

ACADEMIC & ADMINISTRATIVE AUDIT REPORT

2020-2021



Prepared by: Internal Quality Assurance Cell

ANDHRA UNIVERSITY

VISAKHAPATNAM

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Andhra University is one of the oldest state universities in the state of Andhra Pradesh. Considering the merits of the University, the National Assessment and Accreditation Council of India has reaccredited at "A" Grade with a CGPA of 3.60 out of four point scale in 2016. The University got ISO 9001:2001 certification in 2006, ISO 9001:2008 upto 2017 and presently it possesses ISO 9001:2015 certification for its quality standards. It is the 1st general University in the country to have ISO certification.

Andhra University conducts internal and external academic and administrative audits annually. The office of IQAC conducts academic and administrative audits through its 20 trained internal auditors. The external audits are done annually by TUV SUD.

Academic and Administrative Audit enhances quality and continuous improvement leading to one of the best practices of Andhra University. The audits aim to identify any drawbacks or weakness in any department or section based on the observation of the trained internal auditors. They are reviewed by the Top Management regularly and the audit findings help the administration in implementing the necessary steps for quality enhancement.

1.Scope of the Internal AAA:

The audit process strictly adhered to a proforma structured by the IQAC for the evaluation based on NAAC criteria's as given:

- i. **Curricular Aspects:** Curriculum design, development, BOS, framing of COs, POs, PEOs, PSOs for each course and programs, Curriculum enrichment, feedback
- **ii. Teaching-Learning & Evaluation:** Student mentoring, student assessment, student diversity, Teaching learning process, usage of ICT enabled tools, evaluation process and reforms, Faculty profiles, Student learning outcomes
- iii. Research, innovation & extension: Research facilities, papers published, patents, research projects
- iv. Feedback system
- v. Research
- vi. Infrastructure
- vii. Department Administration
- viii. Other academic-oriented activities

PERIOD OF ASSESSMENT : 2020-2021

DATE(s) OF Internal AUDIT: 12th July 2021 to 24th July 2021

2. The list of trained internal auditors and the departments to be audited are as follows:

The Internal Audit Committee and departments to audit by the auditors:

Team	Name of the Internal auditor	Principal office / Department/ Others
	Prof.G.Girija Sankar	
Α		Top Management
	Prof. D.LalithaBhaskari	
	Prof.G.GirijaSankar	
В	Prof.K.RamaSudha	IQAC Office
	Prof.K.RamaSudna	
		Anthropology
		Statistics
C	Prof.P.K.Ratna Kumar 9848806706	Applied Mathematics
C		Mathematics
	Dr.G.Naga Raja	Marine Engineering, AUCE(A)
	8466902549	Microbiology
	6400902349	Biochemistry
		Engineering Chemistry Mechanical Engineering, AUCE(A)
		Directorate of Admissions
		Human Resources Management
	Prof.N.V.E.S.Murthy	Physics,
D	9440702314	Biotechnology
D		Nuclear Physics
		Geo-Engineering, AUCE(A)
	Prof. M.VijayaSanthi	Electrical Engineering, AUCE(A)
	9989012529	Philosophy
	Prof. V.GirijaSastry	Journalism and Mass Communication
		Library Information Science
Б	9866771724	University Library
E		History & Archaeology
	Prof. K.Basavaiah	Meteorology & Oceanography
	9908036203	Instrument Technology, AUCE(A) Humanities and Social Sciences, AUCE(A)
	7675079052	Humannies and Social Sciences, AUCE(A)
		Principal office, College of Pharmaceutical
		Science
	Prof. K.SrinivasaRao	Psychology & Parapsychology
	9866037087	Metallurgical Engineering, AUCE(A)
F		Mechanical Engineering, A.U. Engineering
	Prof. Balarampadal	College for Women
	9441586593	Organic Chemistry
		Chemical Engineering, AUCE(A)
		Computer Science & System Engineering,
		AUCE(A)
		Sanskrit Architecture, AUCE(A)
		Alemiteruit, AUCE(A)

		Examination section
		Electronics & Communication Engineering,
	Prof.G.GirijaSankar	A.U. Engineering College for Women
		Electronics & Communication Engineering,
G	9866937957	AUCE(A)
_		Fine Arts
		Social Work
	Dr. P.YedukondalaRao	Sociology
	9490132294	Principal, College of Arts & Commerce
		Engineering Mathematics
	Prof. M.S. Anuradha	Environmental Sciences
	9441209464	Geography
)++120)+0+	Principal, College of Law
Н		Engineering Physics
		Engineering College for Women(Principal
	Prof.P.Suneetha	office)
	9848250429	Electrical Engineering & Civil Engineering
		A.U. Engineering College for Women
		Computer Science & System Engineering
		A.U. Engineering College for Women
	Prof.N.KishoreBabu	School of Economics
I	9848112219	Music
1	Dr. T.Anuja	
		Telugu
		Education
	8639349308	Zoology
	000000	Systems Design
		Marine Living Resources
	Prof.T.V.K.BhanuPrakash 9440973800	College Development Council
		Principal office, College of Science &
J		Technology
J	Prof.V.Sridevi	Civil Engineering, AUCE(A)
	9848961427	Geo-Physics
	3040301427	Geology
		Hindi
		English
		Principal office, A.U.College of Engineering
	Prof.P.Vasudeva Reddy	
17	9885000274	Politics and Public Administration
K	Dr. K. SitaManikyam	Human Genetics
		Botany
	9440084024	Commerce & Management studies
		Physical Education
		Physical and Nuclear Chemistry
		Inorganic & Analytical Chemistry

Prof.D.Lalitha Bhaskari Dept.of Computer Science & Systems Engineering & Coordinator, IQAC

3. General Observations:

- 1. The University is Category 1 institute recognised by MHRD, GOI
- 2. The University accredited by NAAC with a CGPA of 3.60 in 2016 valid upto 7 years(cycle
- Progressive vision of the Top Management with a definite plan of action following a decentralised and participative management.
- 4. Qualified and committed faculty members with rich experience
- 5. Most of the departments are funded by government agencies like DST, DBT, AICTE,

DRDO, TEQIP and from other funding agencies.

- 6. The University is RUSA funded
- 7. The University is ISO 9001:2015 certified
- 8. Departments function in alignment with the University Quality policies
- 9. Total number of programs offered during 2020-2021 are 182.

Among them UG Programmes offered are 21; PG Programmes- 99,

Integrated programs - 4, Ph.D-57, PG Diploma-1

- 10. Faculty members 538
- 11. 95% faculty members are with PhD
- 12. Being a reputed Government funded state university, the demand ratio is very high and student enrolment is always high
- A total of 10592 students are enrolled in the campus and nearly 3186 outgoing students during 2020-2021
- 14. Total Research and Academic centres 16

Research Centres :

- (1) Agro Economic Research Center
- (2) Dr. Durgabhai Deshmukh Centre for Women studies
- (3) Delta Studies Institute

- (4) Population Research Centre
- (5) Centre for Studies on Bay of Bengal
- (6) Centre for study of Social Exclusion and Inclusive Policy(CSSEIP)
- (7) Centre for Environment, Sustainable development and climate change(CESCC)
- (8) NMR Research Centre
- (9) Centre for Nano-Technology

• Academic Centres :

- (10) The Japanese Information and study centre
- (11) Prof.K.Satchidananda Murthy Centre for Religion studies
- (12) Centre for Defense studies
- (13) Centre for Gandhian Studies
- (14) Centre for Cyber security and Data Analytics
- (15) Dr.B.R.Ambedkar Study centre.
- (16) Alluri Seeta Ramraju History and tribal studies
- 15. JRFs, SRFs, Post Doctoral Fellows, Research Associates and other research

fellows enrolled in the institution : 312

4. NCRs:

The Non conformities (NCRs) of all the departments are attached here



ANDHRA UNIVERSTY

AUDIT NON-CONFORMITY REPORT

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Dept/Function: Top Management Responsibility: Topland Management of A.			
Audit No. : Date of Audit : $27(7(202))$			
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
ISO 9001 Clause : Reference Document(s) :			
Nonconformity: -NU. G.G. 27/2/4			
Auditor Name: 2) By D. Calitta Bhesheri Signature & Date: 27/24227			
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :			
Root Cause Analysis :			
Proposed Corrective Action :			
Proposed Completion Date :			
Auditee Name: Vice chancello, Av Signature & Date: 22/2/21			
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Status: Open+ Closed. Auditor Name : Visekhapatnam Signature & Date : M. Drightty Obedgen Delitted	4		
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ANDHRA UNIVERSITY VISAKHAPATNAM

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Dept/Function: Top Management, Responsibility: Overall administration of University	5
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Root Cause Analysis : N.L	
Proposed Corrective Action : NU	
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Status: Open / Closed. Auditor Name : Prof A. C. MUDHRA UNIVERSITY Signature & Date : Prof D. C. MUSAKHAPATNAM-530 003	v d-l
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	10
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

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Auditor Name: Prof. K. RAMASUDH	
CORRECTIVE ACTI	ON REPORT (To be filled by Auditee)
Proposed correction :	
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Root Cause Analysis :	NIL
Proposed Corrective Action :	
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Prof. Rooma Kumaj	c Signature & Date: $2 \text{ Napp} 23/7/2$
Auditor Name: Pra. G. Na Cara	e Signature & Date : $23 -7 2 $
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Root Cause Analysis :	
Proposed Corrective Action :	
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Proposed Completion Date :	Department of Anthropology
Dr. D Ramelly	Department of Anthropology Andara University Signature & Dale
Auditee Name :	Signature & Date: manapatham-530 002
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Status: Open / Closed. Au	iditor Name : Bof. P.K. Raha Kung
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Auditor Name / Signature	
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Dept./Function: DCMS, A.U. Responsibility: Head
Audit No. : Date of Audit : $22 - 07 - 2021$.
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ISO 9001 Clause : Reference Document(s) :
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Auditor Name: 2. Sita Manikyan Signature & Date: K. Site weekyer Ker.
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Root Cause Analysis : Stock resister not maintaned by deft for The Several years.
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Proposed Corrective Action :
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Proposed Completion Date: A Poor CV Kanner Reo Wund Department of Commerce and
Auditer Name : Signature & Date : Management Studies
Verification of corrective actions (To be filled by Auditor at the time of close the
Status: Open / Closed. Auditor Name : L- Dr. Si=10 mannlya 1082 107/2021
Signature & Date :
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Audit No. : 6	Date of Audit : 24.07-2021	
	NFORMAITY (To be filled by Auditor)	
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Auditor Name :	Signature & Date :	
CORRECTIVE ACTIO	ON REPORT (To be filled by Auditee)	
Proposed correction ·		
No	corrective action is required.	
Root Cause Analysis :		
Proposed Corrective Action :	MARIA DE PORT	
Proposed Completion Date :	M. Pra 8a do Roy HEAD 24/07/2024	
Auditee Name : M. Prasada Roo	DEPARTMENT OF ECONOMICS Signature & Date : ANDHRA LINIVERSITY	
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Status: Open / Closed. Aud Sign	ditor Name : Hg. N. F. HORE 502 ANUJA TIG 4A nature & Date : New <u>2454</u> Anuja Uffally of e action (To be filled by auditor during next internal audit)	7. 91
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Auditor Name / Signature Date:		



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Dept/Function : Education Responsibility :	1
Audit No. : 5 Date of Audit : 24, 07, 2021	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: No Noncompirmity	
Auditor Name: ANUJA TIGGA Signature & Date: Anuja 1163 24.7.20	
Auditor Name: ANUJA TIGGA Signature & Date: Anna lices Dy 7.20	2.
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Ing T.V.K. Bhany Prakash Auditor Name: Ing V. Sri Devi	Signature & Date : (O.d. 2017)		
	EPORT (To be filled by Auditee)		
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Root Cause Analysis :			
Proposed Corrective Action :	· · · · · · · · · · · · · · · · · · ·		
Proposed Completion Date:			
Auditee Name : DR. N. SOLOMON BENNY Signature & Date: N.S. Berry 20/07/2021			
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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : Dept of HIND) Responsibility : ATOD
Audit No. : Date of Audit : 23 7 2 1 DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: Prof. T.V.K. Bhonn Prokoly Auditor Name: Prof. V. Sviden Signature & Date: CORRECTIVE ACTION REPORT (To be filled by Auditee)
Auditor Name: Pot. V. Sviden Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action : HEAD C THE DEPARTMENT
Proposed Completion Date : DEPARTMENT OF HINDI ANDHRA UNIVERSITY. VISAKHAPATNAM - 530 003.
Auditee Name Head fre Dept. Hund Signature & Date
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name :
Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
Auditor Name / Signature Date:



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:
Archeology.
Dept./Function: Mislosse Responsibility:
Audit No. : Date of Audit : 23-7-2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: Nil V. Lj. 5-15 23/7/2021
V. Ginja Sasto
Auditor Name : K. Basavaich 'S' Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
l in
Root Cause Analysis :
Proposed Corrective Action :
Proposed Completion Date:
Proposed Completion Date : Auditee Name : Prof. P. Logandva Karmakar P. Karma (Arman Xav Auditee Name : Prof. P. Logandva Karmakar P. Karma (Arman Xav 23/7/2) Verification of corrective actions (To be filled by Auditor at the time of closure The second sec
Verification of corrective actions (To be filled by Auditor at the time of closure
Status: Open / Closed. Auditor Name : Andhra University, Visenhapatnam
Signature & Date : I Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
vermeation of enced these of control audit (10 be finded by auditor during next internal audit)
N. GIRIOTAS ASTRY Auditor Name / Signature 23/7/2024. V. Gingin Sala Not
Auditor Name / Signature
Date: MIL Acravaian BBar 23/07/2021
Date: My K-Basavaian B-Sav-23/07/2021



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept (Function : 21, an and Pater press Personalibility :
Dept./Function: Human Resources Responsibility:
Audit No. : Mouragement Date of Audit : 24/07/21.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
<u>ISO 9001 Clause</u> : <u>Reference Document(s)</u> :
Nonconformity : Nil
V/. Sauth-24/7121.
Auditor Name: Prof M. Vijaya Southusignature & Date:
Nonconformity : N; Auditor Name : Prof M. Vijaya Sauthi Signature & Date : CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
DRC Line Line
Root Cause Analysis :
24/7/21
Proposed Corrective Action : Dept. of Human Resource Management
ANDUF
Proposed Completion Date : — — — — — — — — — — — — — — — — — —
Auditee Name : PROF. P. RAJENDRA KARMAKSignature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : hof M. Vijaya Santa
Status: Open / Closed. Auditor Name : Prof. M. Vijaya Santhi Signature & Date : M/ Sauth - 14/21.
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
vermeation of encentroness of correction (10 oc miled by additor during next internal addit)
A Vie March Cignoture
Auditor Name / Signature
Date:



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Journalism and Responsibility:	
Audit No. : Date of Audit : 24-7-202)	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: Proj V. GIRISTASBETRY Roy V. GIRISTASBETRY Reg V. GIRISTASBETRY	
Prof. V. GIRIONSBIRY Representation Reserve	
Auditor Name: Signature & Date:	
CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction :	
ΓÂ.	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date: Prof D.V. R. Murthy . X	
Auditee Name : Signature & Date : Anders University	
Auditee Name : Signature & Date : Anders University 000 Verification of corrective actions (To be filled by Auditor at the time of closure) × B 3cm -	
V. Buga sala - 1.5 san -	_
Status: Open / Closed. Auditor Name : C. C. C. C. Status	1AM
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature - Mil -	
Date:	



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ANDHRA UNIVERSITY VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

hibran informalingesponsibility: Sucheds Dept./Function: Date of Audit : 23-7-202) Audit No. DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : Reference Document(s): M 52317/204 Nonconformity : 11- Given Jastin Auditor Name : Signature & Date : **CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction** Ni **Root Cause Analysis** • **Proposed Corrective Action :** r. V. DHANA RAJU, Ph.D. Proposed Completion Date : Port V-Dhanara Auditee Name : Signature & Date Verification of corrective actions (To be filled by Auditor at the time of closure) Visakhapatnam-530 003 Auditor Name Status: Open / Closed. Signature & Date : Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) B sone 23/07/21 N- GIRIGASASTRY , Belavaial Auditor Name / Signature Date:



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept/Function: Music2 Donce Responsibility:
Audit No. : 7. Date of Audit : 24.07.2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Deference Decument(a) :
Nonconformity: No No
Arg. N. KISHO2E BARU Uhave tois
Auditor Name : Book ANUJA Will Signature & Date:
CORRECTIVE ACTION REPORT (To be filled by Audited)
Proposed correction :
No corrective action is sequired.
Root Cause Analysis :
Proposed Corrective Action : Proposed Completion Date : Proposed Completion Date : Proposed Completion Date :
Proposed Completion Date :
Proposed Completion Date : 4adhra University
Auditee Name: A. ANURA VHA Signature & Date: PAnue 24/7/14
Verification of corrective actions (To be filled by Auditor at the time of closure)
(of the model of ruditor at the time of closure)
Status: Open / Closed. Auditor Name : Art. N.K. (Hore CAN) Auga light Signature & Date : Nuclear Canal Auge 2012/201 Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Auditor Name : Art. N.K. (Hore CAN) Auge 2012/201
Verification of effectiveness of corrective action (To be filled by auditor during next internal article)
Auditor Name / Signature Date:



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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Philosophy Responsibility:
Audit No. : Date of Audit : 24072021 .
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: Nil N-Sauta 1221.
Auditor Name: Prof. M. Vijaya Saufrisignature & Date:
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action:
Proposed Completion Date : -
Dept. of Philosoph
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open/Closed. Auditor Name : Prof. M. Vijaya Sonthi Signature & Date : M/Sonthi :
Verification of effectiveness of corrective action (To be filled by and in the section of the se
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Auditor Name / Signature Date:



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: Dept./Function: proble Admissiphikesponsibility: Hend Date of Audit : 19/7/2021 Audit No. **DETAILS OF NONCONFORMAITY (To be filled by Auditor)** ISO 9001 Clause : **Reference Document(s) :** Nonconformity: Records AUF 23, 21, 18 & be up dated p. Vasudiva Red). Jorly k. Fit man kyon Signature & Date: 2 - K, Sit mailyan Auditor Name : **CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction** you rignatures on AUF 23, 23, 18 to be updated : Due to to the shortage of time. **Root Cause Analysis** Proposed Corrective Action: Actions have and updated all recordy -Barry Proposed Completion Date : 24 - 7 -202) · K. Sila moutype Auditee Name: premananahan Signature & Date () Contraction DEPETETI PREMANDA Verification of corrective actions (To be filled by Auditor at the time of closure) HEAD of Rolitical Science & Status: ______ Closed. Public Administration Auditor Name Signature & Date: 2. K. She never. Andhra University Visakhanatha Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Auditor Name / Signature Date:



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Dept of Samskink Responsibility: Port, N-Setganarayan	
Audit No. : Date of Audit : 31-7-2021	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: PA K. Srivilesa has - U.	
Nonconformity: PAK, Svinivesaker - 4. W PAK, Svinivesaker - 4. W PAK, Svinivesaker - 4. W)
Auditor Name : Signature & Date :	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
- NIL -	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date: Prof N-Saty according as	
Auditee Name : Signature & Date :	
Verification of corrective actions (To be filled by Auditor at the time of closurgEPT. OF SANSKR	
Verification of confective actions (10 50 mile by Franker at the time of closing PPT of Overset)	
Auditor Name M K SALING SISARHAPANA	
Status: Open/Closed. Auditor Name : PMK Sninives GISARHAPATNAM Signature & Date : One K T. De Losen De 24	- &
Signature & Date: My K.T. Beleve fendy - (Bed	- ud
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Signature & Date: My K. T. Belee Perey (Bed Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Part K. Sninitese Res - 4	- and
Signature Signature & Date : My K. T. Belove Persy Control Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Auditor Name / Signature Party K. Sninitese Res - N. W. Sninitese Res - N. Sninitese Res - N. Sninitese Res - N. Sninitese Res - N. Sninitese R	- A
Signature & Date: My K. T. Belee Perey (Bed Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Part K. Sninitese Res - 4	- de



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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

Dept / Function : Social work Responsibility :	1
Audit No. : Date of Audit : 22/07/2021	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	1
Nonconformity : NIL	
Auditor Name: Pho P. Yodu Kondel almosignature & Date: CORRECTIVE ACTION REPORT (To be filled by Auditee)	52/07/71
Proposed correction : N1L	
Root Cause Analysis : NU HEAD 22/7/2/ DEPARTMENT OF SOCIAL WORK ANDHRA UNIVERSITY VISAKHAPATNAM-530003	
AMDHRA PRADESH	
Proposed Completion Date:	
Auditee Name Dr. S. Harenet Signature & Date : (. Harenets 22/7/21	
Mariliantian of accreative actions (To be filled by Auditor at the time of the	n
Status: Open / Closed. Verification of effectiveness of corrective action Verification of effectiveness of corrective action	22/172
Verification of effectiveness of corrective action 2 (to be filled by auditor during next internal audit) 2	5/07/221
Auditor Name / Sign.	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

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Dept./ Function : Sociology Responsibility :	
Audit No. : Date of Audit : 22/07/221	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: NIC	
Auditor Name: Mt p. yedukoudala Musignature & Date:	22/2/2
CORRECTIVE ACTION REPORT (To be filled by Auditee)	,
Proposed correction : NU	
Root Cause Analysis : NIL	
Proposed Corrective Action: NIL	
Proposed Completion Date: Streemannurugeuco Head of the Dept. of Sociology	
Auditee Name : Dr. V. Sroemannur upon Nully Signature State Date Iniversity	
Verification of corrective actions (To be filled by Auditor at the time of closure)	1.
Status: Open / Closed. Status: Open / Closed. Auditor Name Signature & Date : Nof. J. yoduludalamo f	iddic t
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	07/2021
Auditor Name / Sign. Date :	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : Telqu Responsibility : Date of Audit : 24.07.2021 Audit No. DETAILS OF NONCONFORMAITY (To be filled by Auditor) Reference Document(s) : ISO 9001 Clause : nonconformity No Nonconformity : Signature & Date: Anya lige 24 7.2021 Piby . N. KISHORE BAR) Auditor Name : ANVJA TIGGA CORRECTIVE ACTION REPORT (To be filled by Authee) Proposed correction : No corrective action is required. **Root Cause Analysis** • **Proposed Corrective Action :** of the Dept. of relays Proposed Completion Date : ANDHRA UNIVERSITY Visakhapatnam-530 003, A P Auditee Name : Drof J. Appg Kao Signature & Date :)4/7/21 Verification of corrective actions (To be filled by Auditor at the time of closure) ANNJATIGGA Auditor Name : NO N.KBHERE BOOK Status: Open / Closed. Signature & Date : New Aniga light 24.721 Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Auditor Name / Signature Date:



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: flysic Edn sport Responsibility: Head Date of Audit : 20 7 202 Audit No. DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : **Reference Document(s) :** Nonconformity: Leave Register, staff registers to be updated with HoD Rignatures. 1. Deby 20/7/2007 P. Vasndera Red/ 2. K Silamanikyen Auditor Name: pr. K. Stic many ky_ Signature & Date: CORRECTIVE ACTIÓN REPORT (To be filled by Auditee) : AUF 23, le staff leave letters to be maintand, **Proposed correction** : No keen observation on mainfance of records. **Root Cause Analysis** Proposed Corrective Action: propond to advind to update repristan. Proposed Completion Date : Auditee Name : Department State de Bate non Sciences

 Auditee Name :
 Verification of corrective actions (To be filled by Auditor authertime of closure)

 . P. Vorsudern Reder 245 [7] 221

 Status: Open / Closed.

 Auditor Name

 . P. Vorsudern Reder 247 [7] 2021

 Status: Open / Closed. Signature & Date : Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Auditor Name / Signature Date:



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: APPLIED MATHE MATICS Responsibility:	
Audit No. : Date of Audit : $23 7 202$	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
<u>INCONTENTIONE</u>	
Nonconformity :	
Auditor Name : 2-G. NAGA RAJA Signature & Date	
Auditor Name : 2-G. NAGA RAJA Signature & Date :	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis : -	
A I	
Proposed Corrective Action : - 23-07-2021	
Proposed Completion Date : Andhra University	
Wisakhapatnam-530,003	
Auditee Name: Dr. P. Vijaya Laxmi Signature & Date:	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. Auditor Name : 1. P.K. RATNA KUMAR. 2 G. NAGI	ARA
Status: Open / Closed. Auditor Name : 1. P.K. RATNA KUMAR. 2 G. NAGI Signature & Date : P.K.R. N. New	
Verification of effectiveness of corrective action (To be filled by auditor during next internal aud	
· · · · · · · · · · · · · · · · · · ·	
Auditor Name / Signature I. P.K. RATNA KUMAR 2. G. NAGA RAJA.	
23/7/2021. P.K. Ru Naprel	
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : BIOCHEMISTRY	Responsibility :
Audit No. :	Date of Audit : 22/7/21
DETAILS OF NONCO	NFORMAITY (To be filled by Auditor)
The second se	rence Document(s) :
Nonconformity :	
1-P.K. RATNA KUMAR	- P.K. R 22/7/21
Auditor Name : 2. G. NAGA RAJA	Signature & Date : 22/7/21
CORRECTIVE ACTI	ON REPORT (To be filled by Auditee)
Proposed correction :	
Root Cause Analysis : —	
Proposed Corrective Action : _	Som
Toposeu corrective Action ; =	HÉAD ?
Proposed Completion Date :	Department of Biochemistry
	Andhra University
Auditee Name : Dr. P. SUBHARH ~	
Verification of corrective actions (To be	e filled by Auditor at the time of closure)
Status: Open / Closed. An	uditor Name 1: P.K. RATNA KUMAR Z. G. NAOLA RAZ gnature & Date: P.K. R. L
Status. Open / Closed. Al	mature & Date: Pik & L
Verification of effectiveness of corrective	ve action (To be filled by auditor during next internal audit)
Auditor Name / Signature	TNA KUMAR 2. GINAGA RAJA
Auditor Name / Signature Date: 22-07-2021 P.K.R.J	2. GINAGA KAJA
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : Biotechnology Responsibility :	
Audit No. : Date of Audit : 23 07 2021.	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: N;	
Nr. Kan 12/21.	
26/11	
Auditor Name : Prof M. Vijaya Santhe Signature & Date :	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Corrective Action :	olog
Dent of Biotechad	olog sity
Proposed Completion Date : Dept. of Biotechard	Sity
Proposed Completion Date : Dept. of Biotechard	Sity
Proposed Completion Date :	Sity
Proposed Completion Date : Dept. of Biotechnol Auditee Name : Dr. V. LAICSHMI Signature & Date : Andhra Univers Visakhapathan Verification of corrective actions (To be filled by Auditor at the time of closure)	Sity
Proposed Completion Date : Auditee Name : Dr. V. LAICSHNIT Signature & Date : Visakhapatran Verification of corrective actions (To be filled by Auditor at the time of closure) Status: Open / Closed. Auditor Name : Prof. M.Vijaya Santhi	Sity
Proposed Completion Date : Auditee Name : Dr. V. LAICSHNT Signature & Date : Visakhapathar Verification of corrective actions (To be filled by Auditor at the time of closure) Prof. M:Viloura Control	Sity
Proposed Completion Date : Auditee Name : Dr. V. LAICSHNIT Signature & Date : Visakhapatran Verification of corrective actions (To be filled by Auditor at the time of closure) Status: Open / Closed. Auditor Name : Prof. M.Vijaya Santhi	Sity
Proposed Completion Date : Dept. of Biotechar Auditee Name : Dr. V. LAICSHMIT Signature & Date : V. Loby Visakhapatran Visakhapatran Verification of corrective actions (To be filled by Auditor at the time of closure) Status: Open / Closed. Auditor Name : Prof. M.Vijaya Santhi Signature & Date : W. autor 2017.	Sity
Proposed Completion Date : Dept. of Biotechar Auditee Name : Dr. V. LAICSHMIT Signature & Date : V. Loby Visakhapatran Visakhapatran Verification of corrective actions (To be filled by Auditor at the time of closure) Status: Open / Closed. Auditor Name : Prof. M.Vijaya Santhi Signature & Date : W. autor 2017.	Sity
Proposed Completion Date : Auditee Name : Dr. V. LAICSHMI Signature & Date : Visakhapathar	Sity
Proposed Completion Date : Auditee Name : Dr. V. LAICSHMI Signature & Date : Visakhapathan	Sity
Proposed Completion Date : Auditee Name : Dr. V. LAICSHMI Signature & Date : Visakhapathar	Sity



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Botany Responsibility: Head,					
Audit No. : Date of Audit : 22-7-21					
DETAILS OF NONCONFORMAITY (To be filled by Auditor)					
ISO 9001 Clause : Reference Document(s) :					
Nonconformity : NIL R					
1. Produdown Pador 1. Aaby					
Cite Maria Kyant D K. C.A. an ilin					
Nonconformity: NIL). P. Vasudern Redor L. Sita Marri Kyourn Auditor Name: 2. K. Sita marikyourn Signature & Date: 22/1/21					
CORRECTIVE ACTION REPORT (To be filled by Auditee)					
Depresed connection in the f					
Proposed correction : NIL					
Root Cause Analysis :					
Proposed Corrective Action P.K. Rul					
Proposed Corrective Action : _					
Dependent of Rotens					
Proposed Completion Date : Department of Botan					
Auditee Name: Prf-P.K.RATNA KUNAK Signature & Date: 22/7/21					
Verification of corrective actions (To be filled by Auditor at the time of closure)					
1. P. vasndern Ridder Herory					
Status: Open / Closed. Auditor Name :					
Verification of corrective actions (To be filled by Auditor at the time of closure) Status: Open / Closed. Signature & Date: 2. Br. Svita Manukyon KM					
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)					
(and a second stand next internal adult)					
Auditor Nomo / Signatura					
Auditor Name / Signature					
Date:					



ANDHRA UNIVERSITY VISAKHAPATNAM

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

AUF-06

	Dept./Function: Exercised method Responsibility:	7		
	Audit No. : Date of Audit :			
1	DETAILS OF NONCONFORMAITY (To be filled by Auditor)	1		
	ISO 9001 Clause : <u>Reference Document(s)</u> :			
	Nonconformity: I. R. P. Survetto I. f. Survetto Auditor Name: COPPECTIVE ACTION DEPODET (To be Stight of the start)	04		
	Auditor Name: 2. M. S. Anur adh 2. H-Say	-		
	CORRECTIVE ACTION REPORT (To be filled by Auditee)			
	Proposed correction :	1		
	Root Cause Analysis :			
	Proposed Corrective Action :			
	Proposed Completion Date :			
	Auditee Name A Signature & Date :			
	Verification of corrective actions (To be filled by Auditor at the time of closure)			
	1. Ky P. Sunealta			
	Status: Open / Closed. Auditor Name Signature & Date: 2 M. S. Aneredta M. S. A.	sol-		
	Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
	Auditor Name / Signature 1. Ay P. Suncella f. Surette Date: 2. M. S. Anwadha M. S. t. Alle	*		
	Date: 2, M.S. Anwadha M. Soto Adle			



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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

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Dept./Function : Grog	Responsibility:			
Audit No. :	Date of Audit :			
DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : Reference Document(s) :				
Auditor Name :	2. M. S. Anuredhen Signature & Date :			
CORRECTIVE ACTION REPORT (To be filled by Auditee)				
Proposed correction :				
Root Cause Analysis :		· · · · · · · · · · · · · · · · · · ·		
Proposed Corrective Action				
Proposed Completion Date :		lulle horderd		
Auditee Name : flot . P.	Signature & Date :	August 2014 ead 94 7 2014 ead Department of Geography time of closure): Andbra University		
Verification of corrective ac	tions (To be filled by Auditor at the t	time of closure) Andbra Universi		
Status: Open / Closed.	I.By P.	Suncetta IN Strethe		
-	Signature & Date 2, 17.5	Arurade 2. M. S. S. All		
Verification of effectiveness	of corrective action (To be filled by	auditor during next internal audit)		
Auditor Name / Signature	1. By P. Sunelta 2. M. S. Anurde	1. P. Screettre		
Date:	2. M. S. Anurde	2. M. Sola All		
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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Responsibility: 40P
Dept./Function : Geology Responsibility : HOP
Audit No. : Date of Audit : : Date of Audit Date of Audit : <td:< td=""> : <t< th=""></t<></td:<>
ISO 9001 Clause : Reference Document(s) :
ISO 9001 Clause : Reference Document(s).
Nonconformity: Prof. J.V.K. Bhenny Proken Auditor Name: Prof. V. Sviden' Signature & Date: CORRECTIVE ACTION REPORT (To be filled by Auditee)
Auditor Name: Prof. V. Sviden Signature & Date:
CORRECTIVE ACTION REPORT (16 be filled by Additee)
Despend correction
Root Cause Analysis :
Proposed Corrective Action : DEPARTMENT OF GEOLOGY
Proposed Completion Date : Visakhapatnam-530003
Auditee Name : HoD of Geolog, Signature & Date : Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
Auditor Name / Signature Date:



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AUF-06

NCRNo.:	
Dept./Function : Geofly(i) Responsibility : 109	
72/2/2/	
Audit No. : Date of Audit : Def (1 + 2 + 2 + 2 + 2 + 2 + 2 + 2 + 2 + 2 +	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: Bry TVK Bharn Proteorn	
Auditor Name: Pry V. S& Devr Signature & Date:	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis : Ø	onhysics
Head, Department of Ger	ity
Proposed Corrective Action : Andhra University VISAKHAPATNA	at y
Proposed Completion Date:	
Auditee Name: HOD hes physis Signature & Date:	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. Auditor Name : Signature & Date :	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



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ANDHRA UNIVERSITY VISAKHAPATNAM

NCRNo .:

AUF-06

Dept./Function: Human Genetigesponsibility: Head
Audit No. : Date of Audit : $22 7 202$.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity : NIL
Auditor Name: Dr. Siter Manit Kyon Signature & Date: 2. K. Siter allyer
Auditor Name: W. S. Ja Man Signature & Date: 2. K. Stanoglyer
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : $-\infty 1$
Root Cause Analysis : —
Proposed Corrective Action :
Here of V
Proposed Completion Date:
Auditas Name: Non do at A 11- F Signature 22/07/2021. Andria China 530 003
Auditee Name. Signature & Date: Visakhapatham
Proposed Corrective Action : Proposed Completion Date : Auditee Name : Wendbest A H & Signature & Date : Visakhapatnam-530 003 Verification of corrective actions (To be filled by Auditor at the time of closure) Status: Open / Closed. Auditor Name : K-Sit mary 22/7/2021
Status: Oren / Closed Auditor Name : Barry -
Signature & Date : K-Silt margar 22/7/2021
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
vermeation of effectiveness of corrective action (10 be filled by auditor during next internal audit)
Auditor Name / Signature
Date:



AUF-06

NCRNo.:	
Dept./Function: Chemistry Responsibility: Head.	
Dept./Function: Chemistry Responsibility: Head.	
Audit No. : Date of Audit : 19 - 7 - 2011	
DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : Reference Document(s) :	
Nonconformity: Shaff	4
Nonconformity: Staff Leave Resisten to be updated. 1. P. Vasudern beddy April (AVF 2.3) 2. K. Sita manikyan 12	tr.
(TVT 25) 2. K. Sita manikyan kins	Japl
Auditor Name : Signature & Date :	-11
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : Staff leave letters to be maintained.	
stoff care basers to be maintained	
Root Cause Analysis : No such proefice earther	
Proposed Corrective Action Lette and releived and maintained	
Toposed corrective Action: Lette and veleived and maintained	
Proposed Completion Date :	
Proposed Completion Date:	
Auditee Name : Signature & Date Department	
Verification of corrective actions (To be filled by Auditor at the time of closure) challed by	
1 PInenoliva & der That!	417
Status: Open / Closed. Auditor Name	
Signature & Date: 2. K. Rymanixyan Kin 2 [7]	12
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



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NCRNo.:
Dept./Function : Manine Living Responsibility :
Audit No. : 3 Date of Audit : $22, 07, 202$
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
No share a la share a share it is the share it is the share of the sha
Nonconformity: No nonconfirmity
figt. N. KLEHORE BABU NEW 21/21
Auditor Name: Audit licken Signature & Date: Anuda lices 22, 04 2021
Auditor Name: Annya lige Signature & Date: Annya lige 22.07.2021 CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction : No corrective measure is required.
Proposed correction :
No corrective shearting required.
Root Cause Analysis :
Proposed Corrective Action :
Provide a state of the state of
Proposed Completion Date :
Auditee Name . Dr. P. JANAKIRAM Signature & Data: 22/7/21
Auditee Name : Dr. P. JANAKIRAM $p \cdot j - \mathcal{I}_{additee}$ $22/\mathcal{I}_{additee}$ Verification of corrective actions (To be filled by Auditor at the time of closure)
vermeation of content of a mode by radiation at the time of closure)
Status: Open / Closed. Auditor Name : AND A NUDA NUCA TIGE IT
Signature & Date: Nov Annua in 18-22. 7
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
Auditor Name / Signature
Date:



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NCRNo.:
Resturces
Dept./Function : Marine Living Responsibility :
Audit No. : 3 Date of Audit : $22, 07, 202$
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: No nonconfirmity
Arof. N. KIGHORE BABU NEW 21/21
Auditor Name Auge lide Signature & Date Anya Nees 22.07 2021
Auditor Name : Annie Rige Signature & Date : Annie Rige 22.07.2021 CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction : No corrective measure is required.
Proposed correction :
No corrective one avective as required.
Root Cause Analysis :
Proposed Corrective Action :
Promoted Completion Date :
Proposed Completion Date :
Auditee Name: $DY \cdot P \cdot JA WARIRAM$ Signature & Date: $22/7/21$
Verification of corrective actions (To be filled by Auditor at the time of closure)
(contraction of contraction of Auditor at the time of closure)
Status: Open / Closed. Auditor Name : Arg. N. Komer BARU ANUSA MUCH
Status: Open / Closed. Auditor Name : Arg. N. Koheze BARU ANUJA TILL A Signature & Date : Now Anuja in 1923, 7-2
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
, i i i i i i i i i i i i i i i i i i i
Auditor Name / Signature
Date:



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : MATHEMATICS	Desmongibility:
Departmenton . MATREMATICS	
Audit No. :	Date of Audit : $23/7/202$
DETAILS OF NONCO	NFORMAITY (To be filled by Auditor)
	rence Document(s) :
Nonconformity :	
1. P.K. RATNA KUMAR	-P.K.R.A-
Auditor Name : 2.G. NAGA RAJA	Signature & Date:
CORRECTIVE ACTI	ON REPORT (To be filled by Auditee)
Proposed correction :	
Root Cause Analysis : -	
Proposed Corrective Action : —	- Hamino Head 23/07/2021
Troposed Corrective Action : -	Head 23/07/2021
Proposed Completion Date :	Department of Mathematics
Auditee Name : G. NANATERA	Andhra University Visakhapatnam-530003
Verification of corrective actions (To be	filled by Auditor at the time of closure)
	miled by Addition at the time of closure)
Status: Open / Closed. Au	iditor Name : 1-P.K. RATNA KUMAR. 2. G. NAGA RA
Sig	mature & Date: P.E.R.
Verification of effectiveness of corrective	re action (To be filled by auditor during next internal audit)
	NA KUMAR 9.6. DAGA RATA
ruditor runitor DiBitarat	
Date: 23/7/21 P.K.R.	- Abyry
	V J



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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Melerestory	Responsibility :
Audit No. :	Date of Audit : $24 - 7 - 2021$
DETAILS OF N	ONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	51 1 1 == (524) = 12021
V-GURISDSASSPY	Not V. 1 5- 5 (5 24) 7/2021 B-Sew- 2000
K-Bazavarah	2402/2021
Auditor Name :	Signature & Date :
CORRECTIVE	ACTION REPORT (To be filled by Auditee)
Proposed correction :	
Root Cause Analysis :	2 mil.
Proposed Corrective Action :	
Proposed Completion Date : Dr. C. V. Nacou	CONaide 7.2021 Head 24.7.2021
Auditee Name :	De sastment of Meteorolog
Verification of corrective actions	(To be filled by the up retisting of closure)
	TOHEANUH (DOTHLAD-3330AD) \$7 24/7/2
Status: Open / Closed.	Auditor Wame Ang KBesivavan Bean
Varification of offectiveness of a	Signature & Date: 240714
vermeation of effectiveness of co	prrective action (To be filled by auditor during next internal audit)
Auditor Name / Signature Date:	- M



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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: MICROBIOLOGY Responsibility:
Audit No. : Date of Audit : $22/2/2021$.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity :
1-P.K.RATNA KUMAR P. K. Rul
Auditor Name : Z. G. NAGA RAJA Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis : - V. Leblan
Proposed Corrective Action : DEPARTMENT OF MICROBIOLOG
Proposed Completion Date : ANDHRA UNIVERSITY VISAKHAPATNAM - 530 003
Auditee Name: Dr. V. Lakshmi Signature & Date: V. Lakshmi - 22/07/2021
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : P.K. RATNA KUMAR. 2. G. NAGARATA Signature & Date : P.K. RJ - RM
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
Auditor Name / Signature 1. P.K. RATNA KUMAR. 2. GT. NAGA RAJA
Date: 22/07/2021 P.K. R. t. Mary



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ANDHRA UNIVERSITY VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Nuclear F Audit No. AVCST	husics Responsibility:		
Audit No. : AUCST	Date of Audit : 24-07-2021.		
DETAILS OF NO	DNCONFORMAITY (To be filled by Auditor)		
ISO 9001 Clause :	Reference Document(s) :		
Nonconformity : Ní	N. Santh: 24/7/2)		
Auditor Name : Prof. M. Vijaya	Guallas Signature & Date :		
CORRECTIVE	ACTION REPORT (To be filled by Auditee)		
Proposed correction :			
Root Cause Analysis :	HEAD Physics		
Proposed Corrective Action : -	Department of Nuclear Physics		
Proposed Completion Date : —	Visathapetnam-53003		
Auditee Name: Dr. P.V. Lokshr			
Verification of corrective actions	(To be filled by Auditor at the time of closure)		
Status: Open / Closed.	Auditor Name : Prof. M. Vijaya Sauthi Signature & Date : M/ South: 24/721		
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature			
Date:			



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ANDHRA UNIVERSITY VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

Dept./ Function D
- Dept./Function Dept of Organic chargesponsibility: Dr. V. Siddaid, HOD Audit No.
Date of Audit : 22.7-21
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity :
Auditor Name: 2. Prop U.F. Belensignature & Date: (Bendenbrev)
Auditor Name: 2. Prof U. F. Balansfallere & Date: Balantes 21212
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction $N(1-$
Root Cause Analysis
Proposed Corrective Action:
Proposed Completion Date: Dr. V. S.
Hop Signature & Date: V. Johnson
Verification of corrective actions (To be filled by Auditor at the tichen spectre DODS DRUGS & WATE'
I AT A STUTULE USE IND ANDHRA UNIVERSITY, WALTAIR
Status. Open / Closed. 2. Mrs. C. Fauditor Namen 2 Zuloria
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign.
Date:
Prof K. Sninivasc Reo (. in 22/17/2)
Dry K. T. Balarcon Past - Cechanter
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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: PNCO	Responsibility :	Head.	1]
Audit No. :	Date of Audit :	2017/2021		
DETAILS OF NONC	ONFORMAITY (T	o be filled by Audit	or)	
	erence Document(s)			
Nonconformity: AUF 31, A J. P. Vorsudeva Red Auditor Name DrS. Jamon & Jan CORRECTIVE ACT	40538. E be	updalet A. Id	γ	
1. P. Vorsudera Red	bly	1. 1.	0	/
Auditor Name DrSitamanitym	. Signature & Dat	te: 2 - K. Sik.	madyer	N/W
CORRECTIVE ACT	TION REPORT (To	be filled by Audited	e)	
	3), AUF 38 1			
Root Cause Analysis : Lack of	Knowledge on	preparation of Co	matin	
Proposed Corrective Action :				
Proposed Completion Date : 2-2-17	(202)	P. Shyanal e: Shyanal the time of closure Vie	e '	
Auditee Name : Shore le.	Signature & Dat	e: Sharead	of the Dept. of	P.N.C.
Auditee Name : Verification of corrective actions (To)	P.	Vorsnowakidy		ity 0 003
Status: Open / Closed.	uditor Name : K-	Site maebergen	, ,	-
Verification of effectiveness of correct		<u>22/7/2021</u> d by auditor during new	(tintomal and it)	
			(internal audit)	
Auditor Name / Signature				
Date:				



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Physics Responsibility:
Audit No. : Date of Audit : 20-07-2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity : N;
Nonconformity: Nil
Auditor Name : Prof. M. Vijaya Sauth: Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action :
Development of Data i
Proposed Completion Date: D.B. Verland
Auditee Name : Sri. D.B. Venkatadri Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : Prof. M. Vijaya Sauthi Signature & Date : N. Sauthi 20/7/21.
Signature & Date: No Co. He data
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
vermeation of effectiveness of state and the set find of addition during next internal addit.)
Auditor Name / Signature
Date:



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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : STATISTICS	Responsibility :
	-
Audit No. :	Date of Audit : $23/7/202/$
DETAILS OF NONCO	NFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Refer	rence Document(s) :
Nonconformity :	
I.P.K. RATNA KUMAR	P-K.R.
Auditor Name : 2. G. NAGA RAJA	Signature & Pate :
CORRECTIVE ACTI	ON REPORT (To be filled by Auditee)
Proposed correction :	
Root Cause Analysis : —	HEAD
Proposed Corrective Action :	Department of Statistica Andhra University
Proposed Completion Date :	Visakhapatnam-530003 Anohra Pradesh, India
Auditee Name : Prof. B. MUN ZSC)A	
Verification of corrective actions (To be	e filled by Auditor at the time of closure)
Status: Open / Closed. An	uditor Name : I. P.K. RATNA KUNAR, 2. G. NAGARAJ gnature & Date : P.K.R
Verification of effectiveness of corrective	ve action (To be filled by auditor during next internal audit)
Auditor Name / Signature	TWA KUMAR. 2. G. NAGA RAJA.
Date: 23/7/202/. P.h.R	1= Napy



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ANDHRA UNIVERSITY VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : 200 logy Responsibility :	
Audit No. : 2 Date of Audit : 20, 07, 2021	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: No nonconfirmity	
Auditor Name: ANUJA TIGLA Signature & Date: Anya Wigh 20.07.202	1
CORRECTIVE ACTION REPORT (To be filled by Auditee)	,
Proposed correction : No cogrective measures.	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : prof. U. Shameen Signature & Date : Chameen 20-07-202)	,
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. Signature & Date : Anvja Tic F20 - 7.2, New Verification of effectiveness of corrective action (To be filled by guditor during next internal audit)	BBC
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) 2/7	4
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

Dept./ Function: Dept A Beckling - Rosponsibility 0
Audit No Dept of By chales Responsibility: Prof. M. V.R. Rapre Audit No Date of Audit 22 - Main Brofessor
Audit No Date of Audit : 23-04-21
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity :
· Pof K minivase Rep - M- W 23/03/2)
Nonconformity: Pof K Siniverse Rep - M. W 23/07/2) Auditor Name: Por K. T. Balacen Pade _ Date: 23/07/2 Signature & Date: 23/07/2
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction
- NIL -
Root Cause Analysis
Analysis .
Proposed Corrective Action
Proposed Completion Date:
Auditee Name : Sr, Pool M. V. R., Raju Signate Date Sychology and Parapsychology Signate Date Sychology and Parapsychology
Signate Bate Sychology Inversity
Verification of corrective actions (To be filled by Auditor at the time of closure)
My K. Svirivasarko _ 4.
Status: Open / Closed. My K. Svinivesa ho K 23/07/21 Verification of effectiveness of corrective action
balance by IC. T. Balander Name - Bearleanter
Verification of effectiveness of corrective action
(to be filled by auditor during next internal audit)
Auditor Name / Sign.
Auditor Name / Sign. Date: Prof K Snini Vale Aw - 4. Con 23/07/21 Prof 12 . Tr Balandard - Belenter 23/2
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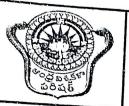
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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

SK35 38. 14

	- Dept./Function: Dept g Architecture Responsibility: By A. Revatti Dev?	
	Date of Audit : 19-7-202)	
	DETAILS OF NONCONFORMITY (To be filled by Auditor)	
	<u>Reference Document(s)</u> :	1
	Nonconformity :	
	1. Pp K. Snihillage Ruo - 4- Cul (9/03/2)	
	1. Pp K. Snithibage Rep - 4. Contralogies Auditor Name P. K. T. Balaran Part - K-Scubred Signature & Date: 1919721	
	CORRECTIVE ACTION REPORT (To be filled by Auditee)	-
	Proposed correction	
	- NIL -	
	Root Cause Analysis	
	Proposed Corrective Action :	
	Proposed Completion Date:	
	Auditee Name : Py A, Revettin Dein Signature & Date : Head of the Department	
F	Verification of corrective actions (To be filled by Audit - Head of the Department	
	Auditee Name Pog A. Revettin Deir Signature & Date Head of the Department Verification of corrective actions (To be filled by Auditor at the time of Elogunent of Architecture, I - Pack Corrective actions) Interview of Architecture, Architecture, Andhra University, Visakhapatnam Status Open / Closed Auditor Name 2 : De 14 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	-iglodí)
1	Verification of effectiveness of corrective action	
[Verification of effectiveness of corrective action	Breto
(to be filled by auditor during next internal audit)	191244
	Nuditor Name / Sign Ir Pro K. Coincilla co o	
	a antiversase Ruo - N. W 1910261	
	a. Pop K. T- Balaraer Pares - 18- 8 6	
	2. Py K. T- Balaran Parel Ombart	ilt.
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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

Dept./ Function: Dapt o aline S. I Personalibility o
Audit No.
Date of Audit 23-07-202)
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: 1) Dry Kiscinivase Br - U. W.
Auditor Name: 2. P.J. K. T. Balacen Padel - Occurred Signature & Date: 23/3/24
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction -NIL -
Root Cause Analysis
Proposed Corrective Action : Proposed Completion Date:
Auditee Name Prof P. KING, HOD Stgnature & Date partment of Chemical Engineer (1)
1' prog K. Suinive's? Ry _ 4. Cu 23boliz
Verification of effectiveness of corrective action
(to be filled by auditor during next internal audit)
Auditor Name / Sign. 1. Py K. Sumivese And - M. W 23/07/2 Date: 2. Dry IC. T. Balacefedy - K. C. D.
2. And IC.T. Balacefedy - (Bached)
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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept/Function: CIVIL ENGL Responsibility: HOD		
Audit No. : Date of Audit : DETAILS OF NONCONFORMAITY (To be filled by Auditor)		
ISO 9001 Clause : Reference Document(s) :		
Nonconformity :		
Auditor Name: Prof. V. Sinder Signature & Date: (Dich 22/7/202) CORRECTIVE ACTION REPORT (To be filled by Auditee)		
CORRECTIVE ACTION REPORT (To be filled by Auditee)		
Proposed correction :		
Proposed correction :		
Root Cause Analysis :		
Proposed Corrective Action : Department of Civil Engineering		
College of Engineering (A)		
Proposed Completion Date : 4nthra University, Visakhapatnan		
Head of the Dept.		
Auditee Name: Deal of City Signature & Date:		
Auditee Name : <u>Depled Civil</u> Cry Signature & Date : Verification of corrective actions (To be filled by Auditor at the time of closure)		
verification of confective actions (10 00 meta of radiate at the line of stobald)		
Status: Open / Closed Auditor Name :		
Status: Open / Closed. Auditor Name : Signature & Date :		
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)		
Auditor Name / Signature Date: O Prof. V. Sviden (20) 2/7/2021		
Date: Date: Dett. V. Siden (2022)		



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

Dept./ Function: Dept of Course For Scalesponsibility: PJ- R. Nagesware has the
Audit No. : Competer Scalare Of Audit: 23-7-2021 & 30-7-202
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity :
11 Prof M. Sninievasa Rep - N. W
Nonconformity: 11 Prof. K. Sniniellassa Rep N. W. Auditor Name: Prof. K. T. Balansul Signature & Date. Signature & Date.
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction - NIL-
Root Cause Analysis
Proposed Corrective Action
Proposed Completion Date:
Auditee Name : My K. Napeeware Ris HoD COMPUTER SCIENCE & SYSTEMS ENGINEERING
Verification of corrective actions (To be filled by AUANDERALINIVERSITY, COLLEGEOSE MEINEERING (A)
Status: Open / Closed. My K. T- Balandita Name :- Decale - Decale
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)
Auditor Name / Sign. Py K. Suniver Rio - N. W
My KIT. Balance Parter - Bechandred



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AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : Electrical Engineering Responsibility :	
	23 07 2021.
Audit No. : Date of Audit .	23[0+1202].
DETAILS OF NONCONFORMAITY (To	be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :	
Nonconformity : Nil	10
$\lambda L($	auth: 17/21.
	22171
Auditor Name : Prof. M. Vijaya South: Signature & Date	:
CORRECTIVE ACTION REPORT (To	be filled by Auditee)
Proposed correction :	
	Head of the Department
Root Cause Analysis : 👡	
Proposed Corrective Action : —	A.U. Conege of University Andhra University Visakhapatnam-530 003. A.P.
Troposed Corrective Action :	Visakhapatham use ta
Proposed Completion Date:	
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Proposed Completion Date : $-$ for the base Auditee Name : $\beta \lambda = - C \cdot \nabla \cdot f \cdot \nabla = Signature & DateVerification of corrective actions (To be filled by Auditor at t$	23/3/204
Auditee Name	
Status: Open / Closed. Auditor Name : Registration of effectiveness of corrective action (To be filled)	F.M.V.
Status: Open / Closed. Auditor Name :	of infaga santhi
Signature & Date :	N. Cantre 23/2/21.
Verification of effectiveness of corrective action (To be filled	by auditor during next internal audit)
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./ Function : Electronics ad Responsibility : Audit No. : DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: N/L	
Auditor Name: Phy. P. Yodorcondel & Noro Signature & Date: 11. 201071724	2077/21
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : NIL	
Root Cause Analysis : NLL	
Proposed Corrective Action: N.L	
Proposed Completion Date: Need of Une Departments	
Auditee Name : Ref. P. Rojeth Kun Signature & Date Control Inco. (A)	
Verification of corrective actions (To be filled by Auditor at the time of closure)	0
Status: Open / Closed. Auditor Name : pwf. G. Uinija Saultary. Signature & Date : phb. p. yoou undad almo fr	Weder
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : Geo-Engineering Responsibility : Audit No. : AUCE(A) Date of Audit : 23 07 202) DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : Reference Document(s) :
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
Nonconformity: Nil N. Sauth:
Auditor Name : Prof M. Vijaya Saufri Signature & Date : CORRECTIVE ACTION REPORT (To be filled by Auditee)
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : —
Root Cause Analysis :
Proposed Corrective Action :
Proposed Completion Date : - GEO ENGINEERING
Auditee Name: Prof. C.N.V. Sationaroyan Restry Signature & Date: Con SARE APATNAM - 530 00
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : Prof. M.V. jaya Santhi Signature & Date : M. Rayathi 217/2
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
Auditor Name / Signature Date:



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Ing vurenlebon Responsibility:	
Audit No. : Date of Audit : 23 -7 - 20 21	
Date of Audit : 23	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: Prof V. GIRVITA SASTRY NIL V. Li San 23/7/2021 Prof K. Basavarah Brandon 23/03/2021	
prof k. Basavarah Brava 23/03/2021	
Author Name : Signature & Date :	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name: 19 Prinivesa las, Signaturor the Department 3 07/2021	
Verification of corrective actions (To be filled tonen didustrithe time chrolosyre)	
All College of Fire (A)	-
Status: Open / Closed. A.U. College of Eng (Reging (A) B-Sav Auditor Name Visa Manual Signature & Date : UGIRI SAS AS THY & Pred K-Began	~
Signature & Date: " SIGIRI SAS AS THY & prod K. Besan	<i>zich</i>
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Auditor Name / Signature	
Date:	



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Marine/Engee Responsibility	:
Audit No. : - Mithy - Date of Audit	: 22/7/2021
DETAILS OF NONCONFORMAITY	(To be filled by Auditor)
ISO 9001 Clause : Reference Document	
Nonconformity: , Prof. P.K. Daha Kuns, Auditor Name: 2 G. Nageraje, Signature &	1) P.K.R.
1 Mot. L'LI	» Alun -
Auditor Name: 2 G. Nagerala, Signature &	Date: $22(7/202)$
CORRECTIVE ACTION REPORT	(To be filled by Auditee)
Proposed correction :	
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Root Cause Analysis :	
Proposed Corrective Action :	Head of the Department
	Department of Marine Engineering
	Department of Marine Engineering
Proposed Completion Date : 92727	Department of Marine Engineering College of Engineering
Proposed Completion Date : 92727	Department of Marine Engineering College of Engineering
	Department of Marine Engineering College of Engineering Andhra University Date : Visakhapatnam-\$30003
Proposed Completion Date : $22 7 22$) Auditee Name : fref. VV-S Pralad, Signature & Verification of corrective actions (To be filled by Audito	Department of Marine Engineering College of Engineering Andhra University Date : Visakhapatnam-530003 r at the time of closure)
Proposed Completion Date : $\mathfrak{D} = \mathcal{F} = \mathcal$	Department of Marine Engineering College of Engineering Anothra University Date: Visakhapatnam-530003 r at the time of closure) Ad, Raha Kumay P.K.M.
Proposed Completion Date : $\mathfrak{D} = \mathcal{F} = \mathcal$	Department of Marine Engineering College of Engineering Anothra University Date: Visakhapatnam-530003 r at the time of closure) Ad, Raha Kumay P.K.M.
Proposed Completion Date : $\mathfrak{D} = \mathcal{F} = \mathcal$	Department of Marine Engineering College of Engineering Anothra University Date: Visakhapatnam-530003 r at the time of closure) Ad, Raha Kumay P.K.M.
Proposed Completion Date : $\mathfrak{D} = \mathcal{D} = \mathcal{T} = \mathcal{D} = \mathcal{T} = \mathcal{D}$ Auditee Name : $\mathcal{F} = \mathcal{D} = \mathcal{D} = \mathcal{T} = \mathcal{D}$ Auditee Name : $\mathcal{F} = \mathcal{D} = \mathcal{D} = \mathcal{D}$ Verification of corrective actions (To be filled by AuditorStatus: $\mathcal{O} = \mathcal{D} = \mathcal{D}$ Status: $\mathcal{O} = \mathcal{O} = \mathcal{D}$ Auditor Name : $\mathcal{D} = \mathcal{D} = \mathcal{D}$ Signature & Date : $\mathcal{O} = \mathcal{O} = \mathcal{O}$ Verification of effectiveness of corrective action (To be	Department of Marine Engineering College of Engineering Andhra University Date: Visakhapatnam-\$3,0003 r at the time of closure) Md, Raha Kumag R. K. M- Prof. G. Magalate filled by auditor during next internal audit) -
Proposed Completion Date : $\mathfrak{D} = \mathcal{D} = \mathcal{T} = \mathcal{D} = \mathcal{T} = \mathcal{D}$ Auditee Name : $\mathcal{F} = \mathcal{D} = \mathcal{D} = \mathcal{T} = \mathcal{D}$ Auditee Name : $\mathcal{F} = \mathcal{D} = \mathcal{D} = \mathcal{D}$ Verification of corrective actions (To be filled by AuditorStatus: $\mathcal{O} = \mathcal{D} = \mathcal{D}$ Status: $\mathcal{O} = \mathcal{O} = \mathcal{D}$ Auditor Name : $\mathcal{D} = \mathcal{D} = \mathcal{D}$ Signature & Date : $\mathcal{O} = \mathcal{O} = \mathcal{O}$ Verification of effectiveness of corrective action (To be	Department of Marine Engineering College of Engineering Andhra University Date: Visakhapatnam-\$3,0003 r at the time of closure) Md, Raha Kumag P. K. M Prof. G. Magalate filled by auditor during next internal audit)
Proposed Completion Date : $\mathfrak{DP}(\mathcal{F}(\mathcal{D}^2))$ Auditee Name : $\mathfrak{Prof}, \mathcal{NVS}$ Probad, Signature & Verification of corrective actions (To be filled by Auditor Status: Open / Closed. Auditor Name : Signature & Date : Verification of effectiveness of corrective action (To be \mathfrak{Bof} .	Department of Marine Engineering College of Engineering Andhra University Date: <u>Visakhapatnam.\$30003</u> r at the time of closure) Ad, Raha Kumay P.K.R. Prop. G Nagabye filled by auditor during next internal audit) Rahae Kumay P.K.R.
Proposed Completion Date : $\mathfrak{DP}(\mathcal{F}(\mathcal{D}^2))$ Auditee Name : $\mathfrak{Prof}, \mathcal{NVS}$ Probad, Signature & Verification of corrective actions (To be filled by Auditor Status: Open / Closed. Auditor Name : Signature & Date : Verification of effectiveness of corrective action (To be \mathfrak{Bof} .	Department of Marine Engineering College of Engineering Andhra University Date: <u>Visakhapatnam.\$30003</u> r at the time of closure) Ad, Raha Kumay P.K.R. Prop. G Nagabye filled by auditor during next internal audit) Rahae Kumay P.K.R.
Proposed Completion Date : $\mathfrak{DP}(\mathcal{F}(\mathcal{D}^2))$ Auditee Name : $\mathfrak{Prof}, \mathcal{NVS}$ Probad, Signature & Verification of corrective actions (To be filled by Auditor Status: Open / Closed. Auditor Name : Signature & Date : Verification of effectiveness of corrective action (To be \mathfrak{Bof} .	Department of Marine Engineering College of Engineering Andhra University Date: Visakhapatnam-530003 r at the time of closure) Mod., Ratua Kumay R.M. M. Prof. G. Nagalaje filled by auditor during next internal audit)



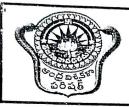
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Mechanical Responsibility:
Audit No. : Engineering Date of Audit : 24/7/21.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity: Rof. P.K. Roduci Kunar 2 Napel,
Auditor Name : Implify Implify
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action : Proposed Completion Date : HEAD OF THE DEPARTMENT OF
Auditee Name : Prof. K. Venkat. Subarstignature & Date : ANDHRA UNIVERSITY
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Status: Open / Closed. Auditor Name : Signature & Date : 2) And . Robert Kung. Signature & Date : 2) And . Robert Kung. Note Robert Kung. Verification of effectiveness of corrective action (To be filled by auditor during next internal andit)
Verification of effectiveness of corrective action (10 be filled by auditor during next internal availt)
Boof. P.K. Ratha Kumap 1) P.K.Rul
Auditor Name / Signature Date: 24/7/21. Prof-G. WAGPRAJA. 2) North



AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Dept of Metallugical EngResponsibility: Porf. J. Babu has Had			
Audit No. : Date of Audit : $(9 - 7 - 202)$			
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause : Reference Document(s) :			
Nonconformity:			
Nonconformity: PA K. Svinivasa Roo - U. W. 19/07/21 Auditor Name! Pup K. T. Balaran Padel - Backardreen Signature & Date: 19(2)			
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction $= N(-$			
Root Cause Analysis			
Proposed Corrective Action :			
Proposed Completion Date:			
Auditee Name : And J. Bahne Rep			
Verification of corrective actions (To be filled by Auditor at the time of closure)			
PAK. Srivivas hos - M. W 19/07			
Status: Open / Closed. M KT Galand With Name : Beckendred Signature & Date : 19(2)			
Verification of effectiveness of corrective action			
(to be filled by auditor during next internal audit)			
Auditor Name / Sign. Date: Prof. K. Srinivara Aro - M. C. 1912			
My K.J. Balace Parel - Delander 1917			



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Engineering Res Audit No. : Chemistory Dat	sponsibility :
	e of Audit : 24721
DETAILS OF NONCONFO	RMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference	Document(s) :
<u>100 / 001 011 011 01</u>	
Nonconformity :	DP.K.R.
Bot. Latra Kuna	2) Naprof - 24/7/24
Nonconformity: Boot. Latua Kana Boot. G. Nagaraya Auditor Name:	5 4 Pata :
Auditor Name :	Signature & Date:
	REPORT (To be filled by Auditee)
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	Sloves - 24/07/202
Proposed Corrective Action :	Head of the Department
Proposed Corrective Action :	2 Part of Engineering Chemistry
Proposed Corrective Action : Proposed Completion Date :	L Head of the Department Dept. of Engineering Chemistry AU College of Engineering (A) AU College of Engineering (A) Automatic Engineering (A)
Proposed Corrective Action : Proposed Completion Date :	 Head of the Department Bept. of Engineering Chemistry AU College of Engineering (A) Signature & Date : Andro Colversity ed by Auditor at the time of closure)
Proposed Corrective Action : Proposed Completion Date : Auditee Name : Dr. S. Paul Douglas Verification of corrective actions (To be fill	 Head of the Department Dept. of Engineering Chemistry AU College of Chagnetring (A) Andrew Conversity ed by Auditor at the time of Closure (530003, AP) Roh Ratura Keual
Proposed Corrective Action : Proposed Completion Date : Auditee Name : DI. S. Paul Douglas Verification of corrective actions (To be fill Status: Open / Closed. Audito	L Head of the Department Dept. of Engineering Chemistry AU College of Engineering (A) Signature & Date : Andrew Conversity ed by Auditor at the time of closure 530003. A P Rof Rother Keund or Name : Data : Dr. G Nogaeare, Mun
Proposed Corrective Action : Proposed Completion Date : Auditee Name : DI. S. Paul Douglas Verification of corrective actions (To be fill Status: Open / Closed. Audito	L Head of the Department Dept. of Engineering Chemistry AU College of Engineering (A) Signature & Date : Andrew Conversity ed by Auditor at the time of closure 530003. A P Rof Rother Keund or Name : Data : Dr. G Nogaeare, Mun
Proposed Corrective Action : Proposed Completion Date : Auditee Name : DI. S. Paul Douglas Verification of corrective actions (To be fill Status: Open / Closed. Audito Signature Newification of effectiveness of corrective actions	 Head of the Department Dept. of Engineering Chemistry AU College of Chagneering (A) Andrew Conversion ed by Auditor at the time of Closure 530003. A P by Auditor at the time of Closure 530003. A P by Auditor at the time of Closure 50000. A P by Auditor at the time of Closure 50000. A P conversion conversion
Proposed Corrective Action : Proposed Completion Date : Auditee Name : DI. S. Paul Douglas Verification of corrective actions (To be fill Status: Open / Closed. Audito Signature Newification of effectiveness of corrective actions	 Head of the Department Bept. of Engineering Chemistry AU College of Chagnetring (A) Andrew Conversity ed by Auditor at the time of closure stry by Auditor at the time of closure stry by Auditor at the time of closure stry conversity conversity
Proposed Corrective Action : Proposed Completion Date : Auditee Name : DI. S. Paul Douglas Verification of corrective actions (To be fill Status: Open / Closed. Audito Signature Newification of effectiveness of corrective actions	 Head of the Department Bept. of Engineering Chemistry AU College of Chagnetring (A) Andrew Conversity ed by Auditor at the time of closure stry by Auditor at the time of closure stry by Auditor at the time of closure stry conversity conversity
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Proposed Corrective Action : Proposed Completion Date : Auditee Name : DI. S. Paul Douglas Verification of corrective actions (To be fill Status: Open / Closed. Audito Signature Nerification of effectiveness of corrective actions	L Head of the Department Dept. of Engineering Chemistry AU College of Engineering (A) Signature & Date : Andrew Conversity ed by Auditor at the time of closure 530003. A P Rof Portra Keund or Name : Data : Dr: G Nogaeare, Mun



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Engg Mattending Responsibility:	
Audit No. : Date of Audit : 19/07/2021	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity:	
1. M.S. Aneroda 19/2/21	
1 TITS THEAT	
Nonconformity: 1. M. S. Anwedda Auditor Name: 2. P. Survelta Signature & Date: 2. P. Survelta CORPECTIVE ACTION REPORT (To be filled by Auditor)	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
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Proposed Corrective Action : Head of the Department of 197	17
Eligineering Mathematica	
Proposed Completion Date : A.U. College of Engineering (A)	
Auditee Name: Ch. Santt Surda Ray Signature & Date:	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
	A OR
Status: Open/Closed. Auditor Name : 1. M. Salya Anusel 1. M. Salya	19/2/21
Signature & Date: 2, P. Surveyling 2,	naro
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
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1. M. Sotra Apuratha 1. Marchan	4
Auditor Name / Signature 1, M. Saty. Arurade 1. M. Saty. Arurade 1. M. Saty. Arurade 1. M. Saty. All Date: 2. Psy P. Survetta 2. P Conttained	12/21
Date:	
2. Pro P. Surretta 2. P. Curutho	



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Engineering Physic Responsibility:	
Audit No. : Date of Audit : $19/7/21$	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: 1. M. S. Aouradha Auditor Name: 2, P. Sureetta Signature & Date: 2, J. Sureetta CORRECTIVE ACTION REPORT (To be filled by Auditee)	zbi
Auditor Name: 2, P. Surretta Signature & Date: 2, 1 Suretta	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name: Poy. V. Roma kushra Signature & Date: Y. Roma La La	
Verification of corrective actions (To be filled by Auditor at the time of closure)	12021
Status: Open-/ Closed. Status: Open-/ Closed. Signature & Date: 2. P-Survetta 2. A.U. College	eering Physics
Verification of effectiveness of corrective action (To be filled by auditor during next internal audita	
verification of effectiveness of corrective action (10 be miled by auditor during next internal audit)	m-521033, A P
Auditor Name / Signature Date: 19/7/2021 2' P. Suncetta 2. P. Guerthe	ll
Auditor Name / Signature	A/7/2021
Date: 19 7/2021 2' P. Surveitra 2. f. Sureillie	



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

	Dept./Function : Humanilies and Res	ponsibility :	
	Solid sciences	of Audit: $24 - 7 - 202)$	
		Sol Addit .	
	DETAILS OF NONCONFORMAITY (To be filled by Auditor)		
	ISO 9001 Clause : Reference I	Document(s) :	
	Nonconformity : Nil	4 V. Gizis S(5 24/7/202/	
	Prof V-GIRUTA SASTA	" Reaven a local	
	Auditor Name: prof K. Basavaiah	gnature & Date : $226\sqrt{6} + 1621$	
	CORRĚCTIVE ACTION R	EPORT (To be filled by Auditee)	
	Proposed correction :		
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	Root Cause Analysis :		
1	Proposed Corrective Action :	na ang sa	
	Proposed Completion Date :	Chon ben of Humanition Social Sciences	
	Auditee Name : Sig	gnature & Date : A.C. College of Engineering (A)	
1	Verification of corrective actions (To be filled	by Auditor at the timevisatoreannam.530003	
	Status: Open / Closed. Auditor I Signature	Vame the K-Busancich in 1291 11 29	
١		on (To be filled by auditor during next internal audit)	
	Auditor Name / Signature	× ·	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : CS2SE Responsibility :]
Audit No. : 1 Date of Audit : 19,07,202	-
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	-
ISO 9001 Clause : Reference Document(s) :	
Nonconformity: No Non confirmity Mo Non confirmity Auditor Name: ANUJA MGLA Signature & Date: Anuja Mgla 19.07.20	
Mad N. KISHORE BAR) Norm	
Auditor Name: AN17A MALA Signature & Date: Chunga Micha 19.07.20	21
CORRECTIVE ACTION REPORT (To be filled by Auditee)	~1.
Proposed correction : No. Corrective Action required	
Root Cause Analysis :	
Proposed Corrective Action : Head of the Department Dept. of CS & SE	
Proposed Completion Date : AU College of Engg. for Women Visakhapatnam-530.017	
Auditee Name: Poof B Proju Signature & Date: Koy 19-07-2021	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
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Status: Open7 Closed. Signature & Date: Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	9
Signature & Date . (Prof N-KICJoke BADU) about Tige	- ~ a.
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verification of effectiveness of corrective action (10 be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



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ANDHRA UNIVERSITY VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

Dept/Function: Electronics & Responsibility: Audit No. Exercise: Cation Even ments Date of Audit : 20 07 2021
Audit No. : Exclusion Explored Date of Audit : 20/07/2021
DETAILS OF NONCONFORMITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity : NUL
Auditor Name: put. P. Yedon wold nu Signature & Date: 201201. 201021
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction : NIL
Root Cause Analysis
Proposed Corrective Action:
Proposed Completion Date:
Auditee Name : Dr. S. ARUNA Signature & Date : Church 20/07 2021
Verification of corrective actions (To be filled by Auditor at the time of closure)en
Visakhapatham-530 045.4. 4 (nj 24 Louice) - 4 2020
Status: Open / Closed. Auditor Name Signature & Date
Verification of effectiveness of corrective action
(to be filled by auditor during next internal audit)
Auditor Name / Sign. Date :



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Audit No. : Date of Audit : 17/7 bi DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : Reference Document(s): Nonconformity : . . M. S. Anuradha 1' M. S. Anuradha . M. S. Anuradha . Auditor Name : 2. P. Survetta Signature & Date 2. P. Survetta Proposed correction : . . . Root Cause Analysis : . . . Proposed Corrective Action : Proposed Corrective Action : Audite Name : Audite Name : Proposed Corrective actions (To be filled by Auditor at the ubble of the ubble obble o	Dept./Function : ELECTRICA	+L Choresponsibility:	
DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : Reference Document(s) : Nonconformity : 1. M. S. Anuradla 1. M. S. Anuradla 1. M. S. Anuradla Auditor Name : 2. P. Surretta Signature & Date 2. P. Survettue 1000000000000000000000000000000000000	Audit No. :	Date of Audit : 17/7/)
ISO 9001 Clause : Reference Document(s) : Nonconformity :	DETAILS OF NO	ONCONFORMAITY (To be filled	by Auditor)
1: M. S. Anuradha 1. M. S. Muradha 1. M. S. Muradha Auditor Name : Q. P. Surretta Signature & Date 2. P. Scenatha 140/21 CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction :	ISO 9001 Clause :		<i>by r</i> utitor <i>y</i>
Proposed corrective Action : Proposed Corrective Action : Proposed Completion Date : S · K · Bhall; Auditee Name : Signature & Date : Principal Proposed Completion Date : S · K · Bhall; Auditee Name : Signature & Date : Principal Principal <td>Nonconformity :</td> <td></td> <td></td>	Nonconformity :		
Proposed corrective Action : Proposed Corrective Action : Proposed Completion Date : S · K · Bhall; Auditee Name : Signature & Date : Principal Proposed Completion Date : S · K · Bhall; Auditee Name : Signature & Date : Principal Principal <td>1. M. S.Anu</td> <td>walta 1. M.</td> <td>-Selin Adala</td>	1. M. S.Anu	walta 1. M.	-Selin Adala
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function : CIVIL ENGG Responsibility :	
Audit No. : Date of Audit : $17/07/2$	
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CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
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Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date : Head of	he Department
Auditee Name: Dr. G. Sousha Signature & Date: Signature & Date: College of Enc. Andre Andr	ivil Engineering
Verification of corrective actions (To be filled by Auditor at the time of closure) Visal	aperiversity chapatnam
Status: Open / Closed. Auditor Name : I. M.S. Anwracks 2. P. Suneel	ta
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

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	Dept./ Function : primupal office Responsibility :	
	Audit No. : College of Arts ad Commence, ADd. Date of Audit : 22 67 2021	
	DETAILS OF NONCONFORMITY (To be filled by Auditor)	
	ISO 9001 Clause : Reference Document(s) :	
	Nonconformity: MIL	1
	Auditor Name: Prof. P. Yoduluulala hupsignature & Date:	22/214
	CORRECTIVE ACTION REPORT (To be filled by Auditee)	92
	Proposed correction N1	
	Root Cause Analysis : NL Proposed Corrective Action : NL PRINCEPAL 72	
	Proposed Corrective Action: NL Proposed Completion Date: Auditee Name Ord P & ATENDRA KARMAK KAR Signative Signati	
·	Proposed Completion Date: College of Arts & Commerce	
	Auditee Name Prof P. RAJENDRA KARMARKAR Signature Baretnam-530 003	
ł	Verification of corrective actions (To be filled by Auditor at the time of closure)	
	Status: Open / Closed. Signature & Date: Prof. G. Ginija Sciencer M. Verification of effectiveness of corrective action	J may
	Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	22/09/2021
	Auditor Name / Sign. Date :	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No .:

Dent/Eurotion: PRIDOLOGIAL IN PRIME	Responsibility: PRINCIPAL
Dept/Function: PRINCIPALIS OFFICE SCIENCE College	
Audit No.	Date of Audit : 20/7/2/
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Auditor Name: Prof. V-Sriden	Signature & Date :
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Root Cause Analysis :	
Proposed Corrective Action :	- trimer on Pro
Proposed Completion Date:	Kidnim Von PW 20/7/2021.
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUDIT NON-CONFORMANCE REPORT (NCR)

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Audit No. : Date of Audit : $2 - 07 - 202$	
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ISO 9001 Clause: Reference Document(s): 17 Yp Nonconformity: Seperati AUF-17 fo be maintained - Kistlene AUF-38, AUF-08 to be maintained - Kistlene Auditor Name: Signature & Date: 2 - Pr. X - Fi-Jo Muni Lyan CORRECTIVE ACTION REPORT (To be filled by Auditee) - Of the filled by Auditee	
Auditor Name: Signature & Date: 2-Dr. X. G. Jonum' Syan	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : Seperate AUF- 17 Register to be maintained	
Fignations on AUF 38, 08 is required	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date: 27-7-202) Golde	
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A.U. College of Engg. (A)	
Auditee Name : Signature S	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
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AVF-17, 38, 08 p. p. p. p. Vasndera Reder	
Status: Open/Closed. Status: Open/Closed. Signature & Date: 2. Dr. J. Sifa manikyon K.Sika	euge
Signature & Date :	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: $AVCE(\omega)$ Responsibility:
Principal office
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity :
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Auditor Name: 2. P. Sureetta Signature & Date 2. F. Scherthe
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Proposed Completion Date :
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Verification of corrective actions (To be filled by Auditor at the time aleas of Engineering to 530 017
Visakhapatham-550
Status: Open/Closed. Auditor Name
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Auditor Name / Signature Date: 2, P. Suncetta 2 J. Surette
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF - 06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No:

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Dept/Function: Dr. B.R. AspeckeResponsibility:	
Audit No. : Date of Audit: 19-1-00-21	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause: Reference document(s):	
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CORRECTIVE ACTION REPORT (To be filled by Auditee)	_
Proposed correction: Nel	
Root Cause Analysis: Nil Proposed Corrective Action: Nil	
Proposed Completion Date: S. Superior	
Auditee Name: Signature and Date: Dr B. R. Ambedkar College of Law Andhra University	
Verification of corrective actions (to be filled by Auditor at the time of closure) 1. Py P-Suitta	
Status: Open / Closed 2, Mr. S. Arufadh : P. Schertte 19/2 /25 Signature and Date: M-Salf Add	
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

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Dept./ Function: college of the arma cate Responsibility: Principal	
Audit No. Date of Audit 2 2- 7-2021	
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1. Auditor Namer. A. K. T. Bala, an padel Signature & Date: 210/20 2001/20	
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Root Cause Analysis	
Proposed Corrective Action:	
Proposed Completion Date: Auditee Name : Pry Y. hojendra Presed N.R. Road 7/2021 Signature & Date : PRINCIPAL	
Auditee Name : Principal Signature & Date : PRINCIPAL	
Verification of corrective actions (To be filled by Auditor at the time Aflelosities of Pharmaceutical Science Andhra University	
Status: Open / Closed. Signature & Date : M-KT. Belgaan Part - M. & A	57
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	رب ۲
Auditor Name / Sign. Prof. K. Svinivesa Rep N. C. 22107/21 Date:	
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: Dept./Function: University Librar Responsibility: 24-7-2021 Audit No. Date of Audit : DETAILS OF NONCONFORMAITY (To be filled by Auditor) ISO 9001 Clause : **Reference Document(s) :** V.4 j = 24/7/2021 B-Savas 20107/2021 Nonconformity : Mil Prof K. Basavaiah Auditor Name : Signature & Date : **CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction** : N **Root Cause Analysis Proposed Corrective Action :** Honorary Librarian Dr. V.S. Krishna Library Proposed Completion Date : Prof P. Venkaleswarly Andhra University Signature & Date : Visakhapatnam-530 on Auditee Name : Status: Open / Closed. (To be filled by Auditor at the time wish Auditor Name Des voi of Auditor Name Des voi of Status: Open / Closed. 24/03/21 PONI Signature & Date : Verification of effectiveness of corrective action (To be filled by auditor during next internal audit) Nil Auditor Name / Signature Date:



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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: University	Responsibility:		
Audit No. :	Date of Audit : $24 - 7 - 202$		
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	Reference Document(s) :		
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Proposed correction :	ACTION REPORT (To be filled by Auditee)		
Root Cause Analysis :			
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Proposed Completion Date : Prof P. Venkaleswarly ' Auditee Name :	P. Honorary Librarian 2y/7/2/ Dr. V.S. Krishna Library Signature & Date : Visakhara University		
Verification of corrective actions (To be filled by Auditor at the time of closure 530 000		
Status: Open / Closed.	Signature & Date : Visakhapatnam 530 000 Prof V G I RITH'S ASTRI Auditor Name Dice a voi al Signature & Date : Signature & Date : Signature & Date : Signature & Date :	122	
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Auditor Name / Signature			
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

	Responsibility: Director
Dept./Function : CDC	Responsibility .
	22-27-2-21
Audit No. :	Date of Audit : 22-07-2021
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Roll TVK Bhanhalesh Auditor Name: Sr. Perri	
By V. Ch. Peri	Signature & Date : 2 (I:d),
Auditor Name:	ON DEPODE (The La Cite of La Andrea)
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n	Cimeter & Detail
Auditee Name: Director, CDC	Signature & Date : College Development Council
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	Visakhapatnam-530 003
Status: Open / Closed. A	Auditor Name :
Si	ignature & Date :
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ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

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Audit No Date of Audit 26 07/2021	
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause : Reference Document(s) :	
Nonconformity : NIL	
Auditor Name DWF. P. yedukoudala New Signature & Date: 4. 10 Signature & Date:	24/7/14
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction ' NIL	
Root Cause Analysis NIL Prof. D.V.R.Murthy Dean of Examinations(UG) Andhra University	
Proposed Corrective Action: NLL Visakhapatnam-530 003.	
Proposed Completion Date:	
Auditee Name Dr.GV. RAVINDRANADH BALU Signature & Date : W.Saluri 2019/14	ixamination
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Status Open / Closed. Status Open / Closed. Auditor Name Signature & Date : NUT . 7.4000 hdala Nag	
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Auditor Name / Sign. Date :	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

Dept./Function: Directorate of Responsibility: Admissions Date of Audit : 22 7 2021.
Audit No. : Date of Audit : 22 7 2021.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)
ISO 9001 Clause : Reference Document(s) :
Nonconformity : N; Auditor Name : Prof. M. V. aya Santhi Signature & Date : CORRECTIVE ACTION REPORT (To be filled by Auditee) Proposed correction :
N. Sauce 2217.
Auditor Name : Rot. M. Viewa Santha Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)
Proposed correction :
Root Cause Analysis :
Proposed Corrective Action : -
Proposed Completion Date :
Auditee Name: D. A. NAIDU Signature & Date: Low Vai de 22/1/22
Verification of corrective actions (To be filled by Auditor at the time of closure)
Status: Open / Closed. Auditor Name : Prof. M. Vijaya Santui Signature & Date : N/ Santui 22/7/21.
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)
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Auditor Name / Signature
Date:

Internal Audit NCR Summary:

ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-07

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INTERNAL AUDIT NCR SUMMARY

Audit Date(s) : 12th July - 24th July 2021

SL No.	College / Departments / Section	Total No. of
1.	Vice-Chancellor	Nonconformities
2.	Rector	Nil
3.	Registrar	Nil
4.	MR Office	Nil
5.	Principal, AU College of Arts & Commerce	Nil
6,	Anthropology	Nil
7.	Commerce & Mgt. Studies	Nil
8.	Economics	Nil
9.	Education	Nil
10	English	Nil
11.	Fine Arts	Nil
12.	Hindi	3
13.	History and Archaeology	Nil
14.	Human Resource Management	Nil
15.	Journalism & Mass Communication	Nil
16.	Library & Information Science	Nil
17.	Music & Dance	Nil
18.	Philosophy	Nil
19.	Political Science & Public Administration	Nil
20.	Sanskrit	Nil
21.	Social Work	Nil
22.	Sociology	4 -
23.	Telugu	Nil
24.	Physical Education	Nil
25.	Principal, AU College of Science & Technology	Nil
26.	Applied Mathematics	Nil
27.	Biochemistry	Nil
28.	Biotechnology	Nil
29.	Botany	Nil
30.	Environmental Sciences	Nil
31.	Geography	Nil
32.	Geology	Nil
33.	Geophysics	Nil
	Human Genetics	Nil
54.	numan Genetics	

35.	Inorganic & Analytical Chemistry	Nil	٦
36.	Marine Living Resources	Nil	1
37.	Mathematics	Nil	1
38.	Meteorology & Oceanography	Nil	1
39.	Microbiology	Nil	1
40.	Nuclear Physics	Nil	1
41.	Organic Chemistry	Nil	1
42.	PNCO	Nil	1
43.	Psychology & Parapsychology	Nil	1
44.	Physics	Nil	
45.	Statistics	Nil	
46.	Zoology	Nil	1
47.	Principal, College of Engineering(A)	Nil	1,
48.	Architecture	Nil	'
49.	Chemical Engineering	Nil	
50.	Civil Engineering	Nil	
51.	Computer Science & Systems Engineering	Nil	
52.	Electrical Engineering	Nil	
53.	ECE	Nil	
54.	Geo-Engineering	Nil	
55.	Instrument Technology	Nil	
56.	Marine Engineering	Nil	
57.	Mechanical Engineering	Nil	
58.	Metallurgical Engineering	Nil	
59.	Engineering Chemistry	Nil	
60.	Engineering Mathematics	Nil	
61.	Engineering Physics	Nil	
62.	Humanities and Social Sciences	Nil	
63.	Principal, College of Engineering for Women	Nil	
64.	Computer Science Engineering (AUCEW)	Nil	
65.	Mechanical Engineering (AUCEW)	Nil	
66.	ECE (AUCEW)	Nil	
67.	Electrical Engineering & Civil Engineering (AUCEW)	Nil	
68.	Principal, College of Pharmaceutical Sciences	Nil	
69.	Principal, Dr. B.R.Ambedkar College of Law	Nil	
70.	College Development Council	Nil	
71.	Directorate of Admissions	Nil	
72.	Examination Branch	Nil	
73.	Dr. V.S.Krishna Library	Nil	

5) Management Review meeting:

Following the internal Academic and administrative audits done by the internal auditors team, the annual Top Mangement review meeting was conducted by the office of IQAC on 15/07/2021 at 3:00pm, Senate Hall, CAO, Andhra University.

6) Action Taken report:

Minutes of the previous meeting were presented and ratified by the Top Mangement. The agenda points were discussed for the present MR meeting and following is the action taken report on Academic and Administrative audit.

- 1) The Top Mangement has discussed Quality objectives at department level and decided to carryout trend analysis annually with all the Principals and Head of the Departments concerned.
- 2) To review all the issues related to changes in external and internal issues related to QMS.
- 3) To maintain Nonconformities related to process performance and corrective action to be taken if any in the departments.
- 4) Corrective actions are suggested to the respective departments and Sections based on the NCRs observed by the internal auditors which are placed before the MR committee.
- 5) The Hon'ble VC has expressed that quality should be maintained in teaching and research. He expressed to improve industry institute interaction and maintain Sock registers properly.
- 6) All the Quality procedures are briefed before the members.
- 7) To obtain online student feedbacks at the end of every semester and the stakeholders feedback as and when required.
- 8) The effectiveness of action taken should be reviewed in next MR.
- 9) To review QMS documents by MR and Heads of the departments.
- 10) Hon'ble VC has identified risks related to prevailing conditions of Pandemic in conducting online classes and examinations. He expressed that Andhra university was successful in conducting online classes and exams as per the UGC guidelines.
- 11) Hon'ble VC has stressed about NAAC 2023 and to maintain legacy of AU.

7) ISO 9001:2015 external audits by TUV SUD:

The ISO 9001:2015 surveillance audit to conduct academic and administrative audit is scheduled as follows:

Date of ISO surveillance Audit	:	2021-08-16 to 2021-08-17(2 days)
External audit members from TUV-SUE):	1. D. Mynaji Rao (Lead auditor)

- 2. V.Raja Shree
- 3. R. Srinivasulu

8) The detailed audit report of TUV SUD is attached



AUDIT REPORT

Organization name	Andhra University	
Standard(s)	ISO 9001:2015	
Order No.	4153580766	
Audit start date	2021-08-16	
Audit end date	2021-08-17	
Audit type	3. Repeat-Audit (Extraord. Event (IAF))	
Certification type	Single	
Client number	66843-01	
Organization's audit representative	Prof. D. Lalitha Bhaskari	
Certificate No.	99 100 14363	
Result	 Certificate release recommended Maintenance of certificate recommended Non-Conformities were identified and closed by re-audit on site Non-Conformities were identified and closed by resubmitted documentation Suspension of certificate recommended Withdrawal of certificate recommended Certification process terminated 	

Enclosed documents:

Action list Audit plan



AUDIT REPORT

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Enclosed documents:

Action list Audit plan

Audit Team	
Function	Name
Lead Auditor	Rao D. Mynaji
Auditor	Rajashree Valsangkar
Auditor	Sreenivasulu Rupineni
Auditor	Sreeraj S.V

Changes since last audit:

- No changes since last audit
- Significant change of scope
- Increase/decrease in number of employees
- Management System / documented information
- deviations from the audit plan
- significant issues impacting the audit program
- audit objectives or audit criteria
- Others

Auditor to enter comments below for any changes identified above:

1.Remote Audit through Google Meet. 2. Revise scope is "Design of Curriculum, Regulations, Eligibility norms and implementation of on-campus Undergraduate, Postgraduate, Professional teaching & Research programmes through offline and online modes; Conduct of Examinations, Evaluation, Publication of Results and Award of Degrees; Grant of Affiliation and Academic monitoring to the programmes offered by colleges and other academic institutions; Create infrastructure through Sponsored Research & Consultancy." Note : words : through online and offile are introduced.

Date of next regularly scheduled audit	01.06.2022
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Audit conclusion

During the audit it was checked how the documented management system was established, implemented and improved at the different areas of the organization.

The audit covered relevant processes / areas of the organization in order to obtain an overall picture of the degree of management system implementation. Although performed to reasonable depth, not every detail of the complete Management System could be checked.

The processes and their associated areas of the organization were checked in accordance with the preagreed audit plan, audit program and process analysis.

Verification of previous audit nonconformities

The audit team evaluated the corrective action taken for the nonconformities/ areas of concern from the previous audit.

In the case of RA / Re-Certification audits, the audit team considered the audit reports for the last two audits in the audit planning / performance of the audits and in particular checked the nonconformities / areas of concerns.

The corrective actions were found to be:

Effectively Implemented?	Not applicable (no existing MiN or NC)
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Comments:

No Non conformities were raised during previous aduit.

Did the organization use the CB certification mark? (Controlling the use of certification documents, statements and marks)	Not in use
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Comments:

Certification documents and marks are not being used.

Audit team conclusion		
Is a re-audit needed?	○ Yes	No

Comments:

Type and number of audit findings

	Major nonconformities	Minor nonconformities	Opportunities for improvement	Positive aspects
Total number	0	0	0	2

Standard elements with findings are listed in the action list (NC Management). The audit findings are based on the audit evidence collected during the audit and available to the certification body.

Audit summary

Refer to level of maturity of the MS, commitment of top management, guaranteeing the continuous compliance with the legal and other requirements, application of performance indicators, continual improvement, meeting of objectives and targets, competence of personnel, effectiveness of internal audits and management reviews, environmental performance, etc.

Prof. PVGD Prasad Reddy – Vice Chancellor and Registrar periodically conduct management review meetings with the Principals/Directors, Heads of departments, faculty and supporting staff to monitor and evaluate the quality management system and take appropriate measures for improvement. Effective Internal audit and management review.

Last Internal audit conducted from 12/07/21 to 14/07/21 and management review on 15/07/21. Quality objectives are consistent with quality policy and monitored in academic committee meetings at departmental level and management review meetings.

The University has a formal mechanism to obtain feedback on curriculum and other aspects periodically from parents, alumni, employers, community, academic peers and industry. In addition, feedback is obtained from the participants of seminars / conferences, company representatives who visit the University. Feed backs are discussed in MRM for improvement.

Infrastructure include – Academic building, Administrative & Supportive Services, Common halls, e classrooms, Seminor halls, Guest house, Hostel for men, women, Post office, Canteen, ,medical facilities., Sports infrastructure, Infrastructure for cultural activities.consultancy assignments in government and non-governmental organizations

Auditor's name

Rao D. Mynaji

date



Auditor's signature

D. Mynaji Rao

17.08.2021

Site specific information

Audited Site		
Site specific name	Andhra University	
State	India	
City	Andhra Pradesh	
Postal code	530003	
Address	Visakhapatnam	
Client number	66843-01	
Certificate scope	Design of Curriculum, Regulations, Eligibility norms and implementation of on-campus Undergraduate, Postgraduate, Professional teaching & Research programmes through offline and online modes; Conduct of Examinations, Evaluation, Publication of Results and Award of Degrees; Grant of Affiliation and Academic monitoring to the programmes offered by colleges and other academic institutions; Create infrastructure through Sponsored Research & Consultancy.	
Industry code(s)	EA 35; EA 37	
Products / Services	Education	
Total number of personnel onsite	300	
Total number of effective personnel	300	

ISO 9001		
Auditing of shifts	Not applicable	
Temporary sites / projects:	Not applicable	
remporary sites / projects.		
	Yes	

Andhra University 4153580766 2021-08-16 - 2021-08-17



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Quality relevant manufacturing/realization processes:	Teaching	
Risk category (low/medium/high):	Medium	
KPIs:	Name	Trend
	NAAC Accreditation - 3.6 / 4.0	Consistent
	To conduct quality related seminars / work shops; Target : 4; Achieved : 3	Negative
	Justification: Due to COVID 19 Situations, one programme was missed out. This was reviewed in MRM. During this academic year, it will be ensured that the quality related seminars will be conducted as per the quality objectives fixed	
	Student seminars : Target : 94; Achieved : 94	Positive
Relevant compliance obligations / Significant legal requirements with regard to products / services:	Establishment of Andhra University under Madras University Act in 1926. Andhra Pradesh Universities Act 4 of 1991; Amended Act 7 of 2004	

Audit objectives

See attached Audit Plan

Additional remarks

1. Disclaimer statement

Auditing is based on a sampling process of the available information. Any audit recommendations are subject to an independent review prior to a decision concerning the awarding or renewal of certification.

A management system certification audit (initial, surveillance or recertification audit) is not a legal compliance audit (ISO 17021:2015, 9.2.1.2; IAF MD22:2018, Appendix C).

2. Duty of information

The Certification Body shall be notified by the client without delay of all changes that may impact on the management system's capability to continue to fulfill the requirements of the relevant standard now and in the future.

These matters include major changes regarding:

- legal, commercial, organizational status or ownership
- organization and management (e.g. key managerial, decision-making or technical staff)
- change of address and sites
- scope of operations under the certified management system

3. Due dates

The due date (last day of the certification audit) must be considered for the planning of any additional audit. The respective due dates should be coordinated with the lead auditor.

4. Confidentiality

The Certification Body will treat all received documented information related to the certification process as strictly confidential.

Copies to:

- Members of the audit team
- Certification body
- Client