



**ACADEMIC & ADMINISTRATIVE
AUDIT REPORT
2020-2021**



Prepared by: Internal Quality Assurance Cell

ANDHRA UNIVERSITY

VISAKHAPATNAM

530003

Andhra University is one of the oldest state universities in the state of Andhra Pradesh. Considering the merits of the University, the National Assessment and Accreditation Council of India has reaccruited at “A” Grade with a CGPA of 3.60 out of four point scale in 2016. The University got ISO 9001:2001 certification in 2006, ISO 9001:2008 upto 2017 and presently it possesses ISO 9001:2015 certification for its quality standards. It is the 1st general University in the country to have ISO certification.

Andhra University conducts internal and external academic and administrative audits annually. The office of IQAC conducts academic and administrative audits through its 20 trained internal auditors. The external audits are done annually by TUV SUD.

Academic and Administrative Audit enhances quality and continuous improvement leading to one of the best practices of Andhra University. The audits aim to identify any drawbacks or weakness in any department or section based on the observation of the trained internal auditors. They are reviewed by the Top Management regularly and the audit findings help the administration in implementing the necessary steps for quality enhancement.

1.Scope of the Internal AAA:

The audit process strictly adhered to a proforma structured by the IQAC for the evaluation based on NAAC criteria's as given:

- i. **Curricular Aspects:** Curriculum design, development, BOS, framing of COs, POs, PEOs, PSOs for each course and programs , Curriculum enrichment, feedback
- ii. **Teaching-Learning & Evaluation:** Student mentoring, student assessment, student diversity, Teaching learning process, usage of ICT enabled tools, evaluation process and reforms, Faculty profiles, Student learning outcomes
- iii. **Research, innovation & extension: Research facilities, papers published, patents, research projects**
- iv. **Feedback system**
- v. **Research**
- vi. **Infrastructure**
- vii. **Department Administration**
- viii. **Other academic-oriented activities**

PERIOD OF ASSESSMENT : 2020-2021

DATE(s) OF Internal AUDIT: 12th July 2021 to 24th July 2021

2. The list of trained internal auditors and the departments to be audited are as follows:

The Internal Audit Committee and departments to audit by the auditors:

Team	Name of the Internal auditor	Principal office / Department/ Others
A	Prof.G.Girija Sankar Prof. D.LalithaBhaskari	Top Management
B	Prof.G.GirijaSankar Prof.K.RamaSudha	IQAC Office
C	Prof.P.K.Ratna Kumar 9848806706 Dr.G.Naga Raja 8466902549	Anthropology Statistics Applied Mathematics Mathematics Marine Engineering, AUCE(A) Microbiology Biochemistry Engineering Chemistry Mechanical Engineering, AUCE(A)
D	Prof.N.V.E.S.Murthy 9440702314 Prof. M.VijayaSanthi 9989012529	Directorate of Admissions Human Resources Management Physics, Biotechnology Nuclear Physics Geo-Engineering, AUCE(A) Electrical Engineering, AUCE(A) Philosophy
E	Prof. V.GirijaSastry 9866771724 Prof. K.Basavaiah 9908036203 7675079052	Journalism and Mass Communication Library Information Science University Library History & Archaeology Meteorology & Oceanography Instrument Technology, AUCE(A) Humanities and Social Sciences, AUCE(A)
F	Prof. K.SrinivasaRao 9866037087 Prof. Balarampadal 9441586593	Principal office, College of Pharmaceutical Science Psychology & Parapsychology Metallurgical Engineering, AUCE(A) Mechanical Engineering, A.U. Engineering College for Women Organic Chemistry Chemical Engineering, AUCE(A) Computer Science & System Engineering, AUCE(A) Sanskrit Architecture, AUCE(A)

G	Prof.G.GirijaSankar 9866937957 Dr. P.YedukondalaRao 9490132294	Examination section
		Electronics & Communication Engineering, A.U. Engineering College for Women
		Electronics & Communication Engineering, AUCE(A)
		Fine Arts
		Social Work
		Sociology
		Principal, College of Arts & Commerce
H	Prof. M.S. Anuradha 9441209464 Prof.P.Suneetha 9848250429	Engineering Mathematics
		Environmental Sciences
		Geography
		Principal, College of Law
		Engineering Physics
		Engineering College for Women(Principal office)
		Electrical Engineering & Civil Engineering A.U. Engineering College for Women
I	Prof.N.KishoreBabu 9848112219 Dr. T.Anuja 8639349308	Computer Science & System Engineering A.U. Engineering College for Women
		School of Economics
		Music
		Telugu
		Education
		Zoology
		Systems Design
		Marine Living Resources
J	Prof.T.V.K.BhanuPrakash 9440973800 Prof.V.Sridevi 9848961427	College Development Council
		Principal office, College of Science & Technology
		Civil Engineering, AUCE(A)
		Geo-Physics
		Geology
		Hindi
		English
K	Prof.P.Vasudeva Reddy 9885000274 Dr. K. SitaManikyam 9440084024	Principal office, A.U.College of Engineering (A)
		Politics and Public Administration
		Human Genetics
		Botany
		Commerce & Management studies
		Physical Education
		Physical and Nuclear Chemistry
Inorganic & Analytical Chemistry		
Prof.D.Lalitha Bhaskari Dept.of Computer Science & Systems Engineering & Coordinator, IQAC		

3. General Observations:

1. The University is Category – 1 institute recognised by MHRD, GOI
2. The University accredited by NAAC with a CGPA of 3.60 in 2016 valid upto 7 years(cycle)
3. Progressive vision of the Top Management with a definite plan of action following a decentralised and participative management.
4. Qualified and committed faculty members with rich experience
5. Most of the departments are funded by government agencies like DST, DBT, AICTE, DRDO, TEQIP and from other funding agencies.
6. The University is RUSA funded
7. The University is ISO 9001:2015 certified
8. Departments function in alignment with the University Quality policies
9. Total number of programs offered during 2020-2021 are 182.
Among them UG Programmes offered are 21; PG Programmes- 99,
Integrated programs – 4, Ph.D-57, PG Diploma-1
10. Faculty members - 538
11. 95% faculty members are with PhD
12. Being a reputed Government funded state university, the demand ratio is very high and student enrolment is always high
13. A total of 10592 students are enrolled in the campus and nearly 3186 outgoing students during 2020-2021 .
14. Total Research and Academic centres – 16

Research Centres :

- (1) Agro Economic Research Center
- (2) Dr. Durgabhai Deshmukh Centre for Women studies
- (3) Delta Studies Institute

(4) Population Research Centre

(5) Centre for Studies on Bay of Bengal

(6) Centre for study of Social Exclusion and Inclusive Policy(CSSEIP)

(7) Centre for Environment, Sustainable development and climate change(CESCC)

(8) NMR Research Centre

(9) Centre for Nano-Technology

► **Academic Centres :**

(10) The Japanese Information and study centre

(11) Prof.K.Satchidananda Murthy Centre for Religion studies

(12) Centre for Defense studies

(13) Centre for Gandhian Studies

(14) Centre for Cyber security and Data Analytics

(15) Dr.B.R.Ambedkar Study centre.

(16) Alluri Seeta Ramraju History and tribal studies

15. JRFs, SRFs, Post Doctoral Fellows, Research Associates and other research

fellows enrolled in the institution : 312

4. NCRs:

The Non conformities (NCRs) of all the departments are attached here

2021



ANDHRA UNIVERSITY

**AUDIT NON-CONFORMITY
REPORT**

**VISAKHAPATNAM
ANDHRA PRADESH**



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2021/07/TM1

Dept./Function : <u>Top Management (CCAO)</u>	Responsibility : <u>Top level management of AU.</u>
Audit No. :	Date of Audit : <u>27/7/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>- nil -</u>	<u>E. G. 27/7/21</u>
Auditor Name : <u>1) Prof. A. Anirudh Chakraborty 2) Prof. D. Lalitha Bhasakar</u>	Signature & Date : <u>[Signature] 27/7/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Vice Chancellor, AU</u>	Signature & Date : <u>[Signature] 27/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>Prof. A. Anirudh Chakraborty</u> Signature & Date : <u>[Signature] 27/7/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2021/07/TM2

Dept./Function : Top Management (CAO)	Responsibility : Top level management, CAO
Audit No. :	Date of Audit : 28/12/21
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : N/D	1) 28/12/21
Auditor Name : 1) Prof. A.A. Mijal Shankar 2) Prof. Dilal Kumar Bhaskari	Signature & Date : 28/12/21
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	N/D
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : Rector, AU	Signature & Date : 28/12/21
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : 28/12/21
	Signature & Date : 28/12/21
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
—	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept/Function : <u>Top Management</u> <u>EPO</u>	Responsibility : <u>Overall administration of University</u>
Audit No. : <u>1</u>	Date of Audit : <u>27/12/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	<u>1) 8.1 - 8.2</u> <u>2) 8.1 - 8.2</u>
Auditor Name : <u>1) Prof. A. Vinay Chander</u> <u>2) Prof. D. Lakshmi Shekhar</u>	Signature & Date : <u>[Signature]</u> <u>27/12/21</u> <u>[Signature]</u> <u>27/12/21</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Nil</u>
Root Cause Analysis :	<u>Nil</u>
Proposed Corrective Action :	<u>Nil</u>
Proposed Completion Date :	<u>—</u>
Auditee Name : <u>Registrar, AU</u>	Signature & Date : <u>[Signature]</u> <u>27/12/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <u>Prof. A. Vinay Chander</u> Signature & Date : <u>[Signature]</u> <u>27/12/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	

REGISTRAR
ANDHRA UNIVERSITY
VISAKHAPATNAM-530/003



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : IQAC Office AU	Responsibility : Coordinator, IQAC, AU
Audit No. : 1	Date of Audit : 26/7/2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : NIL	
Auditor Name : Prof. G. GIRIJANMARR Prof. K. RAMASUDHA	Signature & Date : [Signature] 26/7/2021
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	NIL
Root Cause Analysis :	NIL
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Coordinator, IQAC	Signature & Date : [Signature] 26/7/21
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : G. GIRIJANMARR Signature & Date : Prof. K. RAMASUDHA [Signature] 26/7/21
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Anthropology</u>	Responsibility :
Audit No. :	Date of Audit : <u>23/7/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Prof. Ratna Kumari</u>	1 <u>P.K. Ramesh</u> 2 <u>Nagaraj</u> <u>23/7/21</u>
Auditor Name : <u>Prof. G. Nagaraja</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : <u>Dr. D. Ramella</u>	Signature & Date : <u>[Signature]</u> <u>23/07/21</u> Head Department of Anthropology Andhra University Visakhapatnam-530 002
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof. P.K. Ratna Kumari</u> Signature & Date : <u>Prof. G. Nagaraja</u> <u>[Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	1) <u>Prof. P.K. Ratna Kumari</u> <u>P.K.R.K.</u>
Date: <u>23/7/2021</u>	2) <u>Prof. G. Nagaraja</u> <u>[Signature]</u>



ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : DCMS, A.U.	Responsibility : Head
Audit No. :	Date of Audit : 22-07-2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : Stock register (AUF-24) - not available - 1. P. Vasudeva Reddy	Berry
Auditor Name : 2. Sitamamkyam	Signature & Date : K. Sitamamkyam 22/07/2021
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	Suggested to maintain stock register
Root Cause Analysis :	Stock register not maintained by dept for the several years.
Proposed Corrective Action :	
Proposed Completion Date : 20 Aug CV Kamari RCO	Signature & Date : Head of the Department Department of Commerce and Management Studies
Auditee Name :	Andhra University 1. P. VASUDEVA REDDY 2. Dr. Sitamamkyam
Verification of corrective actions (To be filled by Auditor at the time of closure)	Berry
Status: Open / Closed.	Auditor Name : Signature & Date : 22/07/2021
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



**ANDHRA UNIVERSITY
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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Economics</u>	Responsibility :
Audit No. : <u>6</u>	Date of Audit : <u>24.07.2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>No nonconformity</u> <u>Pr. N. K. BHORE BSC</u>	<u>Nkr</u> <u>24/7/21</u>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>No corrective action is required.</u>
Root Cause Analysis :	
Proposed Corrective Action :	<u>M. Prasad Rao</u>
Proposed Completion Date :	<u>24/07/2021</u>
Auditee Name : <u>M. Prasad Rao</u>	Signature & Date : <u>ANDHRA UNIVERSITY</u> <u>DEPARTMENT OF ECONOMICS</u>
Verification of corrective actions (To be filled by Auditor at <u>VISA KHAPATNAM - 530 003</u>)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Pr. N. K. BHORE BSC</u> <u>ANUJA TIGATA</u> Signature & Date : <u>Nkr</u> <u>24/7/21</u> <u>Anuja Tigata</u> <u>24.07.21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY
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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Education</u>	Responsibility :
Audit No. : <u>5</u>	Date of Audit : <u>24.07.2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>No Nonconformity</u>	
Auditor Name : <u>Prof. N. KISHORE BABU</u>	Signature & Date : <u>[Signature] 24/7/21</u>
Auditor Name : <u>ANUJA TIGGA</u>	Signature & Date : <u>[Signature] 24.7.2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>[Signature]</u>	Signature & Date : <u>[Signature] 24/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	<u>Head</u> <u>Department of Education</u> <u>Andhra University</u> <u>Visakhapatnam</u>
Auditor Name : <u>Prof. N. KISHORE BABU</u>	Signature & Date : <u>[Signature] 24/7/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : ENGLISH	Responsibility :
Audit No. :	Date of Audit : 10-07-2021
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : Prof. T.V.K. Bhanu Prakash Prof. V. Sri Devi	Signature & Date : [Signature] (20/07/2021)
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : DR. N. SOLOMON BENNY	Signature & Date : N. S. Benny 20/07/2021
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : N. SOLOMON BENNY Signature & Date : HEAD, DEPARTMENT OF ENGLISH ANDHRA UNIVERSITY VISAKHAPATNAM
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



**ANDHRA UNIVERSITY
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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept / Function : <i>Fine Arts, AU.</i>	Responsibility :
Audit No. :	Date of Audit : <i>22/07/2021</i>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>AUF-19, AUF-20, 21, 23, 28, 29, 30, 38 to be updated</i>	
Auditor Name : <i>Prof. G. Chinjasaekar</i> <i>Prof. P. Yadukondal Rao</i>	Signature & Date : <i>[Signature]</i> <i>22/07/2021</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<i>Above mentioned records will be updated</i>
Root Cause Analysis :	<i>Having insufficient clerical power in the department.</i>
Proposed Corrective Action :	
Proposed Completion Date :	<i>will be completed by 23-7-2021 4 pm.</i>
Auditee Name : <i>Dolla Srinivasacharya</i>	Signature & Date : <i>[Signature]</i> <i>22/7/21</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status <input checked="" type="checkbox"/> Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <i>Prof. G. Chinjasaekar</i> Signature & Date : <i>Prof. P. Yadukondal Rao</i> <i>24/07/2021</i>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	Date : <i>24/07/2021</i>

Head
Department of Fine Arts
College of Arts & Commerce
Andhra University, Visakhapatnam



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Dept of Hindi</u>	Responsibility : <u>HOD</u>
Audit No :	Date of Audit : <u>23/7/21</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Prof. T.V.K. Bhana Prakash</u>	<u>[Signature]</u> <u>Lead.</u>
Auditor Name : <u>Prof. V. Sridhar</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	<u>[Signature]</u>
Proposed Corrective Action :	HEAD C THE DEPARTMENT DEPARTMENT OF HINDI ANDHRA UNIVERSITY VISAKHAPATNAM - 530 003.
Proposed Completion Date :	
Auditee Name : <u>Head of the Dept. Hindi</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



**ANDHRA UNIVERSITY
VISA KHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Archeology

Dept./Function : <u>History</u>	Responsibility :
Audit No. :	Date of Audit : <u>23-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>V. Girija Sastri</u>	<u>Nil</u>
Auditor Name : <u>K. Basavaiah</u>	Signature & Date : <u>23/7/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Nil</u>
Root Cause Analysis :	<u>Nil</u>
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof. P. Rajendra Kumar</u>	Signature & Date : <u>23/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>V. Girija Sastri</u> Signature & Date : <u>23/07/2021</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature : <u>V. Girija Sastri</u>	<u>Nil</u>
Date: <u>23/07/2021</u>	<u>Nil</u>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Human Resources Responsibility : Management	
Audit No. :	Date of Audit : 24/07/21.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : Nil	
Auditor Name : Prof. M. Vijaya Sauti	Signature & Date : M. Sauti 24/7/21.
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : Prof. P. RAJENDRA KARMAR	Signature & Date : P. Rajendra Karmar 24/7/21. HEAD Dept. of Human Resource Management ANDHRA UNIVERSITY Visakhapatnam-530 003, (A.P.)
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. ✓	Auditor Name : Prof. M. Vijaya Sauti Signature & Date : M. Sauti 24/7/21.
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Journalism and Mass Communication</u>	Responsibility :
Audit No. :	Date of Audit : <u>24-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Prof V. Giridharstry</u> <u>By K. Besawaiah</u>	<u>Nil</u> - <u>V. G. S. R. Murthy</u> <u>24/7/2021</u> <u>B. S. W.</u>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Nil</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date : <u>Prof D. V. R. Murthy</u>	<u>24/7/2021</u>
Auditee Name :	Signature & Date : Head of the Department Journalism & Mass Commu. Andhra University Visakhapatnam-530 00
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>V. G. S. R. Murthy</u> <u>B. S. W.</u> Signature & Date : <u>(V. Giridharstry)</u> <u>B. S. W.</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>Nil</u>
Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Library information</u> Responsibility :	
<u>Subheds</u>	
Audit No. :	Date of Audit : <u>23-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : <u>V. Gurugan Sasstry</u> <u>K. Basaraiah</u>	Signature & Date : <u>Nil</u> <u>V. L. J. Sasstry</u> <u>23/7/2021</u> <u>B. Sarva</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Nil</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<u>23/7/2021</u>
Auditee Name : <u>Prof V. Dhana Raju</u>	Signature & Date : <u>Dr. V. DHANA RAJU, Ph.D.</u> <u>Head</u>
<small>Dept. of Library and Information Science Andhra University Visakhapatnam-530 003</small>	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature : <u>V. Gurugan Sasstry</u> <u>K. Basaraiah</u>	Date: <u>23/07/21</u>



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Music & Dance</u>	Responsibility :
Audit No. : <u>7.</u>	Date of Audit : <u>24.07.2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>No nonconformity</u>	
Auditor Name : <u>Prof. ANUJA TIGGE</u>	Signature & Date : <u>[Signature] 24/7/21</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>No corrective action is required.</u>
Root Cause Analysis :	
Proposed Corrective Action :	<u>A. Anuraadha 24/7/21</u> Head of the Department Dept. of Music & Dance Andhra University Visakhapatnam
Proposed Completion Date :	
Auditee Name : <u>A. ANURADHA</u>	Signature & Date : <u>[Signature] 24/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <u>Prof. N. K. HORE DAXU</u> Signature & Date : <u>[Signature] 24/7/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Philosophy</u>	Responsibility :
Audit No. :	Date of Audit : <u>24/07/2021.</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Nil</u>	
Auditor Name : <u>Prof. M. Vijaya Sankar</u>	Signature & Date : <u>M. Sankar</u> <u>24/7/21.</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date : —	
Auditee Name : <u>D. S. HARANATH</u>	Signature & Date : <u>S. Haranath</u> Head of the Dept. Dept. of Philosophy Andhra University Visakhapatnam
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof. M. Vijaya Sankar</u> Signature & Date : <u>M. Sankar</u> <u>24/7/21.</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Public Administration & Political Science</u>	Responsibility : <u>Head</u>
Audit No. :	Date of Audit : <u>19/7/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Records AUF 23, 21, 18 to be updated - P. Vasudha Reddy</u>	<u>1. Jerry</u>
Auditor Name : <u>K. Srinivasan</u>	Signature & Date : <u>2 - K. Srinivasan</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Head Signatures on AUF 23, 21, 18 to be updated</u>
Root Cause Analysis :	<u>Due to lack shortage of time.</u>
Proposed Corrective Action :	<u>Records updated all records</u>
Proposed Completion Date : <u>24-7-2021</u>	<u>Jerry</u>
Auditee Name : <u>Dr. P. Premanandham</u>	Signature & Date : <u>2 - K. Srinivasan</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	DEPETI PREMANANDA HEAD Dept. of Political Science & Public Administration Andhra University Visakhapatnam
Auditor Name :	1. <u>Jerry</u>
Signature & Date :	<u>2 - K. Srinivasan</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Dept of Sanskrit</u>	Responsibility : <u>Prof. N. Satyanarayana</u>
Audit No. :	Date of Audit : <u>31-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	<u>Prof. K. Srinivasa Rao - HOD</u>
Auditor Name : <u>Prof. N. Satyanarayana HOD</u>	Signature & Date : <u>Prof. K.T. Balaram Prasad - (Balaram)</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>- NIL -</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<u>Prof. N. Satyanarayana</u> <u>HOD</u>
Auditee Name :	Signature & Date : <u>[Signature] HEAD</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : <u>Prof. K. Srinivasa Rao</u> Signature & Date : <u>Prof. K.T. Balaram Prasad - (Balaram)</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>Prof. K. Srinivasa Rao - HOD</u>
Date:	<u>Prof. K.T. Balaram Prasad - (Balaram)</u>



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept / Function : <u>Social work</u>	Responsibility :
Audit No. :	Date of Audit : <u>22/07/2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. G. Girija Sankar</u> <u>Prof. P. Yodukondalamma</u>	Signature & Date : <u>[Signature]</u> <u>22/07/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>NIL</u>	
Root Cause Analysis : <u>NIL</u>	<u>[Signature]</u> HEAD — <u>22/7/21</u>
Proposed Corrective Action : <u>NIL</u>	DEPARTMENT OF SOCIAL WORK ANDHRA UNIVERSITY VISAKHAPATNAM-530003 ANDHRA PRADESH
Proposed Completion Date:	
Auditee Name : <u>Dr. S. Haranath</u>	Signature & Date : <u>[Signature]</u> <u>22/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. G. Girija Sankar</u> Signature & Date : <u>[Signature]</u> <u>22/07/2021</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	
Date :	



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VISAKHAPATNAM**

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : <u>Sociology</u>	Responsibility :
Audit No. :	Date of Audit : <u>22/07/2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Pw. G. Girija Sankar</u> <u>Pw. P. Yodukudala Murthy</u>	Signature & Date : <u>[Signature]</u> <u>22/07/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>NIL</u>	
Root Cause Analysis : <u>NIL</u>	
Proposed Corrective Action : <u>NIL</u>	
Proposed Completion Date:	<u>Sreemannarayana</u> Head of the Dept. of Sociology Andhra University Visakhapatnam
Auditee Name : <u>Dr. V. Sreemannarayana Murthy</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Pw. G. Girija Sankar</u> <u>Pw. P. Yodukudala Murthy</u> Signature & Date : <u>[Signature]</u> <u>22/07/2021</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Telgu</u>	Responsibility :
Audit No. : <u>4</u>	Date of Audit : <u>24.07.2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>No nonconformity</u>	
Auditor Name : <u>ANUJA TIGGA</u>	Signature & Date : <u>Anuja Tigga 24.7.2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>No corrective action is required.</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof. J. Appa Rao</u>	Signature & Date : <u>24/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>Prof. N. KISHORE BABU</u> ANUJA TIGGA Signature & Date : <u>Nkr 24/7/21</u> Anuja Tigga 24.7.21
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Physical Edu. & Sports</u> Responsibility : <u>Head</u>	
Audit No. :	Date of Audit : <u>20/7/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Leave Register, Staff registers to be updated with HOD Signatures.</u>	
Auditor Name : <u>P. Vasudeva Reddy</u>	Signature & Date : <u>1. Reddy 20/7/2021</u>
Auditor Name : <u>Dr. K. Sitaraman</u>	Signature & Date : <u>2. K. Sitaraman</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>AUF 23, & Staff leave letters to be maintained,</u>
Root Cause Analysis :	<u>No keen observation on maintenance of records.</u>
Proposed Corrective Action :	<u>proposed to advise to update registers. & updated.</u>
Proposed Completion Date :	<u>20/7/2021</u>
Auditee Name :	<u>Head of the Department, Physical Education & Sports Sciences</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open / Closed.</u>	Auditor Name : <u>P. Vasudeva Reddy</u> 20/7/2021
	Signature & Date : <u>K. Sitaraman</u> 20/7/2021
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	

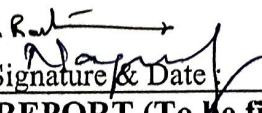

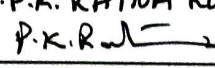
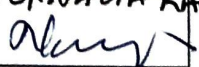
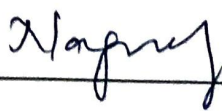


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VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : APPLIED MATHEMATICS Responsibility :	
Audit No. :	Date of Audit : 23/7/2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	
Auditor Name : I.P.K. RATNA KUMAR P.K. Ratna 2.G. NAGA RAJA	Signature & Date : 
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : —	
Root Cause Analysis : —	
Proposed Corrective Action : —	 23-07-2021 Head of the Department of Applied Mathematics Andhra University Visakhapatnam-530 003
Proposed Completion Date :	Auditee Name : Dr. P. Vijaya Laxmi Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : I.P.K. RATNA KUMAR. 2.G. NAGA RAJA Signature & Date : P.K. Ratna  
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature : I.P.K. RATNA KUMAR Date: 23/7/2021.	2.G. NAGA RAJA. 



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VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : BIOCHEMISTRY Responsibility :	
Audit No. :	Date of Audit : 22/7/21
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : I.P.K. RATNA KUMAR - P.K. Reddy → 22/7/21	
Auditor Name : Z. G. NAGA RAJA	Signature & Date : Navy 22/7/21
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	2021
Auditee Name : Dr. P. SUBAARUNIDY	Signature & Date : Sobha
Department of Biochemistry Andhra University Visakhapatnam-530 003	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : I.P.K. RATNA KUMAR Z. G. NAGA RAJA
	Signature & Date : P.K. Reddy Navy
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	I. P. K. RATNA KUMAR Z. G. NAGA RAJA
Date: 22-07-2021	P.K. Reddy Navy



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Biotechnology</u>	Responsibility :
Audit No. :	Date of Audit : <u>23/07/2021.</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Nil</u>	<u>M. Santhi</u> <u>23/7/21.</u>
Auditor Name : <u>Prof. M. Vijaya Santhi</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : <u>Dr. V. LAKSHMI</u>	Signature & Date : <u>V. Lelsh</u> <u>23/07/2021</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. M. Vijaya Santhi</u> Signature & Date : <u>M. Santhi</u> <u>23/7/21.</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	

Head
Dept. of Biotechnology
Andhra University
Visakhapatnam



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <i>Botany</i>	Responsibility : <i>Head,</i>
Audit No. :	Date of Audit : <i>22-7-21</i>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <i>NIL</i> <i>1. P. Vasudera Reddy</i> <i>2. Sita Manikyan</i>	<i>1. Jary</i> <i>2. K. Sita Manikyan</i>
Auditor Name :	Signature & Date : <i>22/7/21</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <i>NIL</i>	
Root Cause Analysis : <i>-</i>	
Proposed Corrective Action : <i>-</i>	<i>P.K. Ratna</i>
Proposed Completion Date :	HEAD Department of Botany Andhra Univ. : Visakhapatnam - 530 003
Auditee Name : <i>Prof. P.K. RATNA KUMAR</i>	Signature & Date : <i>22/7/21</i>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <i>1. P. Vasudera Reddy</i> <i>2. Dr. Sita Manikyan</i>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



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VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Geography</u>	Responsibility :
Audit No. :	Date of Audit :
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	1. <u>P. Suresh</u> 2. <u>M. S. Anuradha</u>
Auditor Name :	Signature & Date : <u>P. Suresh</u> <u>M. S. Anuradha</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : <u>Prof. P. Suresh</u>	Signature & Date : <u>P. Suresh</u> 24/7/2024 Head Department of Geography. Andhra University Visakhapatnam-530003
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name Signature & Date : 1. <u>P. Suresh</u> 2. <u>M. S. Anuradha</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	1. <u>P. Suresh</u> 2. <u>M. S. Anuradha</u>
Date:	2. <u>M. S. Anuradha</u> 2. <u>M. S. Anuradha</u>





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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Geology</u>	Responsibility : <u>HOD</u>
Audit No. :	Date of Audit : <u>23-7-21</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Prof. J.V.K. Bhanu Prasad</u>	
Auditor Name : <u>Prof. V. Sridhar</u>	Signature & Date : <u>23/7/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action : <u>0</u>	 Head of the Department DEPARTMENT OF GEOLOGY Andhra University Visakhapatnam-530003
Proposed Completion Date :	
Auditee Name : <u>HOD of Geology</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Geophysics</u>	Responsibility : <u>HOD</u>
Audit No. :	Date of Audit : <u>22/7/21</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>By TVK Bhannu Prakash</u>	<u>1 - [Signature]</u> <u>2 - lead..</u>
Auditor Name : <u>Prof V. Sri Devi</u>	Signature & Date : <u>[Signature]</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	0
Proposed Corrective Action :	Head, Department of Geophysics Andhra University VISAKHAPATNAM
Proposed Completion Date :	<u>22/7/21</u>
Auditee Name : <u>HOD Geophysics</u>	Signature & Date : <u>[Signature]</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Human Resources</u> Responsibility : <u>Head</u>	
Audit No. :	Date of Audit : <u>22/7/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. P. Vasudeva Reddy</u> <u>Dr. Sita Manikyan</u>	Signature & Date : <u>1. Berry</u> <u>2. K. Subramanyam</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>- NIL -</u>
Root Cause Analysis :	<u>-</u>
Proposed Corrective Action :	<u>-</u>
Proposed Completion Date :	<u>-</u>
Auditee Name : <u>Dr. V. Lakshmi</u> <u>Head of H.R.</u>	Signature & Date : <u>v. Lakshmi</u> <u>22/07/2021</u>
<small>Head of the Department Dept of <u>Human Resources</u> Andhra University Visakhapatnam-530 003</small>	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. P. Vasudeva Reddy</u> <u>Berry</u> Signature & Date : <u>K. Subramanyam</u> <u>22/7/2021</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Inorganic & Analytical Chemistry</u>	Responsibility : <u>Head.</u>
Audit No. :	Date of Audit : <u>19-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Staff Leave Register to be updated. (AUF 23)</u>	1. P. Vasudeva Reddy <u>[Signature]</u> 2. K. Sita Manikyan <u>[Signature]</u>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Staff leave letters to be maintained</u>
Root Cause Analysis :	<u>No such practice earlier</u>
Proposed Corrective Action :	<u>leave letters are received and maintained</u>
Proposed Completion Date :	<u>20.7.21</u>
Auditee Name : <u>Saileja</u>	Signature & Date : <u>[Signature]</u> HEAD OF THE DEPARTMENT Department of Inorganic & Analytical Chemistry
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>1. P. Vasudeva Reddy [Signature]</u> Signature & Date : <u>2. K. Sita Manikyan [Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./Function : <u>Marine Living Resources</u>	Responsibility :
Audit No. : <u>3</u>	Date of Audit : <u>22.07.2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>No nonconformity</u>	
Auditor Name : <u>Prof. N. KISHORE BABU</u>	Signature & Date : <u>Anuja Tigga 22.07.2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>No corrective measure is required.</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Dr. P. JANAKIRAM</u>	Signature & Date : <u>P. J. 22/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof. N. KISHORE BABU</u>
	Signature & Date : <u>Anuja Tigga 22.7.21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Marine Living Resources</u>	Responsibility :
Audit No. : <u>3</u>	Date of Audit : <u>22.07.2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>No nonconformity</u>	
Auditor Name : <u>Prof. N. KISHORE BABU</u>	Signature & Date : <u>Anuja Tigga 22/7/21</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>No corrective measure is required.</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Dr. P. JANAKIRAM</u>	Signature & Date : <u>P. J. 22/7/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof. N. KISHORE BABU</u>
	Signature & Date : <u>Anuja Tigga 22.7.21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : MATHEMATICS	Responsibility :
Audit No. :	Date of Audit : 23/7/2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
I.P.K. RATNA KUMAR - P.K.R. [Signature]	
Auditor Name : Z.G. NAGA RAJA	Signature & Date: [Signature]
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	-
Root Cause Analysis :	-
Proposed Corrective Action :	-
Proposed Completion Date :	-
Auditee Name : G. NARAJI RAO	Signature & Date: [Signature]
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : I.P.K. RATNA KUMAR, Z.G. NAGA RAJA
	Signature & Date : P.K.R. [Signature] NAGA [Signature]
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	I.P.K. RATNA KUMAR Z.G. NAGA RAJA.
Date: 23/7/21	P.K.R. [Signature] NAGA [Signature]



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>meteorology and oceanography</u>	Responsibility :
Audit No. :	Date of Audit : <u>24-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>V. GURESWARAY K. Basaravah</u>	<u>nil</u> ✓ <u>5/24/7/2021</u> <u>B. Saran</u> <u>24/07/2021</u>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	} <u>nil</u> .
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date : <u>Dr. G.V. Naidu</u>	<u>C. Naidu</u> Head <u>24.7.2021</u>
Auditee Name :	Department of Meteorology and Oceanography ANDHRA UNIVERSITY VISAKHAPATNAM
Verification of corrective actions (To be filled by Auditor at the time of closure)	<u>nil</u> ✓ <u>24/7/21</u>
Status: Open / Closed. ✓	Auditor Name : <u>Prof. K. Basaravah</u> Signature & Date : <u>B. Saran</u> <u>24/07/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>nil</u>
Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : MICROBIOLOGY		Responsibility :	
Audit No. :		Date of Audit : 22/7/2021	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
ISO 9001 Clause :		Reference Document(s) :	
Nonconformity :			
1-P.K.RATNA KUMAR		P.K. R. [Signature]	
Auditor Name : 2. G. NAGA RAJA		Signature & Date : [Signature]	
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction : —			
Root Cause Analysis : —			
Proposed Corrective Action : —			
Proposed Completion Date :			
Auditee Name : Dr. V. Lakshmi		Signature & Date : V. Lakshmi [Signature] 22/07/2021	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed. <input checked="" type="checkbox"/>		Auditor Name : 1. P.K. RATNA KUMAR. 2. G. NAGA RAJA	
		Signature & Date : P.K. R. [Signature] [Signature]	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature		1. P.K. RATNA KUMAR. 2. G. NAGA RAJA	
Date: 22/07/2021		P.K. R. [Signature] [Signature]	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Nuclear Physics</u>	Responsibility :
Audit No. : <u>AUCST</u>	Date of Audit : <u>24-07-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Nil</u>	<u>M. Sauthi</u> <u>24/7/21</u>
Auditor Name : <u>Prof. M. Vijaya Sauthi</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>—</u>	
Root Cause Analysis : <u>—</u>	
Proposed Corrective Action : <u>—</u>	
Proposed Completion Date : <u>—</u>	
Auditee Name : <u>Dr. P.V. Lakshmi Narayana</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <u>✓</u>	Auditor Name : <u>Prof. M. Vijaya Sauthi</u>
	Signature & Date : <u>M. Sauthi</u> <u>24/7/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : Dept of Organic Chemistry Responsibility : Dr. V. Siddaiah, HOD

Audit No. : _____ Date of Audit : 22.7.21

DETAILS OF NONCONFORMITY (To be filled by Auditor)

ISO 9001 Clause : _____ Reference Document(s) : _____

Nonconformity :

1. Dr K. Srinivasa Rao

[Signature] 22/7/21

Auditor Name : Dr. K. T. Balaram Prasad Signature & Date : [Signature] 22/7/21

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction Nil

Root Cause Analysis :

Proposed Corrective Action :

Proposed Completion Date :

Auditee Name

Dr. V. Siddaiah
HOD

Signature & Date :

[Signature]

Verification of corrective actions (To be filled by Auditor at the time of next audit) 22/7/21
HEAD OF THE DEPT OF ORGANIC CHEMISTRY
FOODS DRUGS & WATER
ANDHRA UNIVERSITY, WALT AIR
VISAKHAPATNAM-530 003

Status. Open / Closed.

1. Dr K. Srinivasa Rao

2. Dr K. T. Balaram Prasad

Auditor Name
Signature & Date

[Signature] 22/7/21

Verification of effectiveness of corrective action
(to be filled by auditor during next internal audit)

Auditor Name / Sign.

Date :

Dr K. Srinivasa Rao

[Signature] 22/7/21

Dr K. T. Balaram Prasad

[Signature] 22/7/21



ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Physics</u>	Responsibility :
Audit No. :	Date of Audit : <u>20-07-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Nil</u>	
Auditor Name : <u>Prof. M. Vijaya Sauthi</u>	Signature & Date : <u>M. Sauthi 20/7/21</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>-</u>
Root Cause Analysis :	<u>-</u>
Proposed Corrective Action :	<u>-</u>
Proposed Completion Date :	<u>D.B. Venkatadri 20/7/21</u>
Auditee Name : <u>Sri. D.B. Venkatadri</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. M. Vijaya Sauthi</u>
	Signature & Date : <u>M. Sauthi 20/7/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>STATISTICS</u>	Responsibility :
Audit No. :	Date of Audit : <u>23/7/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>—</u>	
Auditor Name : <u>1.P.K. RATNA KUMAR</u>	Signature & Date : <u>P.K.R. —</u>
Auditor Name : <u>2.G. NAGA RAJA</u>	Signature & Date : <u>Nayy</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>—</u>	
Root Cause Analysis : <u>—</u>	
Proposed Corrective Action : <u>—</u>	
Proposed Completion Date :	
Auditee Name : <u>Prof. B. MUNZSWAMY</u>	Signature & Date : <u>[Signature]</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>1.P.K. RATNA KUMAR, 2.G. NAGA RAJA</u>
	Signature & Date : <u>P.K.R. —</u> <u>Nayy</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>1.P.K. RATNA KUMAR.</u> <u>2. G. NAGA RAJA.</u>
Date: <u>23/7/2021.</u>	<u>P.K.R. —</u> <u>Nayy</u>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 2

Dept./Function : <u>Zoology</u>	Responsibility :
Audit No. : <u>2</u>	Date of Audit : <u>20.07.2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>No non conformity</u>	
Auditor Name : <u>ANUJA TIGLA</u>	Signature & Date : <u>Anuja Tigla 20.07.2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>No corrective measures.</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof. U. Shameem</u>	Signature & Date : <u>Shameem 20-07-2021</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>ANUJA TIGLA</u> & <u>Prof. N. KISHORE BABU</u>
	Signature & Date : <u>Anuja Tigla 20-7-21</u> <u>Nkr</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : Dept of Psychology & Para Psychology Responsibility : Prof M.V.R. Raju
Audit No : Sr. Professor Date of Audit : 23-07-21

DETAILS OF NONCONFORMITY (To be filled by Auditor)

ISO 9001 Clause :

Reference Document(s) :

Nonconformity :

Prof K. Srinivasa Rao - u - 23/07/21

Auditor Name :

Prof K.T. Balaram Padu - Balaram

Signature & Date :

23/07/21

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction

- NIL -

Root Cause Analysis :

Proposed Corrective Action :

Proposed Completion Date :

Auditee Name :

Sr. Prof M.V.R. Raju

Signature

Head of the Department
Dept. of Psychology and Parapsychology
Andhra University
Visakhapatnam

Verification of corrective actions (To be filled by Auditor at the time of closure)

Prof K. Srinivasa Rao - u - 23/07/21

Status. Open / Closed.

Prof K.T. Balaram Padu

Auditor Name

Signature & Date :

23/07/21

Verification of effectiveness of corrective action
(to be filled by auditor during next internal audit)

Auditor Name / Sign.

Date :

Prof K. Srinivasa Rao - u - 23/07/21

Prof K.T. Balaram Padu - Balaram

23/07/21



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : Dept of Architecture		Responsibility : Pp A. Revathi Devi	
Audit No		Date of Audit : 19-7-2021	
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause :		Reference Document(s) :	
Nonconformity :			
1. Pp K. Srinivasa Rao - u. l. w. 19/07/21			
2. Pp K.T. Balaram Prasad - [Signature] 19/7/21			
Auditor Name		Signature & Date :	
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :			
- NIL -			
Root Cause Analysis :			
Proposed Corrective Action :			
Proposed Completion Date :			
Auditee Name : Pp A. Revathi Devi		Signature & Date : [Signature] 19/7/21	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status Open / Closed			
Auditor Name 1 : Pp K. Srinivasa Rao		Signature & Date : [Signature] 19/7/21	
Auditor Name 2 : Pp K.T. Balaram Prasad		Signature & Date : [Signature] 19/7/21	
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign		Date	
1. Pp K. Srinivasa Rao - u. l. w. 19/07/21			
2. Pp K.T. Balaram Prasad - [Signature] 19/7/21			

Head of the Department
Department of Architecture
Andhra University, Visakhapatnam

19/07/21

[Signature]
19/7/21



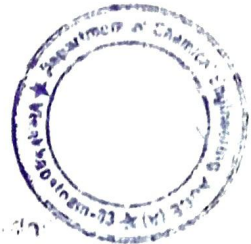
ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept / Function : <u>Dept of Chemical Engineering</u>	Responsibility : <u>Prof P. KING, HOD</u>
Audit No. :	Date of Audit : <u>23-07-2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : 1. <u>Prof K. Srinivasa Rao - u. w. 23/07/21</u>	
Auditor Name : <u>2. Prof K.T. Balasubrahmanyam</u>	Signature & Date : <u>[Signature] 23/7/21</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>NIL -</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof P. KING, HOD</u>	Signature & Date : <u>[Signature] 23/7/2021</u> Department : <u>Department of Chemical Engineering</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. 1. <u>Prof K. Srinivasa Rao - u. w. 23/07/21</u>	
2. <u>Prof K.T. Balasubrahmanyam - [Signature] 23/7/21</u>	
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	1. <u>Prof K. Srinivasa Rao - u. w. 23/07/21</u>
	2. <u>Prof K.T. Balasubrahmanyam - [Signature] 23/7/21</u>








ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : CIVIL ENGR	Responsibility : HOD
Audit No. :	Date of Audit :
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	(Y) 
Auditor Name : Prof. T. V. K. Bhampurech	(X) (Ced) 22/7/2021
Auditor Name : Prof. V. Sindew	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	(Y) 
Proposed Corrective Action :	Head of the Department Department of Civil Engineering College of Engineering (A) Andhra University, Visakhapatnam
Proposed Completion Date :	
Auditee Name : Head of the Dept.	Signature & Date :
Auditee Name : Dept. of Civil Engg	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	(Y) 
Date:	(X) Prof. V. Sindew (Ced) 22/7/2021



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept / Function : <u>Dept of Computer Science & Systems Engineering</u>	Responsibility : <u>Prof K. Nageswara Rao HOD</u>
Audit No. :	Date of Audit : <u>23-7-2021 & 30-7-2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>11 Prof K. Srinivasa Rao - N. W.</u>	
Auditor Name : <u>Prof K.T. Balakrishna</u>	Signature & Date : <u>[Signature]</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>- NIL -</u>	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : <u>Prof K. Nageswara Rao HOD</u>	Signature : <u>[Signature]</u> Date : <u>30/7/2021</u> HEAD OF THE DEPARTMENT COMPUTER SCIENCE & SYSTEMS ENGINEERING ANDHRA UNIVERSITY, COLLEGE OF ENGINEERING (A) VISAKHAPATNAM-530 003
Verification of corrective actions (To be filled by Auditor)	
Status: Open / Closed.	Auditor Name : <u>Prof K. Srinivasa Rao</u> Signature & Date : <u>[Signature]</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	<u>Prof K. Srinivasa Rao - N. W.</u>
Date :	<u>Prof K.T. Balakrishna - [Signature]</u>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Electrical Engineering Responsibility :

Audit No. : AUCE(A) Date of Audit : 23/07/2021.

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity : Nil

Auditor Name : Prof. M. Vijaya Santhi Signature & Date :

M. Santhi
23/7/21.

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : -

Root Cause Analysis : -

Proposed Corrective Action : -

Proposed Completion Date : - finish now

Auditee Name : Prof. G.V. Siva Signature & Date : [Signature] 23/7/2021

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed.

Auditor Name : Prof. M. Vijaya Santhi

Signature & Date : M. Santhi 23/7/21.

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature

Date:

Heac of the Department
Dept. of Electrical Engineering
A.U. College of Engineering (A)
Andhra University
Visakhapatnam-530 003. A.P.



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : <u>Electronics and Communication Engineering (A)</u>	Responsibility :
Audit No. :	Date of Audit : <u>20/07/2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. G. Girija Sankar</u> <u>Prof. P. Yoduradala Rao</u>	Signature & Date : <u>[Signature]</u> <u>20/07/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>NIL</u>	
Root Cause Analysis : <u>NIL</u>	
Proposed Corrective Action : <u>NIL</u>	
Proposed Completion Date:	<u>[Signature]</u> <u>20/07/21</u> Head of the Department Dept. of Electronics Comm. Engg. M. J. College of Engg. (A) Andhra University Visakhapatnam-530 003
Auditee Name : <u>Prof. P. Rajesh Kumar</u>	Signature & Date
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. G. Girija Sankar</u> Signature & Date : <u>Prof. P. Yoduradala Rao</u> <u>[Signature]</u> <u>20/07/21</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Geo-Engineering</u>	Responsibility :
Audit No. : <u>AUCE(A)</u>	Date of Audit : <u>23/07/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Nil</u>	<u>M. Sauthi</u> <u>23/7/21.</u>
Auditor Name : <u>Prof. M. Vijaya Sauthi</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>-</u>	
Root Cause Analysis : <u>-</u>	
Proposed Corrective Action : <u>-</u>	
Proposed Completion Date : <u>-</u>	Head of the Department GEO ENGINEERING A.U. Engg. College.(A) VISAKHAPATNAM - 530 003
Auditee Name : <u>Prof. C. N. V. Subramanyam Reddy</u>	Signature & Date : <u>C. N. V. Subramanyam Reddy</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof. M. Vijaya Sauthi</u> Signature & Date : <u>M. Sauthi 23/7/21.</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Instrumentation Technology</u>	Responsibility :
Audit No. :	Date of Audit : <u>23-7-2021</u>

DETAILS OF NONCONFORMITY (To be filled by Auditor)

ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Prof V. GIRIJA SASTRY</u> <u>Prof K. Basaviah</u>	<u>Nil</u> <u>V. L. Srinivasan</u> <u>23/7/2021</u> <u>B. Saravali</u> <u>23/07/2021</u>
Auditor Name :	Signature & Date :

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction :	<u>Nil</u>
Root Cause Analysis :	<u>Nil</u>
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof Y. Srinivasa Rao</u>	Signature of the Department Head : <u>Srinivasan Rao</u> <u>23/07/2021</u>

Verification of corrective actions (To be filled by Auditor at the time of closure)	Department : <u>Instrumentation Technology</u>
Status: Open / Closed. <input checked="" type="checkbox"/> Open	A.U. College of Engineering (A) <u>Visakhapatnam</u>
	Auditor Name : <u>Prof V. GIRIJA SASTRY & Prof K. Basaviah</u>
	Signature & Date :

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature
Date:



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Mechanical Engineering</u>	Responsibility :
Audit No. :	Date of Audit : <u>24/7/21.</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Prof. P.K. Redha Kumar</u>	1 <u>P.K. Redha</u> 2 <u>Nagaraja</u>
Auditor Name : <u>Prof. G. NAGARAJA</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : <u>Prof. K. Venkata Subrah</u>	Signature & Date : <u>[Signature] 24/7/2021</u> HEAD OF THE DEPARTMENT OF MECHANICAL ENGINEERING ANDHRA UNIVERSITY
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <u>Open</u>	Auditor Name : 1) <u>Prof. Redha Kumar</u> 2) <u>Dr. NAGARAJA</u> Signature & Date : 1) <u>Prof. P.K. Redha</u> 2) <u>Nagaraja</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>Prof. P.K. Redha Kumar</u> 1) <u>P.K. Redha</u>
Date: <u>24/7/21.</u>	<u>Prof. G. NAGARAJA</u> 2) <u>Nagaraja</u>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : Dept of Metallurgical Engineering		Responsibility : Prof. J. Babu Rao, HOD	
Audit No. :		Date of Audit : 19-7-2021	
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause :		Reference Document(s) :	
Nonconformity : " PA K. Srinivasa Rao - 19/07/21			
Auditor Name: Prof K.T. Balaram Padel		Signature & Date: [Signature] 19/7	
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction : - Nil -			
Root Cause Analysis :			
Proposed Corrective Action :			
Proposed Completion Date:			
Auditee Name : Prof J. Babu Rao, HOD		Signature & Date: [Signature] 19/7/21 Head of the Department of Metallurgical Engineering	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Prof K. Srinivasa Rao - 19/07			
Status: Open / Closed.		Auditor Name: [Signature] 19/7	
Prof K.T. Balaram Padel		Signature & Date: [Signature] 19/7	
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign.		Prof. K. Srinivasa Rao - 19/7	
Date :		[Signature] 19/7	

Prof K.T. Balaram Padel, [Signature] 19/7



ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Engineering Chemistry</u>	Responsibility :
Audit No. :	Date of Audit : <u>24/7/21</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Prof. Padma Kumar.</u> <u>Prof. G. Nagaraja</u>	1) P.K.R.J. → 2) NCR No. - 24/7/21
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	<
Auditee Name : <u>Dr. S. Paul Douglas</u>	Signature & Date : <u>[Signature]</u> 24/07/2021 Head of the Department Dept. of Engineering Chemistry AU College of Engineering (A) Andhra University Visakhapatnam-530003, A.P.
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : <u>Prof. Padma Kumar,</u> Signature & Date : <u>Prof. G. Nagaraja,</u> <u>[Signature]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	1) <u>Prof. P.K. Padma Kumar P.K.R.J. →</u>
Date: <u>24/7/2021</u>	2) <u>Prof. G. Nagaraja,</u> <u>[Signature]</u>



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Engg Mathematics</u>	Responsibility :
Audit No. :	Date of Audit : <u>19/07/2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>1. M. S. Anuradha</u>	<u>1. M. Satya Anuradha</u> <u>19/7/21</u>
Auditor Name : <u>2. P. Suneelka</u>	Signature & Date : <u>2. P. Suneelka</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	<u>Ch. S. S. Sunda Rao</u> Head of the Department of <u>19/7/21</u> Engineering Mathematics A.U. College of Engineering (A) Visakhapatnam - 530 003
Proposed Completion Date :	
Auditee Name : <u>Ch. S. S. Sunda Rao</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. M. Satya Anuradha</u> <u>1. M. Satya Anuradha</u> Signature & Date : <u>2. P. Suneelka</u> <u>2.</u> <u>19/7/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>1. M. Satya Anuradha</u> <u>1. M. Satya Anuradha</u> <u>19/7/21</u>
Date:	<u>2. P. Suneelka</u> <u>2. P. Suneelka</u>



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Engineering Physics</u> Responsibility :	
Audit No. :	Date of Audit : <u>19/7/21</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : <u>1. M. S. Anuradha</u> <u>2. P. Suneetha</u>	Signature & Date : <u>1. M. S. Anuradha 19/7/21</u> <u>2. P. Suneetha</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Poy. Y. Rama Krishna</u>	Signature & Date : <u>Y. Rama Krishna 19/07/2021</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>1. M. S. Anuradha</u> Signature & Date : <u>2. P. Suneetha</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date: <u>19/7/2021</u>	<u>1. M. S. Anuradha</u> <u>2. P. Suneetha</u>

1. HEAD OF THE DEPARTMENT
Dept. of Engineering Physics
2. A.U. College of Engineering
University
Visakhapatnam-531 093, A.P.



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>Humanities and Social sciences</u>	Responsibility :
Audit No. :	Date of Audit : <u>24-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Nil</u>	<u>V. Girija 24/7/2021</u>
Auditor Name : <u>Prof V-GIRIJA-SASTRY</u>	Signature & Date : <u>Prof K. Basavaiah 24/07/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	
Auditee Name :	Signature & Date : <u>Chon...</u> Head Dept. of Humanities & Social Sciences. A.U. College of Engineering (A) ANDHRA UNIVERSITY VISAKHAPATNAM-530003
Verification of corrective actions (To be filled by Auditor at the time of next internal audit)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name <u>Prof V-GIRIJA-SASTRY</u> Signature & Date : <u>Prof K. Basavaiah 24/7/2021</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>Nil!</u>
Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : CS & SE	Responsibility :
Audit No. : 1	Date of Audit : 19.07.2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	No Non conformity
Auditor Name : Prof. N. KISHORE BABU ANUJA TIJGA	Signature & Date : Anuja Tigga 19.07.2021
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	No. Corrective Action required.
Root Cause Analysis :	
Proposed Corrective Action :	Head of the Department Dept. of CS & SE AU College of Engg. for Women Visakhapatnam-530 017
Proposed Completion Date :	
Auditee Name : Prof. B Pragna	Signature & Date : B Pragna 19-07-2021
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. ✓	Auditor Name : ANUJA TIJGA Signature & Date : (Prof. N. KISHORE BABU) Anuja Tigga 19.7.21.
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY
VISA KHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Dept of Mechanical Engg AUCBW		Responsibility : Prof. S.K. Bhatti	
Audit No. :		Date of Audit : 16-07-2021	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
<u>ISO 9001 Clause :</u>		<u>Reference Document(s) :</u>	
Nonconformity : - NIL -			
Auditor Name : 1. Prof K.Srinivasa Rao		Signature & Date : 16/07/21	
2. Prof K.T. Balaram Paddi		2. 16/07/21	
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :			
Root Cause Analysis : - NIL -			
Proposed Corrective Action :			
Proposed Completion Date :			
Auditee Name : Prof S.K. Bhatti		Signature & Date : 16/07/2021	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed.		Auditor Name : 1. Prof K.Srinivasa Rao	
		Signature & Date : 2. Prof K.T. Balaram Paddi - 16/07/21	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature		Prof. K.Srinivasa Rao - 16/07/21	
Date:		Prof. K.T. Balaram Paddi - 16/07/21	

Dr. S.K. BHATTI
PROFESSOR AND HEAD
DEPARTMENT OF MECHANICAL ENGINEERING
FOR WOMEN
A.U. COLLEGE OF ENGINEERING
VISA KHAPATNAM



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept/ Function : <u>Electronics & Communication Engineering for women</u>	Responsibility :
Audit No. :	Date of Audit : <u>20/07/2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. G. Girija Lakshmi</u> <u>Prof. P. Yodanukuladevi</u>	Signature & Date : <u>[Signature]</u> <u>20/07/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>NIL</u>	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : <u>Dr. S. ARUNA</u>	Signature & Date : <u>[Signature]</u> <u>20/07/2021</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof. G. Girija Lakshmi</u> <u>Prof. P. Yodanukuladevi</u> Signature & Date : <u>[Signature]</u> <u>20/07/2021</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



**ANDHRA UNIVERSITY
VISA KHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : CIVIL ENGG		Responsibility :	
Audit No. :		Date of Audit : 17/07/21	
DETAILS OF NONCONFORMAITY (To be filled by Auditor)			
<u>ISO 9001 Clause :</u>		<u>Reference Document(s) :</u>	
Nonconformity : 1. M.S. Anuradha		1. M.S. Anuradha 17/7/21	
Auditor Name : 2. P. Suneetha		Signature & Date : 2. P. Suneetha	
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction :		—	
Root Cause Analysis :		—	
Proposed Corrective Action :		—	
Proposed Completion Date :		—	
Auditee Name : Dr. G. Srisha		Signature & Date : (Srisha) 17/7/21	
Head of the Department Dept. of Civil Engineering College of Engineering for Women Andhra University Visakhapatnam			
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.		Auditor Name : 1. M.S. Anuradha 2. P. Suneetha	
		Signature & Date : 1. M.S. Anuradha 2. P. Suneetha 17/7/21	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature		1. M.S. Anuradha 2. P. Suneetha	
Date:		1. M.S. Anuradha 17/7/21 2. P. Suneetha	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept / Function : <u>Principal Office</u> <u>College of Arts and Commerce, AP.</u>	Responsibility :
Audit No. :	Date of Audit : <u>22/07/2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. C. Girija Sekhar</u> <u>Prof. P. Yedurudala Rao</u>	Signature & Date : <u>[Signature]</u> <u>22/07/2021</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>NIL</u>	
Root Cause Analysis : <u>NIL</u>	
Proposed Corrective Action : <u>NIL</u>	<u>P. Rajendra Karmakar</u> PRINCIPAL <u>22/7/21</u>
Proposed Completion Date:	College of Arts & Commerce Andhra University Visakhapatnam-530 003
Auditee Name : <u>Prof. P. RAJENDRA KARMARKAR</u>	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <u>Prof. C. Girija Sekhar</u> Signature & Date : <u>Prof. P. Yedurudala Rao</u> <u>22/07/2021</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	

[Handwritten notes and signatures]
22/07/2021
22/07/2021



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : PRINCIPAL'S OFFICE SCIENCE College	Responsibility : PRINCIPAL
Audit No. :	Date of Audit : 20/7/21
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : Prof. T. V. K. Bhanu prakash Prof. V. Sriden	Signature & Date : (20) [Signature] (20) (sd. 20/7/2021)
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : Principal AU College of Science & Technology VISAKHAPATNAM	Signature & Date : [Signature] 20/7/2021
Verification of corrective action (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>AUCE</u> <u>Principal of A.U.</u>	Responsibility :
Audit No. :	Date of Audit : <u>22-07-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Separate AUF-17 to be maintained</u> <u>AUF-38, AUF-08 to be maintained.</u>	1. <u>Prof. P. Vasudha Reddy</u> 2. <u>Dr. K. Sita Manikyan</u>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Separate AUF-17 Register to be maintained</u> <u>Signatures on AUF 38, 08 is required.</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date : <u>27-7-2021</u>	<u>G. Lakshmi</u> Asst. Principal A.U. College of Engg. (A) Visakhapatnam-530 003
Auditee Name :	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
<u>Records verified & updated</u> <u>AUF-17, 38, 08</u>	1. <u>Prof. P. Vasudha Reddy</u> 2. <u>Dr. K. Sita Manikyan</u>
Status: <u>Open / Closed</u> <input checked="" type="checkbox"/>	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>AVCE(w)</u> <u>Principal office</u>	Responsibility :
Audit No. :	Date of Audit : <u>17/7/21</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : _____	
Auditor Name : <u>1. M. S. Anuradha</u> <u>2. P. Suneetha</u>	Signature & Date : <u>1. M. S. Anuradha 17/7/21</u> <u>2. P. Suneetha</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : _____	
Root Cause Analysis : _____	
Proposed Corrective Action : _____	
Proposed Completion Date : _____	
Auditee Name : <u>Prj S.K. Batti</u>	Signature & Date : <u>Sukhinder 17/07/2021</u> PRINCIPAL College of Engineering for Women Visakhapatnam-530 017
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. M. S. Anuradha</u> Signature & Date : <u>17/7/21</u> <u>2. P. Suneetha</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>1. M. S. Anuradha</u> <u>1. M. S. Anuradha</u> <u>2. P. Suneetha</u> <u>2. P. Suneetha</u>
Date:	<u>17/7/21</u>



AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No:

Dept/ Function: <u>Dr. B.R. Ambedkar Law College</u> Responsibility:	
Audit No. :	Date of Audit: <u>19-7-2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause:	Reference document(s):
Nonconformity: <u>Nil</u>	
Auditor Name: <u>1. P. Sumithra 2. M. S. Anuradha</u>	Signature and Date: <u>P. Sumithra 2. M. S. Anuradha</u> <u>19/7/21</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction:	<u>Nil</u>
Root Cause Analysis:	<u>Nil</u>
Proposed Corrective Action:	<u>Nil</u>
Proposed Completion Date:	
Auditee Name: <u>Prof. S. Sumithra</u>	Signature and Date: <u>S. Sumithra</u> Principal Dr. B. R. Ambedkar College of Law Andhra University Visakhapatnam
Verification of corrective actions (to be filled by Auditor at the time of closure)	
Status : Open / Closed	<u>1. P. Sumithra</u> <u>2. M. S. Anuradha</u> : <u>P. Sumithra</u> <u>19/7/2021</u> Auditor Name : Signature and Date: <u>M. S. Anuradha</u> <u>19/7/21</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name/Sign	<u>1. P. Sumithra</u> <u>P. Sumithra</u>
Date:	<u>2. M. S. Anuradha</u> <u>M. S. Anuradha</u> <u>19/7/21</u>



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Prof Y. Rajendra Prasad

Dept./ Function : College of Pharmaceutical Science Responsibility : Principal

Audit No. : Date of Audit : 22-7-2021

DETAILS OF NONCONFORMITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity :

1. Prof K. Srinivasa Rao

u. u. 22/07/21

Auditor Name : Prof K.T. Balasubrahmanyam Signature & Date : [Signature] 22/07/21

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : - NIL -

Root Cause Analysis :

Proposed Corrective Action :

Proposed Completion Date :
Auditee Name : Prof Y. Rajendra Prasad Principal Signature & Date : Y.R. Prasad 22/07/2021 PRINCIPAL

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed.

Auditor Name : Prof K. Srinivasa Rao Signature & Date : [Signature] 22/07/21

Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)

Auditor Name / Sign. Date :

Prof K. Srinivasa Rao - u. u. 22/07/21

Prof K.T. Balasubrahmanyam - [Signature] 24/7/21



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>University Library</u>	Responsibility :
Audit No. :	Date of Audit : <u>24-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>Prof V. GIRIJA SASTRY</u> <u>Prof K. Basavaiah</u>	<u>Nil</u> <u>V.S. Krishna</u> <u>24/7/2021</u> <u>B-SAN</u> <u>24/07/2021</u>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Nil</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date : <u>Prof P. Venkateswarly</u>	<u>P. Venkateswarly</u> <u>24/7/21</u> Honorary Librarian Dr. V.S. Krishna Library Andhra University Visakhapatnam-530 002
Auditee Name :	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	<u>Prof V. GIRIJA SASTRY</u> <u>24/7/2021</u> <u>Prof K. Basavaiah</u> <u>B-SAN</u> <u>24/07/21</u>
Auditor Name :	Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
<u>Nil</u>	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : <u>University Library</u> Responsibility :	
Audit No. :	Date of Audit : <u>24-7-2021</u>
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Prof V. GIRIJA SASTRY</u> <u>Prof K. Basavaiah</u>	<u>Nil</u> <u>V. Girija Sastri</u> <u>24/7/2021</u> <u>B. Saravali</u> <u>24/07/2021</u>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<u>Nil</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date : <u>Prof P. Venkateswarly</u>	<u>P. Venkateswarly</u> <u>24/7/21</u> Honorary Librarian Dr. V.S. Krishna Library Andhra University
Auditee Name :	Signature & Date : <u>Visakhapatnam-530 002</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Prof V. GIRIJA SASTRY</u> <u>Prof K. Basavaiah</u> <u>V. Girija Sastri</u> <u>24/7/2021</u> <u>B. Saravali</u> <u>24/07/21</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
<u>Nil</u>	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : CDC	Responsibility : Director
Audit No. :	Date of Audit : 22-07-2021
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : Prof TVK Bhanu Prakash Prof V. Sri Devi	NIL 1-28/7 Signature & Date : 2 C.D.
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	Chm 22/7/2021
Auditee Name : Director, CDC	Signature & Date : Dean College Development Council Andhra University Visakhapatnam-530 003
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	


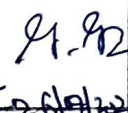




**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept / Function : <u>Examinations - AU</u>	Responsibility :
Audit No :	Date of Audit : <u>26/07/2021</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. G. Girija Sankar</u> <u>Prof. P. Yedukondala Rao</u>	Signature & Date :  <u>26/07/21</u>  <u>26/07/21</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <u>NIL</u>	
Root Cause Analysis : <u>NIL</u>	 Prof. D.V.R. Murthy Dean of Examinations(UG) Andhra University Visakhapatnam-530 003
Proposed Corrective Action : <u>NIL</u>	
Proposed Completion Date:	
Auditee Name : <u>D.G. V. RAVINDRANADH BABU</u>	Signature & Date :  <u>26/07/21</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <u>Prof. G. Girija Sankar</u> Signature & Date : <u>Prof. P. Yedukondala Rao</u> <u>26/07/21</u>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



ANDHRA UNIVERSITY
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.:

Dept./Function : Directorate of Admissions	Responsibility :
Audit No. :	Date of Audit : 22/7/2021.
DETAILS OF NONCONFORMAITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : Nil	
Auditor Name : Prof. M. Vijaya Santhi	Signature & Date : M. Santhi 22/7/21.
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : D. A. NAIDU	Signature & Date : D. A. Naidu 22/7/2021
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : Prof. M. Vijaya Santhi Signature & Date : M. Santhi 22/7/21.
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	

Internal Audit NCR Summary:



**ANDHRA UNIVERSITY
VISAKHAPATNAM**

AUF-07

INTERNAL AUDIT NCR SUMMARY

Audit Date(s) : 12th July - 24th July 2021

Sl. No.	College / Departments / Section	Total No. of Nonconformities
1.	Vice-Chancellor	Nil
2.	Rector	Nil
3.	Registrar	Nil
4.	MR Office	Nil
5.	Principal, AU College of Arts & Commerce	Nil
6.	Anthropology	Nil
7.	Commerce & Mgt. Studies	Nil
8.	Economics	Nil
9.	Education	Nil
10.	English	Nil
11.	Fine Arts	3
12.	Hindi	Nil
13.	History and Archaeology	Nil
14.	Human Resource Management	Nil
15.	Journalism & Mass Communication	Nil
16.	Library & Information Science	Nil
17.	Music & Dance	Nil
18.	Philosophy	Nil
19.	Political Science & Public Administration	Nil
20.	Sanskrit	Nil
21.	Social Work	4
22.	Sociology	Nil
23.	Telugu	Nil
24.	Physical Education	Nil
25.	Principal, AU College of Science & Technology	Nil
26.	Applied Mathematics	Nil
27.	Biochemistry	Nil
28.	Biotechnology	Nil
29.	Botany	Nil
30.	Environmental Sciences	Nil
31.	Geography	Nil
32.	Geology	Nil
33.	Geophysics	Nil
34.	Human Genetics	Nil

35.	Inorganic & Analytical Chemistry	Nil
36.	Marine Living Resources	Nil
37.	Mathematics	Nil
38.	Meteorology & Oceanography	Nil
39.	Microbiology	Nil
40.	Nuclear Physics	Nil
41.	Organic Chemistry	Nil
42.	PNCO	Nil
43.	Psychology & Parapsychology	Nil
44.	Physics	Nil
45.	Statistics	Nil
46.	Zoology	Nil
47.	Principal, College of Engineering(A)	Nil
48.	Architecture	Nil
49.	Chemical Engineering	Nil
50.	Civil Engineering	Nil
51.	Computer Science & Systems Engineering	Nil
52.	Electrical Engineering	Nil
53.	E C E	Nil
54.	Geo-Engineering	Nil
55.	Instrument Technology	Nil
56.	Marine Engineering	Nil
57.	Mechanical Engineering	Nil
58.	Metallurgical Engineering	Nil
59.	Engineering Chemistry	Nil
60.	Engineering Mathematics	Nil
61.	Engineering Physics	Nil
62.	Humanities and Social Sciences	Nil
63.	Principal, College of Engineering for Women	Nil
64.	Computer Science Engineering (AUCEW)	Nil
65.	Mechanical Engineering (AUCEW)	Nil
66.	ECE (AUCEW)	Nil
67.	Electrical Engineering & Civil Engineering (AUCEW)	Nil
68.	Principal, College of Pharmaceutical Sciences	Nil
69.	Principal, Dr. B.R.Ambedkar College of Law	Nil
70.	College Development Council	Nil
71.	Directorate of Admissions	Nil
72.	Examination Branch	Nil
73.	Dr. V.S.Krishna Library	Nil

5) Management Review meeting:

Following the internal Academic and administrative audits done by the internal auditors team, the annual Top Management review meeting was conducted by the office of IQAC on 15/07/2021 at 3:00pm, Senate Hall, CAO, Andhra University.

6) Action Taken report:

Minutes of the previous meeting were presented and ratified by the Top Management. The agenda points were discussed for the present MR meeting and following is the action taken report on Academic and Administrative audit.

- 1) The Top Management has discussed Quality objectives at department level and decided to carryout trend analysis annually with all the Principals and Head of the Departments concerned.
- 2) To review all the issues related to changes in external and internal issues related to QMS.
- 3) To maintain Nonconformities related to process performance and corrective action to be taken if any in the departments.
- 4) Corrective actions are suggested to the respective departments and Sections based on the NCRs observed by the internal auditors which are placed before the MR committee.
- 5) The Hon'ble VC has expressed that quality should be maintained in teaching and research. He expressed to improve industry institute interaction and maintain Sock registers properly.
- 6) All the Quality procedures are briefed before the members.
- 7) To obtain online student feedbacks at the end of every semester and the stakeholders feedback as and when required.
- 8) The effectiveness of action taken should be reviewed in next MR.
- 9) To review QMS documents by MR and Heads of the departments.
- 10) Hon'ble VC has identified risks related to prevailing conditions of Pandemic in conducting online classes and examinations. He expressed that Andhra university was successful in conducting online classes and exams as per the UGC guidelines.
- 11) Hon'ble VC has stressed about NAAC 2023 and to maintain legacy of AU.

7) ISO 9001:2015 external audits by TUV SUD:

The ISO 9001:2015 surveillance audit to conduct academic and administrative audit is scheduled as follows:

Date of ISO surveillance Audit : 2021-08-16 to 2021-08-17(2 days)

External audit members from TUV-SUD: 1. D. Mynaji Rao (Lead auditor)
2. V.Raja Shree
3. R. Srinivasulu

8) The detailed audit report of TUV SUD is attached



Management Service

AUDIT REPORT

Organization name	Andhra University
Standard(s)	ISO 9001:2015
Order No.	4153580766
Audit start date	2021-08-16
Audit end date	2021-08-17
Audit type	3. Repeat-Audit (Extraord. Event (IAF))
Certification type	Single
Client number	66843-01
Organization's audit representative	Prof. D. Lalitha Bhaskari
Certificate No.	99 100 14363
Result	<input checked="" type="checkbox"/> Certificate release recommended <input type="checkbox"/> Maintenance of certificate recommended <input type="checkbox"/> Non-Conformities were identified and closed by re-audit on site <input type="checkbox"/> Non-Conformities were identified and closed by resubmitted documentation <input type="checkbox"/> Suspension of certificate recommended <input type="checkbox"/> Withdrawal of certificate recommended <input type="checkbox"/> Certification process terminated

Enclosed documents: Action list
Audit plan



Management Service

AUDIT REPORT

Organization name	Andhra University
Standard(s)	ISO 9001:2015
Order No.	4153580766
Audit start date	2021-08-16
Audit end date	2021-08-17
Audit type	3. Repeat-Audit (Extraord. Event (IAF))
Certification type	Single
Client number	66843-01
Organization's audit representative	Prof. D. Lalitha Bhaskari
Certificate No.	99 100 14363
Result	<input checked="" type="checkbox"/> Certificate release recommended <input type="checkbox"/> Maintenance of certificate recommended <input type="checkbox"/> Non-Conformities were identified and closed by re-audit on site <input type="checkbox"/> Non-Conformities were identified and closed by resubmitted documentation <input type="checkbox"/> Suspension of certificate recommended <input type="checkbox"/> Withdrawal of certificate recommended <input type="checkbox"/> Certification process terminated

Enclosed documents: Action list
Audit plan

Audit Team	
Function	Name
Lead Auditor	Rao D. Mynaji
Auditor	Rajashree Valsangkar
Auditor	Sreenivasulu Rupineni
Auditor	Sreeraj S.V

Changes since last audit:

- No changes since last audit
- Significant change of scope
- Increase/decrease in number of employees
- Management System / documented information
- deviations from the audit plan
- significant issues impacting the audit program
- audit objectives or audit criteria
- Others

Auditor to enter comments below for any changes identified above:

1.Remote Audit through Google Meet. 2. Revise scope is "Design of Curriculum, Regulations, Eligibility norms and implementation of on-campus Undergraduate, Postgraduate, Professional teaching & Research programmes through offline and online modes; Conduct of Examinations, Evaluation, Publication of Results and Award of Degrees; Grant of Affiliation and Academic monitoring to the programmes offered by colleges and other academic institutions; Create infrastructure through Sponsored Research & Consultancy." Note : words : through online and offile are introduced.

Date of next regularly scheduled audit	01.06.2022
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Audit conclusion

During the audit it was checked how the documented management system was established, implemented and improved at the different areas of the organization.

The audit covered relevant processes / areas of the organization in order to obtain an overall picture of the degree of management system implementation. Although performed to reasonable depth, not every detail of the complete Management System could be checked.

The processes and their associated areas of the organization were checked in accordance with the pre-agreed audit plan, audit program and process analysis.

Verification of previous audit nonconformities

The audit team evaluated the corrective action taken for the nonconformities/ areas of concern from the previous audit.

In the case of RA / Re-Certification audits, the audit team considered the audit reports for the last two audits in the audit planning / performance of the audits and in particular checked the nonconformities / areas of concerns.

The corrective actions were found to be:

Effectively Implemented?	Not applicable (no existing MiN or NC)
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Comments:

No Non conformities were raised during previous audit.

Did the organization use the CB certification mark? (Controlling the use of certification documents, statements and marks)	Not in use
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Comments:

Certification documents and marks are not being used.

Audit team conclusion

Is a re-audit needed?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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Comments:

Type and number of audit findings

	Major nonconformities	Minor nonconformities	Opportunities for improvement	Positive aspects
Total number	0	0	0	2

Standard elements with findings are listed in the action list (NC Management).
 The audit findings are based on the audit evidence collected during the audit and available to the certification body.

Audit summary

Refer to level of maturity of the MS, commitment of top management, guaranteeing the continuous compliance with the legal and other requirements , application of performance indicators, continual improvement, meeting of objectives and targets, competence of personnel, effectiveness of internal audits and management reviews, environmental performance, etc.

Prof. PVGD Prasad Reddy – Vice Chancellor and Registrar periodically conduct management review meetings with the Principals/Directors, Heads of departments, faculty and supporting staff to monitor and evaluate the quality management system and take appropriate measures for improvement. Effective Internal audit and management review.
 Last Internal audit conducted from 12/07/21 to 14/07/21 and management review on 15/07/21.
 Quality objectives are consistent with quality policy and monitored in academic committee meetings at departmental level and management review meetings.
 The University has a formal mechanism to obtain feedback on curriculum and other aspects periodically from parents, alumni, employers, community, academic peers and industry. In addition, feedback is obtained from the participants of seminars / conferences, company representatives who visit the University. Feed backs are discussed in MRM for improvement.
 Infrastructure include – Academic building, Administrative & Supportive Services, Common halls,e classrooms,Seminor halls,Guest house,Hostel for men,women,Post office, Canteen, ,medical facilities.,Sports infrastructure,Infrastructure for cultural activities.consultancy assignments in government and non-governmental organizations

Auditor’s name

Rao D. Mynaji

date



Auditor's signature

D. Mynaji Rao

17.08.2021

Site specific information

Audited Site	
Site specific name	Andhra University
State	India
City	Andhra Pradesh
Postal code	530003
Address	Visakhapatnam
Client number	66843-01
Certificate scope	Design of Curriculum, Regulations, Eligibility norms and implementation of on-campus Undergraduate, Postgraduate, Professional teaching & Research programmes through offline and online modes; Conduct of Examinations, Evaluation, Publication of Results and Award of Degrees; Grant of Affiliation and Academic monitoring to the programmes offered by colleges and other academic institutions; Create infrastructure through Sponsored Research & Consultancy.
Industry code(s)	EA 35; EA 37
Products / Services	Education
Total number of personnel onsite	300
Total number of effective personnel	300

ISO 9001	
Auditing of shifts	<input checked="" type="checkbox"/> Not applicable
Temporary sites / projects:	<input checked="" type="radio"/> Not applicable <input type="radio"/> Applicable
All clauses apply	<input checked="" type="radio"/> Yes



All clauses apply	<input type="radio"/> No	
Quality relevant manufacturing/realization processes:	Teaching	
Risk category (low/medium/high):	Medium	
KPIs:	Name	Trend
	NAAC Accreditation - 3.6 / 4.0	Consistent
	To conduct quality related seminars / work shops; Target : 4; Achieved : 3	Negative
	Justification: Due to COVID 19 Situations, one programme was missed out. This was reviewed in MRM. During this academic year, it will be ensured that the quality related seminars will be conducted as per the quality objectives fixed	
	Student seminars : Target : 94; Achieved : 94	Positive
Relevant compliance obligations / Significant legal requirements with regard to products / services:	Establishment of Andhra University under Madras University Act in 1926. Andhra Pradesh Universities Act 4 of 1991; Amended Act 7 of 2004	

Audit objectives

See attached Audit Plan

Additional remarks

1. Disclaimer statement

Auditing is based on a sampling process of the available information. Any audit recommendations are subject to an independent review prior to a decision concerning the awarding or renewal of certification.

A management system certification audit (initial, surveillance or recertification audit) is not a legal compliance audit (ISO 17021:2015, 9.2.1.2; IAF MD22:2018, Appendix C).

2. Duty of information

The Certification Body shall be notified by the client without delay of all changes that may impact on the management system's capability to continue to fulfill the requirements of the relevant standard now and in the future.

These matters include major changes regarding:

- legal, commercial, organizational status or ownership
- organization and management (e.g. key managerial, decision-making or technical staff)
- change of address and sites
- scope of operations under the certified management system

3. Due dates

The due date (last day of the certification audit) must be considered for the planning of any additional audit. The respective due dates should be coordinated with the lead auditor.

4. Confidentiality

The Certification Body will treat all received documented information related to the certification process as strictly confidential.

Copies to:

- Members of the audit team
- Certification body
- Client