Date : <u>V. Girijastri 18/7/2023</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>No corrections</u>
Root Cause Analysis :	<u>- NA -</u>
Proposed Corrective Action :	<u>No correction</u>
Proposed Completion Date :	<u>- NA -</u>
Auditee Name : <u>Prof. P. Venkateswara</u>	Signature & Date : <u>P. Venkateswara 18/07/2023</u> Honorary Librarian Dr. V.S. Krishna Library
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>V. Girijastri</u> Signature & Date : <u>V. Girijastri 18/7/2023</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>V. GIRIJASASTRY</u> <u>V. Girijastri</u>
Date:	<u>18/7/2023</u>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function :	Directorate of Admissions.	Responsibility :	
Audit No. :		Date of Audit :	21/07/23
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>		
Nonconformity :	Nil		
Auditor Name :	1. Prof. N.V.E.S. Murthy 2. Prof. M. Vijaya Santhi	Signature & Date :	 21/7/23
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :	—		
Root Cause Analysis :	—		
Proposed Corrective Action :	—		
Proposed Completion Date :	—		
Auditee Name :	D. A. Naider	Signature & Date :	21/07/2022
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed.	✓	Auditor Name :	1. Prof. N.V.E.S. Murthy 2. Prof. M. Vijaya Santhi
		Signature & Date :	21/7/23
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature			
Date:			

DIRECTOR
DIRECTORATE OF ADMISSIONS
Andhra University, Visakhapatnam-17



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>CDC</u>	Responsibility : <u>Dean</u>
Audit No. :	Date of Audit : <u>11-07-2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>NIL</u>	
Auditor Name : <u>1 TVK Bhanu Prakash</u> <u>2 V. Sri Devi</u>	Signature & Date : <u>[Signature] 11/7/2023</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name :	Signature & Date : <u>[Signature] 11/7/23</u>
Verification of corrective actions (To be filled by Auditor at the time of next internal audit)	
Status: Open / Closed.	Auditor Name : <u>TVK Bhanu Prakash</u> <u>V. Sri Devi</u> Signature & Date : <u>[Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Examination Section Responsibility : UG and PG	
Audit No. :	Date of Audit : 03/08/2023
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : NIL	
Auditor Name : Pnt. G. Girija Sankar Pnt. P. Yedukondala Rao	Signature & Date : 03/08/23 8/8/23
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	NPL
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	03/08/2023
Auditee Name : Pnt. DVR Murthy	Signature & Date : Dean of Examination PG & Professor ANDHRA UNIVERSITY VISAKHAPATNAM
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : Pnt. G. Girija Sankar Signature & Date : Pnt. P. Yedukondala Rao 03/08/23
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function: <u>Dr. B.R. Ambedkar College</u>	Responsibility: <u>HOD</u>
Audit No.:	Date of Audit: <u>18/07/2023</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause:	Reference Document(s):
Nonconformity: <u>Nil</u>	
Auditor Name: <u>1. Prj P. Suresh</u> <u>2. Prj M.S. Anurekha</u>	Signature & Date: <u>P. Suresh</u> <u>M.S. Anurekha</u> <u>24/07/23</u>
CORRECTIVE ACTION REPORT (To be filled by Auditor)	
Proposed correction:	<u>Nil</u>
Root Cause Analysis:	
Proposed Corrective Action:	<u>Vijayam</u> PRINCIPAL Dr B R. Ambedkar College of Law Andhra University Visakhapatnam-530 003
Proposed Completion Date:	
Auditee Name:	Signature & Date:
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name: <u>1. Prj P. Suresh</u> <u>2. Prj M.S. Anurekha</u>
	Signature & Date: <u>P. Suresh</u> <u>M.S. Anurekha</u> <u>24/07/23</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>1. Prj P. Suresh</u> <u>P. Suresh</u>
Date:	<u>2. Prj M.S. Anurekha</u> <u>M.S. Anurekha</u> <u>24/07/23</u>



ANDHRA UNIVERSITY VISA KHAPATNAM

AUF-07

INTERNAL AUDIT NCR SUMMARY

Audit Date(s): 5th July to 25th July 2023

S.No.	College / Department / Section	Total no. of Nonconformities
1.	Vice-Chancellor	Nil
2.	Rector	Nil
3.	Registrar	Nil
4.	MR Office	Nil
5.	Principal, AU College of Arts & Commerce	Nil
6.	Anthropology	Nil
7.	Commerce & Mgt. Studies	Nil
8.	Economics	Nil
9.	Education	Nil
10.	English	Nil
11.	Fine Arts	Nil
12.	Hindi	Nil
13.	History and Archaeology	Nil
14.	Human Resource Management	Nil
15.	Journalism & Mass Comm..	01
16.	Library & Information Science	Nil
17.	Music & Dance	Nil
18.	Philosophy	Nil
19.	Political Science & Public Admn..	Nil
20.	Sanskrit	Nil
21.	Social Work	Nil
22.	Sociology	Nil
23.	Telugu	Nil



ANDHRA UNIVERSITY VISA KHAPATNAM

AUF-07

24.	Physical Education	Nil
25.	Principal, AU College of Science & Technology	Nil
26.	Applied Mathematics	Nil
27.	Biochemistry	Nil
28.	Biotechnology	Nil
29.	Botany	Nil
30.	Environmental Sciences	Nil
31.	Food & Nutrition, Dietetics	Nil
32.	Geography	Nil
33.	Geology	Nil
34.	Geophysics	Nil
35.	Human Genetics	Nil
36.	Chemistry	Nil
37.	Marine Living Resources	Nil
38.	Mathematics	Nil
39.	Meteorology & Oceanography	Nil
40.	Microbiology	Nil
41.	Nuclear Physics	Nil
42.	Physics	Nil
43.	Psychology & Parapsychology	Nil
44.	Statistics	Nil
45.	Zoology	Nil
46.	Principal, AU College of Engineering (A)	Nil
47.	Architecture	Nil
48.	Chemical Engineering	Nil
49.	Civil Engineering	Nil
50.	C S S E	Nil



ANDHRA UNIVERSITY VISA KHAPATNAM

AUF-07

51.	Electrical Engineering	Nil
52.	E C E	Nil
53.	Geo-Engineering	Nil
54.	Instrument Technology	Nil
55.	Marine Engineering	Nil
56.	Mechanical Engineering	Nil
57.	Metallurgical Engineering	Nil
58.	Engineering Chemistry	Nil
59.	Engineering Mathematics	Nil
60.	Engineering Physics	Nil
61.	Humanities & Social Science	Nil
62.	Principal, AU College of Engineering for Women	Nil
63.	Computer Engineering (AUCEW)	Nil
64.	Mechanical Engineering (AUCEW)	Nil
65.	E C E(AUCEW)	Nil
66.	Electrical Engineering & Civil Engineering(AUCEW)	Nil
67.	Principal, AU College of Pharmaceutical Sciences	Nil
68.	Principal, Dr. B.R. Ambder College of LAW	Nil
69.	College Development Council	Nil
70.	Directorate of Admissions	Nil
71.	Examinations Branch	01
72.	Dr. V.S. Krishna Library	Nil
	TOTAL	02

5) Management Review meeting:

Following the internal Academic and administrative audits done by the internal auditors team, the annual Top Management review meeting was conducted by the office of IQAC on 09/08/2023 at 3:30pm with all the Heads, Deans, Directors, Registrar, Principals, Rector and Hon'ble VC as Chair.

6) Action Taken report:

Minutes of the previous meeting were presented and ratified by the Top Management. The agenda points were discussed for the present MR meeting and following is the action taken report on Academic and Administrative audit.

- 1) The Top Management has discussed Quality objectives at department level and decided to carryout trend analysis annually with all the Principals and Head of the Departments concerned.
- 2) To review all the issues related to changes in external and internal issues related to QMS.
- 3) To maintain Nonconformities related to process performance and corrective action to be taken if any in the departments.
- 4) There are no major NCRs and corrections for possible improvements
- 5) All the Quality procedures are discussed and briefed to all the members.
- 6) Online student feedbacks at the end of every semester are collected and analysed.
- 7) The effectiveness of action taken should be reviewed in next MR.
- 8) To review QMS documents by MR and Heads of the departments.
- 9) Hon'ble VC has reviewed about the preparations of NAAC 2023 and iterated to maintain the legacy of AU.

7) ISO 9001:2015 external audits by TUV SUD:

The ISO 9001:2015 surveillance audit to conduct academic and administrative audit is scheduled as follows:

Date of ISO surveillance Audit : **10 - Aug - 2023 to 11 - Aug -2023**

External audit members from TUV-SUD:

1. Rajeswar Bomma (Lead auditor)
2. Sreeraj S.V

8) The detailed audit report of TUV SUD is attached



Management Service

AUDIT REPORT

Organization name	ANDHRA UNIVERSITY
Standard(s)	ISO 9001:2015
Order No.	4153580766
Audit start date	2023-08-10
Audit end date	2023-08-11
Audit type	8. Surveillance Audit
Certification type	Single
Client number	66843-01
Organization's audit representative	Prof. D. Lalitha Bhaskari
Certificate No.	99 100 14363
Result	<ul style="list-style-type: none">• Certificate release recommended• Maintenance of certificate recommended• Non-Conformities were identified and closed by re-audit on site• Non-Conformities were identified and closed by resubmitted documentation• Suspension of certificate recommended• Withdrawal of certificate recommended• Certification process terminated

Enclosed documents: Action list
Audit plan

ANDHRA UNIVERSITY
4153580766
2023-08-10 - 2023-08-11



Management Service



Audit Team	
Function	Name
Lead Auditor	Rajeswar Bomma
Auditor	Sreeraj S.V

Changes since last audit:

- No changes since last audit

Date of next regularly scheduled audit	2024-07-01
--	------------



Audit conclusion

During the audit it was checked how the documented management system was established, implemented and improved at the different areas of the organization.

The audit covered relevant processes / areas of the organization in order to obtain an overall picture of the degree of management system implementation. Although performed to reasonable depth, not every detail of the complete Management System could be checked.

The processes and their associated areas of the organization were checked in accordance with the pre-agreed audit plan, audit program and process analysis.

Verification of previous audit nonconformities

The audit team evaluated the corrective action taken for the nonconformities/ areas of concern from the previous audit.

In the case of RA / Re-Certification audits, the audit team considered the audit reports for the last two audits in the audit planning / performance of the audits and in particular checked the nonconformities / areas of concerns.

The corrective actions were found to be:

Effectively Implemented?	Not applicable (no existing MiN or NC)
--------------------------	--

Comments:

No NC (Major / Minor) during last / previous audit cycle.

Did the organization use the CB certification mark? (Controlling the use of certification documents, statements and marks)	Not in use
--	------------

Comments:

Not using in any document.



Audit team conclusion		
Is a re-audit needed?	• Yes	• No

Comments:

Not required

Type and number of audit findings

	Major nonconformities	Minor nonconformities	Opportunities for improvement	Positive aspects
Total number	0	0	0	3

Standard elements with findings are listed in the action list (NC Management).
The audit findings are based on the audit evidence collected during the audit and available to the certification body.

Audit summary

Refer to level of maturity of the MS, commitment of top management, guaranteeing the continuous compliance with the legal and other requirements , application of performance indicators, continual improvement, meeting of objectives and targets, competence of personnel, effectiveness of internal audits and management reviews, environmental performance, etc.

<p>The overall performance of the Andhra University with reference to implementation of Quality Management System (QMS) as per ISO 9001: 2015 found adequate. Vice Chancellor periodically conduct management review meetings with the Registrar, Rector, Principals / Directors, Heads of departments, faculty and supporting staff to monitor and evaluate the quality management system and take appropriate measures for improvement. Effective Internal audit and management review. Last Internal audit conducted from 05th July 2023 to 25th July 2023 and management review on 09th August 2023. Quality objectives are consistent with quality policy and monitored in academic committee meetings at departmental level and management review meetings. Andhra University has a formal mechanism to obtain feedback on curriculum and other aspects periodically from students, parents, alumni, employers, community, academic peers and industry. In addition, feedback is obtained from the participants of seminars / conferences, company representatives who visit the University. Feedbacks are discussed in MRM for improvement. Infrastructure include – Academic building, Administrative & Supportive Services, Common halls, e- classrooms, Seminar halls, Guest house, Hostel for men & women, Post office, Canteen, medical facilities. Sports infrastructure, Infrastructure for cultural activities. Research and consultancy assignments in government and non-governmental organizations. Hence, Andhra University recommended for continuation of certificate i.e., maintenance of certificate recommended.</p>
--



Auditor's name	Rajeswar Bomma	date
Auditor's signature	Rajeswar Bomma <hr/>	2023-08-11 <hr/>

Site specific information

Audited Site

Site specific name	ANDHRA UNIVERSITY
State	India
City	Andhra Pradesh
Postal code	530003
Address	Visakhapatnam
Client number	66843-01
Certificate scope	Design of Curriculum, Regulations, Eligibility norms and implementation of on-campus Undergraduate, Postgraduate, Professional teaching & Research programmes through offline and online modes; Conduct of Examinations, Evaluation, Publication of Results and Award of Degrees; Grant of Affiliation and Academic monitoring to the programmes offered by colleges and other academic institutions; Create infrastructure through Sponsored Research & Consultancy.
Industry code(s)	EA 35; EA 37
Products / Services	Education
Total number of personnel onsite	300
Total number of effective personnel	300

ISO 9001

Auditing of shifts	<ul style="list-style-type: none"> Not applicable
Temporary sites / projects:	<ul style="list-style-type: none"> Not applicable Applicable
All clauses apply	<ul style="list-style-type: none"> Yes



All clauses apply	<ul style="list-style-type: none"> No 	
Quality relevant manufacturing/realization processes:	Curriculum Development; Teaching & Learning; Examinations & Results Publication; Councelling & Admissions; Library; Infrastructure Maintenance; Transportation; Research & Consultancy	
Risk category (low/medium/high):	Medium	
KPIs:	Name	Trend
	Student Placements - Target: 75% & Achieved: 78.2%	Positive
	Students Seminars - Target: 809 & Achieved: 1104	Positive
	First Class Awarded to Students - Target: 90% & Achieved: 93%	Positive
Relevant compliance obligations / Significant legal requirements with regard to products / services:	Establishment of Andhra University under Madras University Act in 1926. Andhra Pradesh Universities Act 4 of 1991; Amended Act 7 of 2004.	